

1 **Have CO<sub>2</sub> emissions from land use change systematically been underestimated?**

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40 The terrestrial biosphere absorbs about 20% of fossil fuel CO<sub>2</sub> emissions. The overall  
41 magnitude of this sink is constrained by the difference between emissions, the rate of  
42 increase in atmospheric CO<sub>2</sub> concentrations and the ocean sink. However, the land sink  
43 is actually composed of two largely counteracting fluxes that are poorly quantified: fluxes  
44 from land-use change and CO<sub>2</sub> uptake by terrestrial ecosystems. Dynamic global  
45 vegetation model simulations suggest that CO<sub>2</sub> emissions from land-use change have been  
46 substantially underestimated because processes such as tree harvesting and land-clearing  
47 from shifting cultivation have not been considered. Since the overall terrestrial sink is  
48 constrained, a larger net flux as a result of land-use change implies that terrestrial uptake  
49 of CO<sub>2</sub> is also larger, and that terrestrial ecosystems might have greater potential to  
50 sequester carbon in the future. Consequently, reforestation projects and efforts to avoid  
51 further deforestation could represent important mitigation pathways, with co-benefits for  
52 biodiversity. It is unclear whether a larger land carbon sink can be reconciled with our  
53 current understanding of terrestrial carbon cycling. In light of our possible  
54 underestimation of the historical residual terrestrial carbon sink and associated  
55 uncertainties, we argue that projections of future terrestrial carbon uptake and losses are  
56 more uncertain than ever.

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58 The net atmosphere-to-land carbon flux ( $F_L$ ) is typically inferred as the difference between  
59 relatively well-constrained terms of the global carbon cycle: fossil fuel and cement emissions,  
60 oceanic carbon uptake and atmospheric growth rate of CO<sub>2</sub> (see Textbox) <sup>1</sup>. In contrast, very  
61 large uncertainties exist in how much anthropogenic land-use and land-cover change ( $F_{LULCC}$ )  
62 contributes to  $F_L$ , which propagates into large uncertainties in the estimation of the ‘residual’  
63  $F_{RL}$  (see Box). The lack of confidence in separating  $F_L$  into its component fluxes diminishes the  
64 predictive capacity for terrestrial carbon cycle projections into the future. It restricts our ability

65 to estimate the capacity of land ecosystems to continue to mitigate climate change, and to assess  
66 land management options for land-based mitigation policies.

67 As land-use change emissions and the residual sink are spatially closely enmeshed, global-scale  
68 observational constraints do not exist for estimating  $F_{LULCC}$  or  $F_{RL}$  separately. Dynamic Global  
69 Vegetation Models (DGVMs) have over recent years been used to infer the magnitude and  
70 spatial distribution of  $F_{LULCC}$  as well as of  $F_{RL}$ , while  $F_{LULCC}$  has traditionally been also derived  
71 from data-driven approaches such as the bookkeeping method<sup>1-3</sup> (see Box). Although large, for  
72 many sources of uncertainties in  $F_{LULCC}$  there is no good reason to believe that these would  
73 introduce a systematic under- or overestimation<sup>4-6</sup>. However, until recently, most processes  
74 related to land management and the subgrid-scale dynamics of land-use change have been  
75 ignored in large-scale assessments of the terrestrial carbon balance, and we argue here that  
76 including these missing processes might systematically increase the magnitude of  $F_{LULCC}$ . In  
77 turn, an upward revision of  $F_{LULCC}$  implies through the global budget the existence of a  
78 substantially higher  $F_{RL}$  and raises the question whether a larger  $F_{RL}$  is plausible given our  
79 understanding of the response of ecosystems to changing environmental conditions.

#### 80 **Accounting for gross land-cover transitions, such as shifting cultivation (SC)**

81 Opposing changes in different land-use types can take place simultaneously within a region  
82 (see methods, and Supplementary Figure), e.g. an area is converted from natural to managed  
83 land, whereas an equal area within the same region might be abandoned or reforested, equating  
84 to a net zero land-cover change. The magnitude of these bi-directional changes depends on the  
85 size of the area investigated. Over thousands of km<sup>2</sup>, the typical resolution of DGVMs, ignoring  
86 sub-grid changes can have a substantial effect on the simulated carbon cycle, since accounting  
87 for the gross changes (e.g., the parallel conversion to, and abandonment of, agricultural land in  
88 the same grid-cell) includes (rapid) carbon losses from deforestation, (slow) loss from post-  
89 deforestation soil legacy effects, and (slow) uptake in areas of regrowth. In sum this leads to

90 younger mean stand-age, smaller biomass pools and thus higher  $F_{LULCC}$  compared to net area-  
91 change simulations.

92 Gross area transitions are fundamental to LULCC dynamics in areas of shifting cultivation in  
93 the tropics<sup>7</sup>, but also occur elsewhere<sup>8</sup>. Gross forest loss far exceeding net area loss can be  
94 demonstrated from remote-sensing products globally<sup>9</sup>, although these products in themselves  
95 cannot distinguish effects of logging from natural disturbance events such as fire or storms.  
96 Secondary forests in the tropics can return to biomass carbon stocks comparable to old-growth  
97 forest within 5-6 decades<sup>10</sup>, but the same is not the case for soil carbon. Also, fallow lengths in  
98 shifting cultivation systems tends to be shorter, and show a decreasing trend in many regions<sup>11</sup>.  
99 These dynamics result in the degraded vegetation and reduced soil carbon stocks commonly  
100 observed in disturbed forest land <sup>12</sup>.

