17-654/17-754 Analysis of Software Artifacts

Spring 2007

Testing

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Primary source: Kaner, Falk, Nguyen. Testing Computer Software (2nd Edition).

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Context: Software Quality Assurance

Design intent: Validation and Verification Sources of flaws For software: "flaw" = "defect" = "fault" = "bug"

- Flaws in understanding of intent
 - Validation Does the system do the right thing?
 - Have we correctly analyzed the problem?
 - Flaws in specification incorrect capture of requirements
 - Intent
 - What the system does—its functionality
 - How the system accomplishes its task—performance
 - How the system responds to unexpected situations—robustness
 - What services are provided by system infrastructure—environment
 - · Libraries, frameworks, hardware, etc.
- Flaws in realization of intent
 - **Verification** Does the system do the thing right?
 - Have we correctly implemented a solution?
 - Flaws in design and architecture
 - Incorrect high-level development decisions
 - Flaws in code implementation
 - Flaws in infrastructure implementation

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Reference code

Implicit Test cases

Declarations Stories

Specifications in use

Formal

Models

Primary focus of this course

Find that bug!

• A published (Mathematica) version of binary search :

```
BinarySearch[I_List, k_Integer,
                low_Integer, high_Integer, f_] :=
Module[{mid = Floor[ (low + high)/2 ]},
  If [low > high, Return[low - 1/2]];
  If [f[ | [[mid]] ] == k, Return[mid]];
  If [f[ |[[mid]] ] > k,
        BinarySearch[1, k, 1, mid-1, f],
        BinarySearch[l, k, mid+1, high, f]]]
```

This library code contains a flaw that was not detected for five years.

• The first recursive call to BinarySearch should read:

BinarySearch[1, k, low, mid-1, f]

• Turns a logarithmic algorithm into a linear one

Moral: not all defects cause incorrect output

• How would you test for this?

Mathematica Journal v5i4; DiscreteMath`Combinatorica` package error

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Software quality assurance and testing

- A critical software engineering challenge
- Difficulties
 - Expense: Testing and evaluation typically consume more time and cost in the software engineering process than design and code development

 Typically 50% of total cost is attributable to quality assurance.
 - Precision: Almost impossible to completely succeed in testing and QA
 - "Very high quality" is rarely achieved, even for critical systems
 Major gaps in testing and inspection
 - Consequential: The consequences (downside, upside) are considerable

 - NIST report: \$60B lost
 Developers: Holding back features and new capability
- Trends
 - There is rapid evolution in technology and practice (more later)
 - Important new techniques are emerging
 - Technical toolsLanguage
 - Engineering for "assurability" or "testability"
 - Requirements
 - Architecture, design, and other models
 - · Implementation practices, languages, tools
 - Process
 - · Metrics and measurement

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Principal Evaluative Techniques

- Testing
 - Direct execution of code on test data in a controlled environment
 - Functional and performance attributes
 - Component-level
 - System-level
 - Identify and locate faults no assurance of complete coverage
- Inspection
 - Human evaluation of code, design documents (specs and models)
 - Structural attributes
 - · Design and architecture
 - Coding practices
 - · Algorithms and design elements
 - Creation and codification of understanding
- Static analysis
 - Tool-supported direct static evaluation of formal software artifacts
 - Non-functional attributes
 - Null references
 - Unexpected exceptions
 - Memory usage
 - Can yield partial positive assurance

Other techniques Dynamic analysis Model checking Verification

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Software quality methods – a survey

Evaluative techniques

- TestingSystem and integrationUnit

 - Performance
 - Function
 - Usability

• Test management

- StrategyCoverageFault introduction
- Resource management

Inspection

- RequirementsDesignCode

- Static analysis
 Walk tree of code text
 Follow control paths
 Follow data paths

- Dynamic analysis
 Monitoring and runtime checking
 Instrumentation of code
 Simulation of code

Design Robustness patterns Safe APIs Analysis

Architecture

Preventive techniques

RequirementsQuality stakeholdersNon-functional attributes

Measurement and feedback
 CMM, TSP, etc.
 Testers and their role
 E.g., S&S, agile
 Risk mgmt

