

Terms and Conditions “RM1 Brokerage Fee” Campaign

1. The following Terms & Conditions shall apply to Rakuten Trade Sdn. Bhd. (“Rakuten Trade”). **“RM1 Brokerage Fee”** (hereinafter referred to as “the Campaign”) which will commence from **5 August 2024 – 31 December 2025**. (“Campaign Period”).

Eligibility

2. By participating in this Campaign, you hereby agree to be bound by the following Terms and Conditions.
3. The Campaign terms and conditions (“Terms and Conditions”) shall apply to all new and existing customers (‘Customers’) of Rakuten Trade who have activated a Rakuten Trade account with Foreign Trading Account and performs their first trade on NYSE/ NASDAQ market with Rakuten Trade during the Campaign Period. (“Eligible Participants”) successfully.
4. Customers who have traded on NYSE/ NASDAQ market prior to the Campaign Period shall **NOT BE** eligible to participate in this Campaign.
5. A successful matched trade refers to either BUY or SELL transaction with “Matched” and/or “Partial Matched” status.
6. A Rakuten Trade account is activated upon the issuance of a Central Depository System (“CDS”) number.
7. A Foreign Trading Account is activated upon the issuance of an Interactive Brokers (IBKR) number.
8. Employees of Rakuten Trade shall be eligible to participate in this Campaign.
9. Any individual who is below the age of eighteen (18) years as of the activation date with Rakuten Trade shall NOT be eligible to participate in this Campaign.

Campaign Mechanism

10. Subject to these Terms and Conditions, Eligible Participants who perform their first trade on NYSE/NASDAQ market during the Campaign Period, shall be **entitled to RM1 brokerage fee (if Eligible Participants opt for MYR settlement) OR USD 0.20 (if Eligible Participants opt for USD settlement)** on the first and subsequent trades on NYSE/NASDAQ market for up to three (3) months (‘Reward’).:-

A simulation of the rewards matrix is as per indicated below: -

Scenario	First trade on NYSE/ NASDAQ	Rewards Eligibility Period
A	5 August 2024	5 August 2024 – 31 October2024
B	25 August 2024	25 August 2024 – 31 October 2024
C	1 October 2024	1 October 2024 –31 December2024
D	1 December 2024	1 December 2024 – 28 February 2025
E	31 December 2025	31 December 2025 – 28 February 2025

11. Eligible Participants will be charged a normal brokerage fee first during the order, the difference in brokerage fee will be rebated and the account rectified at the end of the day. Eligible Participants are allowed to use available RT Points to offset the brokerage fee.

General

12. No other Rakuten Trade account opening related campaign shall be applicable during the Campaign Period.
13. By participating in the Campaign, Eligible Participants are deemed to have agreed to be bound by these Terms & Conditions. Rakuten Trade's decision on all matters relating to the Campaign is final, binding and conclusive. No correspondence, queries, appeals or protests will be entertained.
14. Rakuten Trade reserves the right to cancel, terminate, suspend the Campaign with or without any prior notice. For the avoidance of doubt, cancellation, termination or suspension by Rakuten Trade shall not entitle any of the Eligible Participants to any claim or compensation against Rakuten Trade for any losses or damages suffered or incurred by the Eligible Participants as a direct or indirect result of the act of cancellation, termination or suspension.
15. Rakuten Trade reserves the right at its absolute discretion to amend, delete or add to any of these Terms and Conditions at any time with or without prior notice. Any variation, additions, deletions or amendments to the Terms and Conditions herein shall be binding on the Eligible Participants and be deemed to be brought to the attention of the Eligible Participants through any notice displayed at Rakuten Trade's website.
16. Rakuten Trade shall not be held liable and/or responsible for any loss, damage or any other form of liability (including indirect, consequential or economic losses, loss of profits, and loss of opportunity) in whatsoever nature and howsoever arising or suffered by Eligible Participants directly or indirectly from the Campaign.
17. Rakuten Trade shall not be held liable for any losses or liabilities arising from any force majeure event which include but is not limited to an act of God, war, riot, lockout, industrial action, fire, flood, drought, storm or any event beyond the reasonable control of Rakuten Trade.
18. Rakuten Trade shall not be held liable for any default of its obligation under the Campaign due to any force majeure event which include but is not limited to an act of God, war, riot, lockout, industrial action, fire, flood, drought, store or any event beyond the reasonable control of Rakuten Trade.
19. Participation in this Campaign shall be deemed consent by the Eligible Participants for Rakuten Trade to collect, process and use the Eligible Participant's personal data for the purpose of the Campaign and in accordance with Rakuten Trade's Personal Data Protection Notice (which is available at https://www.rakutentrade.my/files/pdpa_notices.pdf). Eligible Participants may opt out of receiving marketing communications by writing to the designated data officer as stated in Rakuten Trade's Personal Data Protection Notice.
20. This Campaign shall be governed by and construed in accordance with the laws of Malaysia and subject to the exclusive jurisdiction of the Courts of Malaysia.
21. The Terms and Conditions has not been reviewed by the Securities Commission Malaysia.