#### 101 **Wood harvest (*WH*)**

102 Until recently, global DGVM studies that accounted for LULCC concentrated on the  
103 representation of conversion of natural lands to croplands and pastures, while areas under forest  
104 cover were represented as natural forest, and hence by each model's dynamics of establishment,  
105 growth and mortality. Two thirds to three quarters of global forests have been affected by  
106 human use, mainly harvest, as a source of firewood, roundwood and secondary products, or for  
107 recreational purposes <sup>13</sup>. Between 1700-2000 an estimated 86 PgC has been removed globally  
108 from forests due to wood harvest <sup>14</sup>, and presently around 10% of the net primary production  
109 appropriated by humans is by forestry, ca. 1.3 Pg C a<sup>-1</sup>. Wood harvest leads to reduced carbon  
110 density on average in managed forests <sup>15</sup> and can ultimately result in degradation in the absence  
111 of sustainable management strategies. Furthermore, the harvest of wood can reduce litter input,  
112 which lowers soil pools<sup>13</sup>. The effect of bringing a natural forest under any harvesting regime  
113 will be net CO<sub>2</sub> emissions to the atmosphere, its time-dependency depending on harvest  
114 intensity and frequency, regrowth, and by the fate and residence time of the wood products.

115 **Pastures grazing and crop harvest (*GH*), and cropland management (*CP*)**

116 Management is not only fundamental for the carbon balance of forests, but also for pasture  
117 and cropland. As with forests, accounting for management processes on arable lands has only  
118 recently been included in DGVMs (see methods). Regular grazing and harvesting (*GH*), and  
119 more realistic crop processes (*CRP*) such as flexible sowing and harvesting, or tillage, will  
120 enhance  $F_{LULCC}$ <sup>16</sup>. Over decadal timescales, conversion of forest to cropland has been observed  
121 to reduce soil carbon pools by around 40%<sup>17</sup>, resulting from reduced vegetation litter soil inputs  
122 and enhanced soil respiration in response to tillage, although the effect and magnitude of the  
123 latter is being debated<sup>18</sup>. Conversion to pasture often has either little effect, or may even  
124 increase soil carbon<sup>17</sup>.

125 **Impacts of land management processes on the carbon cycle**

126 The few DGVM studies published that include more realistic processes for the management  
127 of land<sup>16,19-21</sup> consistently suggest a systematically larger  $F_{LULCC}$  over the historical period  
128 compared to estimates that ignored these, with important implications for our understanding of  
129 the terrestrial carbon cycle and its role for historical (and future) climate change. In order to  
130 assess if results from these initial experiments hold despite differences among models, we  
131 compile here results from a wider set of DGVMs (and one DGVM “emulator”, see methods  
132 and Supplementary Table 1), adopting the approach described in<sup>2</sup>.  $F_{LULCC}$  was calculated as the  
133 difference between a simulation in which CO<sub>2</sub> and climate were varied over the historical  
134 period, at constant (pre-industrial) land use, and one in which land use was varied as well.

135 When accounting for shifting cultivation and wood harvest,  $F_{LULCC}$  was systematically  
136 enhanced (Fig. 1).  $SC$ , without the possibility of shade-trees remaining in cultivated areas,  
137 sincreased cumulative  $F_{LULCC}$  over the period 1901-2014 on average by  $35 \pm 18$  PgC (Fig. 1;  
138 Supplementary Table 2). While three DGVMs had demonstrated this effect previously<sup>19-21</sup>, an  
139 upward shift of  $F_{LULCC}$  was also found in the other models that performed additional  $SC$

140 simulations for this study. Including wood harvest caused  $F_{LULCC}$  to increase over the same time  
141 period by a similar magnitude to  $SC$ ,  $30 \pm 21$  PgC. Trends in  $WH$ -related  $F_{LULCC}$  over time  
142 differed between models (Fig. 1) likely due to different rates of post-harvest regrowth, and  
143 assumptions about residence time in different pools<sup>22</sup>. Including the harvest of crops and the  
144 grazing of pastures also resulted in larger  $F_{LULCC}$ , since carbon harvested or grazed is consumed  
145 and released as  $CO_2$  rapidly instead of decaying slowly as litter and soil organic matter. Beyond  
146 harvest, accounting for more realistic cropland management such as tillage processes ( $CRP$ )  
147 also showed, with one exception (in which tillage effects were not modelled, see methods) an  
148 enhancement of  $F_{LULCC}$  emissions.