Robustness and self-healing

- Coding
 Safe languages
 Safe coding practices
 Encapsulation / sandboxing

Specific practices Use of tools Defect tracking Root cause analysis

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Criteria for evaluating techniques

- Cost
 - Ease of use
 - Resource requirements: people, time, computing
- Timeliness: when we get answers
 - E.g., unit test with scaffold
 - E.g., mock-up and prototyping
- Accuracy
 - False positives
 - False negatives
- Development value
 - E.g., Easier to modify code, add features
 - · Risks of adoption

- · Metrics: observability of outcomes
- Scope: What kinds of defects it addresses
 - System scale and complexity
 - Error vs. fault focus
 - Non-functional attributes: performance, usability, security, safety, etc.
 - Functionality
- Integration and value during development
 - Defect prevention support
 - Architecture design
 - Code management
 - Modeling and design intent capture

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Faults, Errors, Failures, and Hazards

Faults, Errors, Failures, Hazards

- Fault
 - Type 1 a flaw in an attached physical component
 - Traditional notion of a fault in hardware reliability theory (physical parts wearing out)
 - Type 2 a static flaw in software code

 - Syntactically local in code or structurally pervasive
 Software faults cause errors only when triggered by use.
- Error incorrect state at execution time caused by a fault
 - E.g., buffer overflow, race condition, deadlock, corrupted data
- Failure effect of an error on system capability
 - E.g., program crashes, attacker gains control, program becomes unresponsive, incorrect output
- Severity cost of failure to stakeholders
 - E.g., Loss of life, privacy compromise
- Hazard product of failure probability and severity
 - Equivalent to risk exposure

Relating faults, errors, failures, hazards

- The unconstrained situation (black box)
 - Any fault can potentially lead to any failure
 - Dependability and security challenges cannot be prioritized
- Commitment to mission profile
 - Mission profile defines hazards
 - It determines relative priorities for action
 - Which faults/errors/failures have greatest hazard/risk?
- Commitments to design and structure
 - Design commitments constrain the mapping
 - An ideal design fully mitigates faults without affecting system functionality
 - Examples
 - Architecture and structure
 - E.g., self-healing, autonomic architectures
 - E.g., domain-specific architectures
 - Coding practice and patterns
 - E.g., state estimators, robustness tests, etc.
 - E.g., tools, patterns, anti-patterns
 - E.g., measurements of potential fault sites and mitigations
 - Tools and measurements

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Fault Tolerance

- How does the system behave in the presence of errors in the environment
- Tolerating the faults of sensors, affectors, other physical components in a system
 - "Tolerating" means diminishing the likelihood or severity of failure in response to the fault
- Examples
 - Memory parity errors
 - Network transient faults
 - Sensor failures
 - Actuator anomalies
 - Processor transient faults

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Robustness

- What happens when the system receives incorrect inputs?
 - Important for **security**
 - Examples:
 - Buffer under/overflow
 - Protocol violations
 - Null references
 - Precondition errors
 - "Fault tolerance" with respect to design faults in other software components.

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Robustness

- A range of possible responses
 - -1
 - Exception raised
 - Silent failure
 - Recoverable system crash
 - Unrecoverable (hard reboot) system crash
 - Corrupted local data
 - Corrupted database
- Examples of robustness failures
 - Windows device driver errors
 - API misuse by client code
 - Person-in-the-loop
- Testing for robustness
 - "Beyond the edge cases"

