Chapter 3

Strengthening of the Japan-U.S. Alliance

Based on the Japan-U.S. Security Treaty, the Japan-U.S. Security Arrangements, together with Japan's own efforts, constitute the cornerstone for Japan's security. The Japan-U.S. Alliance centered on bilateral security arrangements functions as public goods that contribute to the stability and prosperity, not only of Japan but also of the Asia-Pacific region and the world at large. As the security environment surrounding Japan becomes increasingly severe, and the United States, at the same time, maintains and strengthens its engagement and presence in the Asia-Pacific region, it has become more important than ever to strengthen the Japan-U.S. Alliance for the security of Japan.

The military presence of U.S. Forces in Japan not only contributes to the defense of Japan, but also functions as deterrence and response capabilities to address contingencies in the Asia-Pacific region, and serves as a core element of the Japan-U.S. Security Arrangements. On the other hand, since the stationing of U.S. Forces in Japan impacts the living environment of local residents, efforts that correspond to the actual situation of each region must be made to mitigate the impact on regions such as Okinawa.

Section 1 Significance of the Japan-U.S. Security Arrangements

Maintenance of Japan's Peace and Security

In the current international community, a watertight defense system capable of responding to every contingency, ranging from all types of armed attacks including the use of nuclear weapons to coercion or intimidation by military power, is necessary to secure the peace, security, and sovereignty of the nation. It is impossible even for the United States to guarantee its security on its own. Therefore, it would be practically impossible for Japan to ensure its national security solely through its unilateral efforts given its population, land, and economy. Moreover, such a strategy would not necessarily contribute to regional stability.

Consequently, Japan has maintained its peace and security, centered on the Security Arrangements with the world's dominant military power, the United States, with which it shares basic values such as democracy, the rule of law, respect for human rights, and a capitalist economy as well as an interest in maintaining the peace and security of the world, and has strong economic ties.

Specifically, as well as providing facilities and areas for the U.S. Forces, based on Article 6 of the Japan-U.S. Security Treaty, Article 5 of that treaty stipulates that Japan and the United States will take bilateral action in the event of an armed attack against Japan. The U.S. obligation to defend Japan in the event of an armed attack means that if a country plans to attack Japan, the attacker must be prepared to confront not only the defense capability of the SDF, but also the overwhelming military strength of the United States when planning such an act. As a result, the opposing nation becomes aware that they will suffer grievously if they carry out an invasion, and such desires are stopped at the planning stage. In other words, this serves to deter attacks.

Japan intends to effectively utilize the deterrence power of the U.S. military in addition to maintaining adequate Japanese defense forces in order to create a seamless posture and secure Japan's peace and security.

Maintenance of Peace and Stability in the Region Surrounding Japan

Article 6 of the Japan-U.S. Security Treaty states that contributing to the security of Japan, and the maintenance of international peace and security in the Far East is the purpose of the use of facilities and areas by the U.S. Forces in Japan. This provision is based on the recognition that the security of Japan is closely tied to the peace and security of the Far East region to which Japan belongs.

In the regions surrounding Japan, there are many states and the like with massive military power, including some states that retain nuclear weapons or continue nuclear development. In addition to issues or tension caused by changes in the balance of power, situations that we call "gray zones" over sovereignty of the territory or

vested interests are likely to arise, and this risks further aggravation of the situation. In such a security environment, the military presence of the U.S. Forces in Japan provides deterrence against unexpected contingencies caused by various security issues or unstable factors, providing a great sense of security to the nations in the region and thus fulfilling a role as public goods. Also, the close bonds of cooperation based on the Japan-U.S. Security Arrangements constitute the foundation of the United States' commitment to the peace and stability of the region surrounding Japan. These arrangements, complemented by the alliances established between the United States and other countries in the region such as South Korea, Australia, Thailand and the Philippines and also by the friendly relations developed with other countries, play an indispensable role in maintaining the peace and stability of the Asia-Pacific region.

Further Stabilization of the International Security Environment

The Japan-U.S. Security Arrangements are the foundation for a comprehensive and friendly cooperative relationship between Japan and the United States, not only in defense but also in a wide range of areas, including politics, the economy, and society. The Japan-U.S. Alliance, with these security arrangements at its core, also forms the basis for Japan's foreign policy. It contributes to Japan's ability to implement positive measures to maintain the peace and security of the international community, including promoting multinational security dialogue and cooperation, and cooperation with the United Nations.

Currently, we are confronted with global security challenges that are extremely difficult for any single country to tackle alone, including the proliferation of weapons of mass destruction and ballistic missiles, international terrorism, and acts of piracy, as well as new risks concerning stable access to the seas, space, and cyberspace, and it is important for countries involved to regularly work together in peacetime. The strong bonds

forged between Japan and the United States are also playing an important role in the efforts implemented by Japan to effectively respond to such challenges.

In particular, under the Japan-U.S. Security Arrangements, the SDF and the U.S. Forces are working together in peacetime in a variety of areas to strengthen their cooperation. This kind of close coordination forms the foundation for various forms of international collaboration, such as antipiracy, undertaken by the SDF and the U.S. Forces, and leads to the heightened operational effectiveness of the Japan-U.S. Security Arrangements.

The peace and prosperity of the international community are closely linked to those of Japan. Accordingly, by cooperating with the United States, which possesses preeminent international operational capabilities, Japan is able to advance measures to further stabilize the global security environment. This in turn is enhancing the security and prosperity of Japan.

Section 2 Overview of the Revision of the Guidelines

It is necessary for both Japan and the United States to discuss and decide the roles each will fulfill in case of an armed attack against Japan or other situation in advance, with a view to responding rapidly to such an event. The Guidelines for Japan-U.S. Defense Cooperation (Guidelines) and the various policies for ensuring their effectiveness provide a framework pertaining to role-sharing between Japan and the United States. Based on that framework and the changing security environment surrounding Japan, both Japan and the United States continuously studied bilateral cooperation plans for the two countries, held consultations on them, and worked on the revision of the Guidelines to adapt to the current situations.

Background to the Revision of the Guidelines

Background to the Formulation of the Guidelines

The 1978 Guidelines were formulated with a focus on an armed attack on Japan against the backdrop of the Cold War, which was still ongoing then. The subsequent Guidelines were developed in 1997 in light of changes in the security environment, such as the end of the Cold War. The 1997 Guidelines expanded cooperation to responses in situations in areas surrounding Japan. The 1997 Guidelines define the roles of Japan and the United States, and the cooperation of the two countries under three categories: (1) in peacetime, (2) in response to an armed attack against Japan, and (3) in situations in areas surrounding Japan. They also stipulated that they would review the Guidelines in a timely and appropriate manner.

Background to the Revision

More than 17 years have passed since the formulation of the 1997 Guidelines. During these years, various issues and destabilizing factors have emerged, and become more visible and aggravated in the security environment surrounding Japan; such as more active military activities of neighboring countries, new threats including international terrorist organizations and risks against stable use of global commons such as oceans, space and cyberspace. In addition, the activities of the SDF have expanded to a global scale, as exemplified by antipiracy activities, PKO, and international disaster relief activities. As a result, it had become necessary for the manner of Japan-U.S. defense cooperation to be adapted to these changes in the security environment and the expansion of the SDF's activities and missions.

Against the backdrop of these changes in the security environment, Prime Minister Abe directed then Minister