149 When ignoring the additional land-use processes investigated here, average  $F_{LULCC}$  is  $119 \pm$   
150  $50$  PgC (Supplementary Table 2). Adding effects of  $SC$ ,  $WH$ ,  $GH$  and  $CRP$  enhance land-use  
151 change emissions by, on average, 20-30% each (Fig. 2; Supplementary Table), with  
152 individually large uncertainties. The total effects on  $F_{LULCC}$  are difficult to judge as models do  
153 not yet account for all land-use dynamics. For instance,  $SC$  and  $WH$  effects are expected to  
154 enhance  $F_{LULCC}$  additively as there is little overlap in the input dataset used by DGVMs  
155 regarding the areas that are assumed to be under shifting cultivation, and areas where wood  
156 harvest occurs<sup>7</sup>. But in the case of  $GH$  and  $CRP$ , carbon cycle interactions with  $SC$  and  $WH$   
157 cannot be excluded because subsequent transitions could occur in a grid location, between  
158 primary vegetation and cropland, pastures or secondary forests. The overall enhancement of  
159  $F_{LULCC}$  therefore will need to be explored with model frameworks that include all dynamic land-  
160 use change processes. DGVMs currently contributing to the annual update of the global carbon  
161 budget account for some of the processes examined here, but as yet not at all comprehensively,  
162 and we thus expect DGVM-based  $F_{LULCC}$  to increase substantially compared to results reported  
163 in<sup>1</sup>. As a consequence the discrepancy to book-keeping estimates of  $F_{LULCC}$  will become larger,  
164 although results in<sup>23</sup> call for a broader range of book-keeping approaches as well.

165 **Implications for the residual land sink over the historical period**

166 In order to match  $F_L$  in the global carbon budget (Box) for the historical period a substantially  
167 larger  $F_{LULCC}$  would need to be balanced by a corresponding increase in  $F_{RL}$ , which could be  
168 either due to underestimated historical increase in GPP and vegetation biomass, overestimated  
169 heterotrophic carbon loss, or both. The question arises if such a discrepancy is credible in light  
170 of today's understanding. <sup>24 12526</sup>

171 The response of photosynthesis to increasing  $CO_2$  could underlie more than half of today's land  
172 carbon sink <sup>27</sup>. Several recent lines of observation-based evidence suggest that GPP may have  
173 undergone much stronger enhancement over the last century than currently calculated by  
174 DGVMs. These studies include isotopic analysis of herbarium plant samples, of stable oxygen  
175 isotope ratios in atmospheric  $CO_2$ , and accounting for the effect of leaf mesophyll resistance to  
176  $CO_2$  <sup>28-30</sup>. Ciais et al. <sup>31</sup> inferred a pre-industrial GPP of  $80 \text{ PgC a}^{-1}$  based on measurements of  
177 oxygen isotopes in ice-core air, indicative for a 33% difference to the often-used present-day  
178 GPP benchmark of ca.  $120 \text{ PgC a}^{-1}$  <sup>32</sup> and independently consistent with the 35% increase  
179 suggested by <sup>28</sup>. In contrast, the participating DGVMs in this study show an average increase of  
180 GPP by only 15% between the first and last ten years of the simulation (not shown).

181 Whether or not enhancements in GPP translate into increased carbon storage depends on other  
182 factors such as nutrient and water supply, seen for instance in the mixed trends in stem growth  
183 found in forest inventories <sup>33,34</sup>. Much work remains to better understand the response of  
184 ecosystem carbon storage to increasing atmospheric  $CO_2$  concentration <sup>35</sup>. Ultimately, enhanced  
185 growth will only result in increasing carbon pools if turnover time does not increase at the same  
186 rate <sup>22</sup>. Besides GPP and heterotrophic ecosystem respiration (ER), lateral carbon flows play an  
187 important role in the ecosystem carbon sink. Recent syntheses that combined a range of  
188 observations, inventories of carbon stock changes, trade flows and transport in waterways,  
189 estimated dissolved organic carbon losses to account for a flux of  $> 1.0 \text{ PgC a}^{-1}$ , with an



190 unknown historical trend<sup>36,37</sup>. The fate of this carbon is highly uncertain, but its inclusion would  
191 enhance the calculated residual sink via an additional source term (eqn. 1, textbox).  
192 Taken together, a number of candidates for underestimated  $F_{RL}$  in today's models are plausible,  
193 and a combination of the above listed processes likely. It remains to be seen whether a larger  
194  $F_{LULCC}$  can be supported by observation-based estimates. Using emerging constraints, Li et al.  
195 und enhanced LULCC emissions when historical DGVM estimates were forced by present-day  
196 forest biomass from a range of inventories and remote sensing, even though their analysis is  
197 based on regressions obtained from models that also exclude part of the processes investigated  
198 here. Thus several lines of evidence suggest that a common low-bias in the historic  $F_{LULCC}$  could  
199 affect all DGVMs, and the challenge of resolving the many open issues will stay with us for  
200 some years to come.

#### 201 **How do unknowns in historical LULCC reconstructions fit into the picture?**

202 Patterns and historical trends of deforestation, cropland and pasture management or wood  
203 harvest are uncertain. Land use reconstructions differ substantially in terms of the time, location  
204 and rate of LULCC (see<sup>38</sup> and reference therein). The DGVM and climate science community  
205 has mostly relied on the LUH1 data-set by Hurtt et al.<sup>7</sup>, chiefly because it provides the needed  
206 seamless time-series from the historical period into future projections at the spatial resolution  
207 required by DGVMs. Clearly such a globally applicable, gridded data-set must necessarily  
208 include simplifications. For instance, the assumed uniform 15-year turnover in tropical shifting  
209 cultivation systems<sup>7</sup> cannot account for the known variation between a few years and one to  
210 two decades, or trends towards shorter fallow periods in some regions (see<sup>11</sup> and references  
211 therein), while there is also an increasing proportion of permanent agriculture. Likewise, not  
212 only the amount of wood harvest but also the type of forestry (coppice, clear-cut, selective  
213 logging, fuel-wood) will vary greatly in time and space, which is difficult to hindcast<sup>39,40</sup>.