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```
Buffer overflow errors / exploits
 #include
 #define BUF_LEN 40
 void main(int argc, char **argv) {
    char buf[BUF_LEN];
    if (argv > 1) {
       printf("buffer length: %d \n parameter length: %d",
                             BUF_LEN,
                                                     strlen(argv[1]) );
       strcpy(buf, argv[1]);
    }
}
 % bad.exe AAAABBBBCCCC
% bad.exe AAAABBBCCCCDDDDEEEEFFFFGGGGHHHHIIIIJJJJKKKKLLLLMMMM
What are the results of an input that is too long?
                                                       www.windowsecurity.com
                                                                      15
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```

```
Java buffer overflow
public class Bad {
  static final int bufLen = 40;
  public static void main (String argv[]) {
      char[] buf = new char[bufLen];
      if (argv.length >0) {
         int len = argv[0].length();
         char[] tmp = argv[0].toCharArray();
         System.out.println("buffer length: " + bufLen + " parameter length: " + len);
          System arraycopy(tmp, 0, buf, 0, len);
     }
  }
% java Bad AAAABBBBCCCCDDDDEEEEFFFFGGGGHHHHIIIIJJJJKKKKLLLLMMMM
buffer length: 40 parameter length: 52
java.lang.ArrayIndexOutOfBoundsException
                                                        Severity of failure is
   at java.lang.System.arraycopy(Native Method)
                                                       decreased relative to C
   at Bad.main(Bad.java:16)
Exception in thread "main"
                                                                                       16
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```

API and Framework Usage Errors

- Related to robustness errors
- Aspects of API and framework usage
 - Method call protocol (order of calls and state management)
 Respect for callbacks (library is only caller)

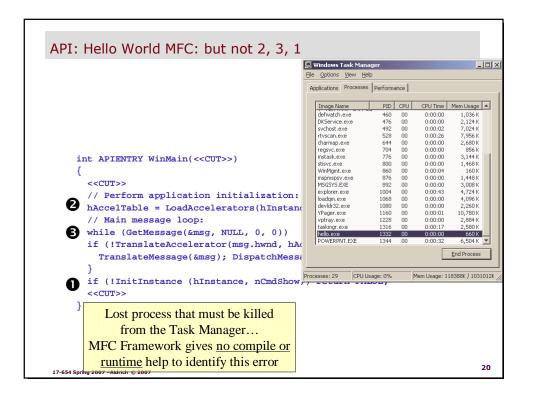
 - Required set up and tear down
 - Aliasing (inappropriate object references)
 - Effects (inappropriate access or update to state)
 - Locking roles (e.g., caller must acquire a lock)

 - Use of threads (e.g., Java AWT)
 Exception handling (e.g., correct handling of library exceptions)
- Key point
 - Many developers have difficulty in understanding and respecting the "bureaucracy" of an API
 - · How to model?
 - · How to assure?

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API: Hello World MFC: "Easy as 1, 2, 3" Hello World! int APIENTRY WinMain(<<CUT>>) // Perform application initialization: if (!InitInstance (hInstance, nCmdShow)) return FALSE; hAccelTable = LoadAccelerators(hInstance, (LPCTSTR)IDC_HELLO); // Main message loop: 3 while (GetMessage(&msg, NULL, 0, 0)) if (!TranslateAccelerator(msg.hwnd, hAccelTable, &msg)) { TranslateMessage(&msg); DispatchMessage(&msg); <<CUT>> Program runs fine 18 17-654 Spring 2007 -Aldrich © 2007



API: Hello World MFC: but not 2, 3, 1

- Could MFC detect the incorrect use of the API?
 - Instead of:

```
hello.exe - 0 error(s), 0 warning(s)
```

We should get:

```
Error: GetMessage() called before InitInstance() - Illegal API use
hello.exe - 1 error(s), 0 warning(s)
```

Or at least:

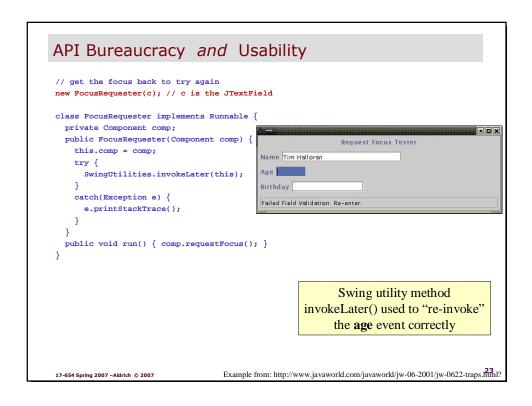
```
Warning: GetMessage() called before InitInstance() - API warning
hello.exe - 0 error(s), 1 warning(s)
```

- Call order is a critical property for the API client
 - Typically verified by inspection
 - Model checking, typestate are emerging approaches
- · A more robust design reduces severity of failure
 - In this case, by making it easier to identify the fix

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API Bureaucracy and Usability public void focusLost(FocusEvent fevt) { <<CUT>> if (fieldName.equals(AGE)) { try { int i = Integer.parseInt(val); status.setText("Age is Valid."); Request Focus Tester catch (NumberFormatException nfe) { // FAILED VALIDATION // empty field tf.setText(""); // get the focus back to try again Failed Field Validation. Re-enter tf.requestFocus(); status.setText("Failed Field Validation. Re-enter."); When a bad age is typed focus <<CUT>> is not sent back to the "Age" field as the code requests. It goes to birthday.



Testing – The Big Questions

- 1. What is testing?
 - And why do we test?
- 2. What do we test?
 - Levels of structure: unit, integration, system...
- 3. How do we select a set of good tests?

 - Value-driven testing Functional (black-box) testing Structural (white-box) testing
- 4. How do we assess our test suites?
 - Coverage, Mutation, Capture/Recapture...
- 5. Practices for testability
 What are known best test practices?
 - How does testing integrate into lifecycle and metrics?
- 6. What are the limits of testing?What are complementary approaches?
 - - Inspections
 Static and dynamic analysis

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1. Testing: What and Why