214 In upcoming revisions to LUH1 (LUH-2, <http://luh.umd.edu/data.shtml>), forest-cover gross  
215 transitions are now constrained by the remote sensing information<sup>9</sup>, and have overall been re-  
216 estimated (Fig. 3). Whether or not this will result in reduced *SC* carbon loss estimates in recent  
217 decades remains to be seen. At the same time, these historical estimates consider large gross  
218 transitions of land-cover change only for tropical regions even though there is good reason to  
219 believe that bi-directional changes occur elsewhere<sup>41</sup>. For Europe alone, a recent assessment  
220 that is relatively impartial to spatial resolution estimated twice the area having undergone land-  
221 use transitions since 1900 when accounting for gross *vs.* net area changes<sup>8</sup>. This leads to  
222 substantial increase in the calculated historical European  $F_{LULCC}$ , both in a bookkeeping-model  
223 and DGVM-based study<sup>42</sup>. Historical land carbon cycle estimates therefore are not only highly  
224 uncertain due to missing LULCC processes, but equally so due to the LULCC reconstructions  
225 *per se*. However, for a given reconstruction, accounting for additional processes discussed here  
226 will always introduce a unidirectional enhancement in  $F_{LULCC}$  compared to ignoring these  
227 processes.

## 228 **Implications for the future land carbon mitigation potential**

229 Our calculated increases in  $F_{LULCC}$ , in absence of a clear understanding of the processes  
230 underlying  $F_{RL}$ , notably strengthen the existing arguments to avoid further deforestation (and  
231 all ecosystem degradation) – an important aspect of climate change mitigation, with  
232 considerable co-benefits to biodiversity and a broad range of ecosystem service supply. One  
233 could also conjecture whether or not a larger historical carbon loss through LULCC would  
234 imply a larger potential to sequester carbon through reforestation, than thought so far. However,  
235 assessments of mitigation potentials must consider the often relatively slow carbon gain in re-  
236 growing forests (compared to the rapid, large loss during deforestation), in particular the  
237 sluggish replenishment of long-term soil carbon storage<sup>43,44</sup>. What is more, trees grow now,  
238 and will in future, under very different environmental conditions compared to the past. A

239 warmer climate increases mineralisation rates and hence enhances nutrient supply to plant  
240 growth, supporting the CO<sub>2</sub> fertilisation effect, but also stimulates heterotrophic decay of  
241 existing soil carbon and/or flow of dissolved carbon, with as yet no agreement about the net  
242 effects<sup>3,45</sup>. Re-growing forests might also in future be more prone to fire risk, and other episodic  
243 events such as wind-throw or insect outbreaks<sup>46,47</sup>, crucial ecosystem features not yet  
244 represented well in models<sup>48</sup>. This question of “permanence” has been an important point of  
245 discussion at conferences under the UNFCCC, and also hampers of payment-for-ecosystem-  
246 services schemes that target conservation measures, since it is unclear how an increasing risk  
247 of losing carbon-uptake potential can be accounted for<sup>49,50</sup>.

248 Given that we may be greatly underestimating the present-day  $F_{RL}$ , and therefore missing or  
249 underestimating the importance of key driving mechanisms, projections of future terrestrial  
250 carbon uptake and losses appear more fraught with uncertainty than ever. In the light of the  
251 findings summarised here, this poses not only a major challenge when judging mitigation  
252 efforts, but also for the next generation of DGVMs and Earth System models to assess the future  
253 global carbon budget. Future work therefore needs to concentrate on representing the  
254 interactions between physiological responses to environmental change in ecosystems with  
255 improved representations of human land management.

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#### 394 **Author contributions**

395 AA, SS, JP, BS conceived the study. BP, LC, AB, MF, EK, JEMN, ADB, ML, TAMP, ER,  
396 TG, NV, CY, SZ made changes to model code and provided simulation results. AA and SS  
397 analysed results. BS, PC, WL provided Fig. 3. AA wrote the first draft, all authors commented  
398 on the draft and discussion of results.

399

400

#### 401 **Textbox: Calculations of global terrestrial carbon uptake and removal**

402 The net atmosphere-to-land carbon flux ( $F_L$ ) is generally inferred as the difference between  
403 other terms of the global carbon cycle perturbation,

$$404 \quad F_L = F_{FFC} - F_O - \frac{dA_{CO_2}}{dt} \quad (1)$$

405 where  $F_{FFC}$  are fossil fuel and cement emissions,  $F_O$  is the atmosphere-ocean carbon exchange  
406 (currently an uptake) and  $\frac{dA_{CO_2}}{dt}$  is the atmospheric growth rate of  $CO_2$  (1).  $F_{FFC}$  and  $\frac{dA_{CO_2}}{dt}$  are  
407 well known, and the estimate of the decadal global ocean carbon sink is bounded by a range of  
408 observations <sup>1</sup> such that the net land carbon flux is relatively well constrained. By contrast, there  
409 is much less confidence in separating  $F_L$  into a carbon flux from anthropogenic land use and

410 land cover change ( $F_{LULCC}$ ), and a ‘residual’ carbon flux to the land ( $F_{RL}$ ; (2)) which is typically  
411 calculated as the difference from the other carbon-cycle components:

$$412 \quad F_L = F_{RL} - F_{LULCC} \quad (2)$$

413  $F_{LULCC}$  and  $F_{LR}$  are both made up of source and sink fluxes. Uncertainties in  $F_{LULCC}$  and  $F_{RL}$  are  
414 around 35% - 40% over the period 1870-2014 (when expressed as % of the cumulative mean  
415 absolute values), compared to 13% for the cumulative ocean sink and 5% for fossil fuel burning  
416 and cement emissions<sup>1</sup>.

417  $F_{LULCC}$  has been modelled by the bookkeeping method (combining data-driven representative  
418 carbon stocks trajectories and/or –for the satellite period– remote-sensing information on  
419 carbon density for different biomes, with estimates of land-cover change), or by dynamic global  
420 vegetation models (DGVMs; calculating carbon density of ecosystems with process-based  
421 algorithms; see methods). DGVMs can also be used to calculate explicitly the magnitude and  
422 spatial distribution of  $F_{RL}$ <sup>1,2</sup> instead of deducing its global value as a difference between  $F_L$  and  
423  $F_{LULCC}$  as done in global budget analyses. The bookkeeping approach has the advantage that  
424 carbon densities and carbon response functions that describe the temporal evolution and fate of  
425 carbon after a LULCC disturbance can be based directly on observational evidence<sup>6,23</sup>, but has  
426 to assume that local observations can be extrapolated to regions/countries or biomes, thus partly  
427 ignoring spatial edaphic and climatic gradients of carbon stocks. The DGVM-based simulations  
428 have the advantage to account for environmental effects on carbon stocks through time, and  
429 account for spatial heterogeneity, but are poorly constrained by data. DGVMs and bookkeeping  
430 models have similarly large degree of uncertainties<sup>1</sup>.

431

432 **Figure captions**

433

434 Figure 1: Difference in LULCC emission flux ( $\Delta_{FLULCC}$ ) due to individual processes. Coloured  
435 lines represent different models, grey symbols and hairlines are average  $\pm$  one standard  
436 deviation.

437 a: wood harvest; b: shifting cultivation; c: harvest (using the grass functional type); d: full crop  
438 representation

439

440 Figure 2: Response ratio of cumulative  $F_{LULCC,1}$  and  $F_{LULCC,0}$ . See also Supplementary Table 1  
441 and methods for individual processes and models.

442

443 Figure 3: Comparison of net (a) and gross (b) forest / natural land change (in Million km<sup>2</sup>)  
444 between different LULCC data sets. Changes in LUH1 data <sup>7</sup> represents the change of natural  
445 land because there is no separate forest type in LUH1 while change in the other data sets  
446 indicates the forest change.

447

448

449

450 **Methods (and references for methods)**

451 1) General simulation set-up

452 Carbon fluxes from land-use change are derived as the difference between a simulation with  
453 historically varying observed climate, atmospheric CO<sub>2</sub> concentration and land-cover change  
454 (S3) and one in which land-cover change was held constant (S2)<sup>1,2</sup>. Land-cover changes were  
455 taken from HYDE<sup>3</sup> or LUH1<sup>4</sup>. In S2, land-cover distribution was fixed. Gridded historical  
456 estimates of gross-transitions (shifting cultivation in the tropics; *SC*) and wood harvesting (*WH*)  
457 were taken from<sup>4</sup>.

458 Spin up used repeated climate from the first decades of the 20<sup>th</sup> century, and constant CO<sub>2</sub>  
459 concentration and land-cover distribution (for details, see section 2). Upon achieving steady-  
460 state, land-cover distribution and CO<sub>2</sub> concentration were allowed to evolve transiently, whilst  
461 transient climate evolution began at 1901. Atmospheric CO<sub>2</sub> concentration was taken from ice  
462 core data until ca. mid-20<sup>th</sup> century, when atmospheric measurements became available<sup>2</sup>. A  
463 “baseline” carbon flux related to land-use change ( $F_{LULCC,0}$ ; see Supplementary Table 1) is  
464 defined as excluding gross transitions and wood harvest, and using the grass plant functional  
465 type to represent crop areas. Data in this Perspective article were from previously published  
466 work, supplemented by from additional, new simulations. In cases where more than one of the  
467 processes that are under investigation here were assessed by one model several S3 experiments  
468 were provided. While spin-up and model configurations differed between models, for S2 and  
469 S3 simulations of any one individual model the set-up was the same, which allows to identify  
470 the effect of adding the individual processes. Section (2) provides a brief summary of relevant  
471 aspects of models and simulation protocol, in particular where they differ from their previously  
472 published versions.

473

474 2) Individual models

## 475 2.1 JULES

476 Here, to implement crop harvest, four additional PFTs were added: C3 crops, C4 crops, C3  
477 pasture and C4 pasture, with identical parameter sets as the C3 and C4 grass PFTs. Lotka-  
478 Volterra equations <sup>5</sup> are used three times to calculate the vegetation distribution in natural areas,  
479 crop and pasture areas, with the calculations in each area being independent of the others. Crop  
480 harvest is represented by diverting 30% of crop litter to the fast product pool instead of to the  
481 soil; the fast product pool has a rapid decay timescale of 1 year. Pasture is not harvested.  
482 The model is forced by crop and pasture area from the Hyde 3.2 dataset <sup>2</sup> and by CRU-NCEP  
483 climate<sup>1,2</sup>, both at 1.875x1.25 degrees, using an hourly time-step, and updating vegetation  
484 distribution every ten days. 1080 years of spin-up were run by fixing crop and pasture areas at  
485 1860 levels and by repeating 1901-1920 climate and CO<sub>2</sub> concentrations.

## 486 2.2 JSBACH

487 The JSBACH version used here is similar to the version in <sup>2</sup>. S3 experiments include gross land-  
488 use transitions and wood harvest <sup>6</sup>.  $F_{LULCCc,0}$  in Supplementary Table 2 were calculated by  
489 subtracting the individual contributions of these processes. Net transitions are derived from the  
490 gross transition implementation, but by minimizing land conversions <sup>6</sup>. Wood harvest <sup>4</sup> is taken  
491 not only from forest PFTs but also shrubs and natural grasslands are harvested. Upon harvest,  
492 20% of the carbon is immediately released to the atmosphere; the rest is transferred into the  
493 litter and subject to soil dynamics. JSBACH simulations were conducted at 1.9°x1.9° forced  
494 with remapped 1° LUH1 data from 1860-2014 and daily climate calculated from the 6-hourly  
495 0.5° CRU-NCEP product <sup>2</sup> for the years 1901-2014. The initial state in 1860 is based on a spin-  
496 up with 1860 CO<sub>2</sub> concentrations (286.42 ppm), cycling (detrended) 1901-1921 climate and  
497 constant 1860 LUH1 wood harvest amounts. From 1860 annual CO<sub>2</sub> forcing was used, and after  
498 1901 climate was taken from CRU-NCEP. In the no-harvest simulation the 1860 wood harvest  
499 amounts were applied throughout the whole simulated period.

### 500 2.3 LPJ-GUESS

501 *SC*: For implementing shifting cultivation, recommendations followed those by <sup>4</sup>, with rotation  
502 periods of 15 years. Simulations used the coupled carbon-nitrogen version of the model <sup>7-8</sup> Spin-  
503 up used constant 1701 land-cover and CO<sub>2</sub> concentration, and 1901-1930 recycled climate.  
504 Upon steady-state land-cover and CO<sub>2</sub> were allowed to change from 1701, and climate from  
505 1901 onwards<sup>9</sup>. When land is cleared, 76% of woody biomass and 71% of leaf biomass is  
506 removed and oxidised within one year, with a further 21 % of woody biomass assigned to a  
507 product pool with 25 year turnover time <sup>9</sup>. Upon abandonment a secondary forest stand is  
508 created and recolonization of natural vegetation takes place from a state of bare soil. With forest  
509 rotation, young stands (above a minimum age of 15 years) are preferentially converted.

510 *GH/MC*: Simulations are taken from <sup>8</sup>, using the carbon-only version of the model. 68% of  
511 deforested woody biomass and 75% of leaf biomass is oxidised within one year, with a further  
512 30% of woody biomass going to the product pool. In the *GH* case, 50% of the above-ground  
513 biomass are annually removed from the ecosystem. In *MC*, 90% of the harvestable organs and  
514 an additional 75% of above-ground crop residues are removed each year. Simulations ran from  
515 1850 to 2012, with 1850 land-cover and CO<sub>2</sub> concentrations, and recycled climate (1901-1930)  
516 being used for spin-up.

517 All LPJ-GUESS simulations used CRU TS 3.23 climate <sup>10</sup>.

### 518 2.4 LPJ

519 Compared to previous versions, the model now uses the World Harmonization Soils Database  
520 version 1.2 for soil texture and Cosby equations <sup>11</sup> to estimate soil water holding capacity.  
521 Further developments allow for gross land-use transitions and wood harvest to be prescribed.  
522 Changes include (1) the primary grid-cell fraction only decreases in size; (2) secondary grid-  
523 cell fractions can decrease or increase in size by combining with other secondary forest  
524 fractions, recently abandoned land, or fractions with recent wood harvest; (3) deforestation

525 results in an immediate flux to the atmosphere equal to 100% of heartwood biomass and 50%  
526 of sapwood biomass; root biomass enters belowground litter pools, while 100% leaf and 50%  
527 of sapwood biomass becomes part of aboveground litter.

528 Wood harvest demand <sup>4</sup> on primary or secondary lands was met by the biomass in tree sapwood  
529 and heartwood only. Only whole trees were harvested (i.e., tree-density was reduced); wood  
530 from deforestation was not included to meet wood harvest demand. 100% of leaf biomass and  
531 40% of the sapwood and heartwood enters the aboveground litter, and 100% of root biomass  
532 enters the belowground litter pools; 60% of sapwood and heartwood are assumed to go into a  
533 product pool. Of these, 55% go to the 1-year product pool (emitted in the same year), 35% go  
534 to the 10-year product pool (emitted at rate 10% per year) and 10% go to the 100-year product  
535 pool (emitted at rate 1% per year). These delayed pool-emission fluxes are part of the LULCC  
536 fluxes. After harvest, the harvested fraction is mixed with existing secondary forest fraction, or  
537 a secondary fraction is created if none exists, while fully conserving biomass. For simulations  
538 with shifting cultivation, grid-cell fractions that underwent land-use change were not mixed  
539 with existing managed lands or secondary fractions until all land-use transitions had occurred.  
540 Simulations were performed using monthly CRU <sup>10</sup> (TS3.23) climate at 0.5° degrees, and  
541 finished in year 2013. Spin-up was done using recycled 1901-20 climate, and using 1860 land-  
542 cover and CO<sub>2</sub>. Upon steady-state, land cover and CO<sub>2</sub> varied after 1860 and climate varied  
543 after 1900.

#### 544 2.5 LPJmL

545 The LPJmL version used was as described in <sup>12-14</sup>. In the baseline scenario all crops were  
546 simulated as a mixture of C3 and C4 managed grasslands, 50% of the aboveground biomass is  
547 transferred to the harvest compartment and assumed to be respired in the same year. Climate  
548 data was 1901-2014 CRU TS v. 3.23 monthly datasets and land-use patterns from the HYDE  
549 3.2 dataset. Simulations were performed at 0.5° spatial resolution. Model spin-up used recycled

550 climate data from 1901-1920, and with land use patterns and CO<sub>2</sub> concentrations fixed to the  
551 1860 value. Simulations from 1861-2014 were done with varying annual CO<sub>2</sub> concentration  
552 values, and varying land use patterns according to the HYDE dataset, and with transient climate  
553 from 1901 until 2014.

## 554 2.6 LPX

555 Land-use change, including shifting cultivation and wood harvesting, is implemented as  
556 described in<sup>15</sup>, using the full land-use transition and wood harvesting data provided<sup>4</sup>. Wood  
557 (heartwood and sapwood) removed by harvesting and land conversion is diverted to products  
558 pools with turnover rates of 2 years (37.5%) and 20 years (37.5%). The rest, including slash  
559 from roots and leaves is respired within the same year.

560 Simulation results shown here are based on employing the GCP 2015 protocol and input data<sup>2</sup>.  
561 LPX includes interactive C and N cycling with N deposition and N fertiliser inputs  
562 <sup>16</sup>. Simulations with shifting cultivation and wood harvesting were spun up to equilibrium under  
563 land-use transitions and wood harvesting of year 1500<sup>15</sup>. Varying land-use transitions and wood  
564 harvesting was included from 1500 onwards, with CO<sub>2</sub> and N deposition of year 1860 and  
565 recycled climate from CRU TS 3.23, years 1901-1931. All simulations are done on a 1 x 1  
566 degree spatial resolution and make use of monthly climate input. Original GCP standard input  
567 files were aggregated to 1 x 1 degrees conserving area-weighted means (climate input) or  
568 absolute area of cropland and pasture (land use input).

## 569 2.7 OCN

570 The OCN version used here is applied as in the framework of the annual carbon budget<sup>2</sup>. OCN  
571 includes interactive C and N cycling with N deposition and N fertiliser inputs<sup>17</sup>. Wood harvest  
572 was implemented by first satisfying the prescribed wood extraction rate from wood production  
573 due to land-use change, and then removing additional biomass proportionally from forested  
574 tiles. Wood (heartwood and sapwood) removed by harvesting and land conversion is diverted



575 to products pools with turnover rates of 1 years (59.7%), 10 years (40.2% for tropical, and  
576 29.9% for extratropical trees) and 100 years (10.4 % for extratropical trees)<sup>18</sup>. The remainder  
577 enters the litter pools. In case OCN's forest growth rate did not suffice to meet the prescribed  
578 wood extraction rate, harvesting was limited to 5% of the total stand biomass and assumed to  
579 stop if the stand biomass density fell below 1 kg C m<sup>-2</sup>. These limits were set to account for  
580 offsets in annual wood production between OCN's predicted biomass growth and the  
581 assumptions in the Hurtt et al. database <sup>4</sup>. These limits may lead to lower than prescribed wood  
582 harvest rates in low productive areas. An additional run was performed with keeping wood  
583 harvest constant at 1860s level.

584 Simulations with wood harvesting were spun up to equilibrium using harvesting of the year  
585 1860 <sup>2</sup>. Varying land-use transitions or wood harvesting was included from 1860 onwards, with  
586 CO<sub>2</sub> and N deposition of year 1860 and recycled climate from CRU-NCEP, years 1901-1931.  
587 All simulations are done on a 1 x 1 degree spatial resolution and make use of daily climate  
588 input, which is disaggregated to half-hourly values by means of a weather generator <sup>19</sup>. Original  
589 GCP standard input files were aggregated to 1 x 1 degrees conserving area-weighted means  
590 (climate input) or absolute area of cropland and pasture (land use input).

## 591 592 2.8 ORCHIDEE

593 *WH*: Developments to the version included in <sup>2</sup> include annual wood harvest, the total wood  
594 harvested of a grid cell is removed from above-ground biomass of the different forest PFTs  
595 proportional (i) to its fraction in the gridcell and (ii) also to its relative biomass among forest  
596 PFTs. This results in harvesting more wood in biomass-rich forests. In cases of inconsistencies  
597 between the Orchidee and Hurtt forest fraction, and to avoid forest being degraded from  
598 excessive harvest we assume that no more than 20% of the total forest biomass of a gridcell can  
599 be harvested in one year. Hence the biomass actually harvested each year can be slightly lower  
600 than prescribed <sup>4</sup>. The harvested biomass enters 3 pools of 1, 10 and 100 residence years

601 respectively (and is part of  $F_{LULCC}$ ). Model runs were done at  $0.5^\circ \times 0.5^\circ$  resolution. Spin-up used  
602 recycled climate of 1901-1910.  $CO_2$  concentration, land-cover and wood-harvest were those  
603 of the year 1860. The model was run until the change in mean total carbon of 98% of grid-  
604 points over a ten-year spin-up period was  $< 0.05\%$ .

605 *SC*: Land cover transition matrices are upscaled from  $0.5^\circ$  LUH1 data <sup>4</sup> so no transition  
606 information is lost in the low-resolution run. The minimum bi-directional fluxes between two  
607 land cover types in LUH1 were treated as shifting cultivation. The model was forced with CRU-  
608 NCEP forcing (v5.3.2), re-gridded to  $5^\circ$  resolution from the original  $0.5^\circ$  resolution. Spin-up  
609 simulation used recycled climate data for 1901-1910 with atmospheric  $CO_2$  held at 1750 level,  
610 and land cover fixed at 1500. Transient runs started from 1501 until 2014, with  $CO_2$  varying  
611 from 1750 and climate varying from 1901. In the transient run for the control simulation, land  
612 cover is held constant at 1500; for the *SC* run, land cover varies by applying annual land use  
613 transition matrices of shifting cultivation. All runs have been performed with outputs on annual  
614 temporal resolution but forcing data is with 6-hourly.

## 615 2.9 OSCAR

616 A complete description of OSCAR v2.2 is provided by <sup>20</sup>. OSCAR is not a DGVM, but a  
617 compact Earth system model calibrated on complex models. Here, it is used in an offline setup  
618 in which the terrestrial carbon-cycle module is driven by exogenous changes in atmospheric  
619  $CO_2$  (IPCC AR5 WG1 Annex 2), climate (CRU TS v. 3.23), and land-use and land cover  
620 (HYDE 3.2).

621 The global terrestrial biosphere is disaggregated into 9 regions (detailed by <sup>21</sup>) and subdivided  
622 into 5 biomes (bare soil, forest, shrubland+grassland, cropland, pasture). The carbon-cycle in  
623 each of these 45 subparts is represented by a three-box model whose parameters are calibrated  
624 on DGVMs. The preindustrial equilibrium (carbon densities and fluxes) is calibrated on  
625 TRENDY v2 models <sup>1</sup>. The transient response of NPP, heterotrophic respiration and wildfires

626 to CO<sub>2</sub> and/or climate is calibrated on CMIP5 models <sup>22</sup>. The impact of land-use and land-cover  
627 change on the terrestrial carbon-cycle is modelled using a book-keeping approach. Coefficients  
628 used to allocate biomass after land-use or land-cover change are based on <sup>23</sup>.  
629 Since OSCAR v2.2 is meant to be used in a probabilistic setup we made an ensemble of 2400  
630 simulations in which the parameters (e.g. preindustrial equilibrium, transient responses,  
631 allocation coefficients) are drawn randomly from the pool of available parameterizations. See  
632 <sup>20</sup> for more details. The resulting “OSCAR” values discussed and shown in the main text are  
633 the median of this ensemble.

#### 634 2.10 VISIT

635 Implementation of climate, land-use change (gross transitions, *SC*) and wood harvest (*WH*) has  
636 not changed from <sup>2</sup>. Land-use, land-use change, and wood harvest data for 1860-2014 were  
637 from LUH1 <sup>4</sup>. For *WH*, the amount of harvested biomass prescribed in <sup>4</sup> were transferred from  
638 simulated stem biomass to 1-year product pool (emitted in entirety in same year of wood  
639 harvest), 10-year product pool, and 100-year product pool in a same manner as in the cleared  
640 biomass with land-use change described in <sup>24</sup>. Non-harvested part of biomass were remain in  
641 the ecosystem. The fluxes from wood harvest pools are included in the NBP calculations.

642 Climate data was 1901-2014 monthly CRU TS v. 3.23 and all simulations were conducted with  
643 0.5° spatial resolution. The model spin-up was performed recycling climate data from 1901-  
644 1920, and with land use patterns and CO<sub>2</sub> concentrations fixed to the 1860 value. Simulations  
645 from 1860-2014 were done with varying annual CO<sub>2</sub> concentration values, varying land use  
646 patterns according to LUH1, recycling the climate from 1901-1920 in the period 1860-1900,  
647 and with transient climate from 1901 until 2014.

648

649 3) Data in Figure 3

650 Data for net forest change from FAO <sup>25</sup> is calculated as the difference of forest area between  
651 2000 and 2010 in each region. The same data were also used in the Houghton et al. bookkeeping  
652 model <sup>26</sup>. The net forest change from Hansen et al. <sup>27</sup> is based on satellite observations, and is  
653 their difference between gross forest gain and gross forest loss during 2000-2012. Because the  
654 LUH1 data set <sup>4</sup> only has one type of natural vegetation, and does not separate natural forest  
655 from natural grassland, the change in Figure 3 represents the total change of natural land. In  
656 Figure 3b, for LUH1 the gross loss includes transitions from primary/secondary vegetation to  
657 cropland / pasture, while the gross gain is the sum of transitions from cropland and pasture to  
658 secondary land. With grasslands and forests treated as separate land-cover types in LUH2  
659 (<http://luh.umd.edu/>), the change includes transitions from primary / secondary forest to  
660 cropland / pasture (gross loss) and transitions from cropland / pasture to secondary forest (gross  
661 gain). The net change for LUH1 or LUH2 is the difference between gross loss and gross gain.  
662 To be consistent with <sup>27</sup>, the period calculated for LUH1 and LUH2 is also from 2000 to 2012.

663

664

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