- · What is testing?
 - Direct execution of code on test data in a controlled environment
- Goals of testing
 - To reveal failures
 - Most important goal of testing
 - To assess quality
 - Difficult to quantify, but still important
 - To clarify the specification
 - Always test with respect to a spec
 - Testing shows inconsistency
 - Either spec or program could be wrong
 - To learn about program
 - How does it behave under various conditions?
 - Feedback to rest of team goes beyond bugs
 - To verify contract
 - Includes customer, legal, standards

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Testing is NOT to show correctness

- Theory: "Complete testing" is impossible
 - For realistic programs there is always untested input
 - The program may fail on this input
- Psychology: Test to find bugs, not to show correctness
 - Showing correctness: you fail when program does
 - Psychology experiment
 - People look for blips on screen
 - They notice more if rewarded for finding blips than if penalized for giving false alarms
 - Testing for bugs is more successful than testing for correctness
 - [Teasley, Leventhal, Mynatt & Rohlman]

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Coverage, Mutation, Capture/Recapture...

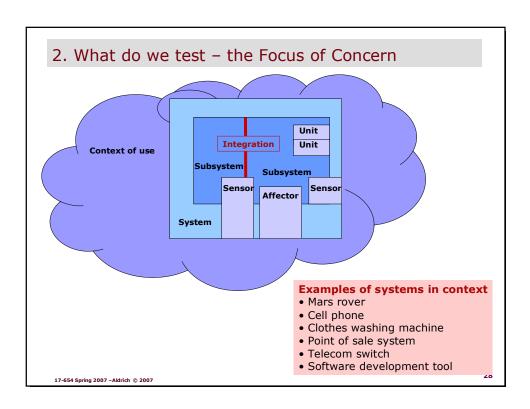
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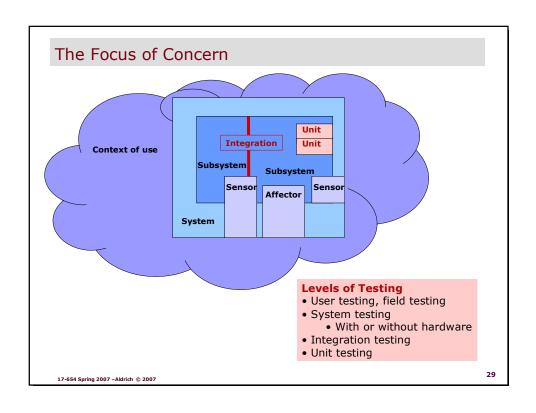
6. What are the limits of testing?

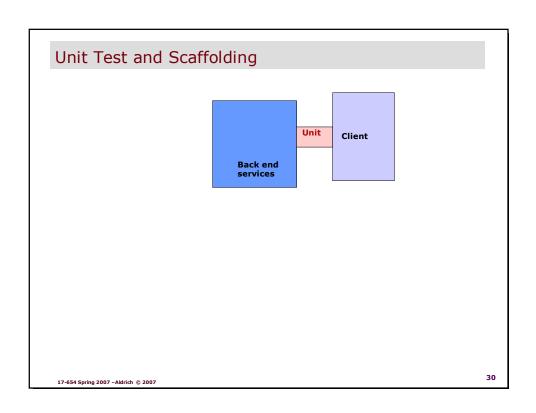
- What are complementary approaches?

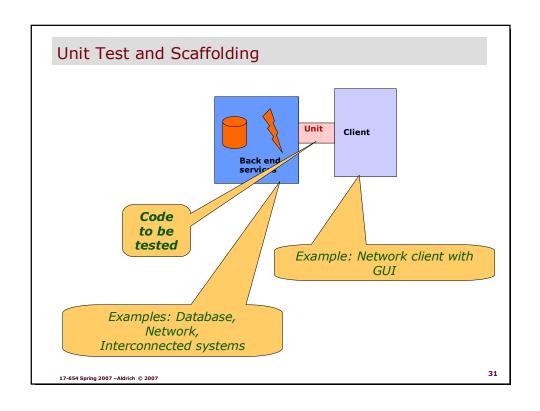
 - InspectionsStatic and dynamic analysis

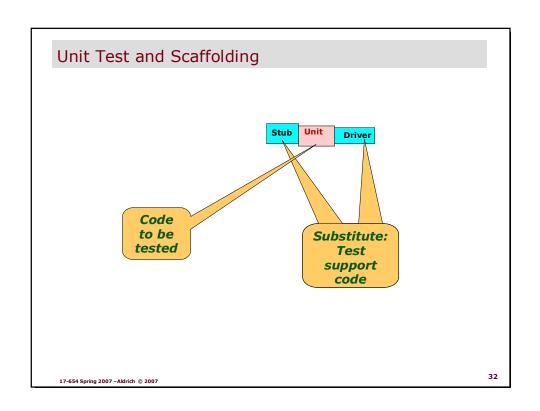
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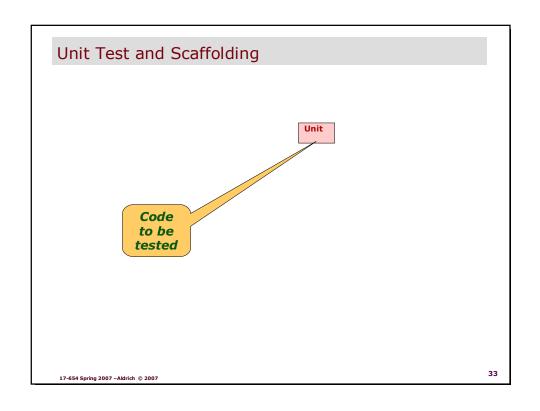


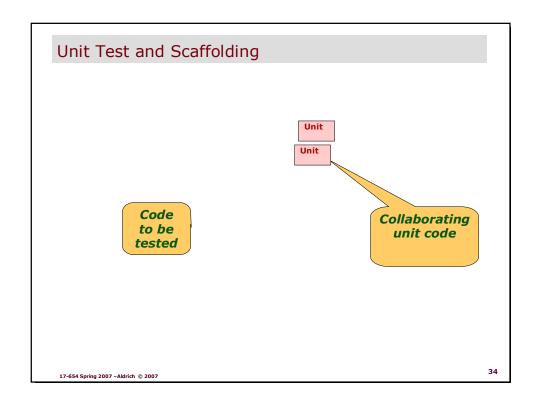


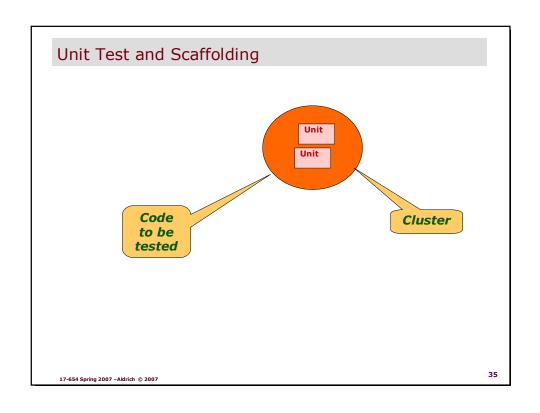


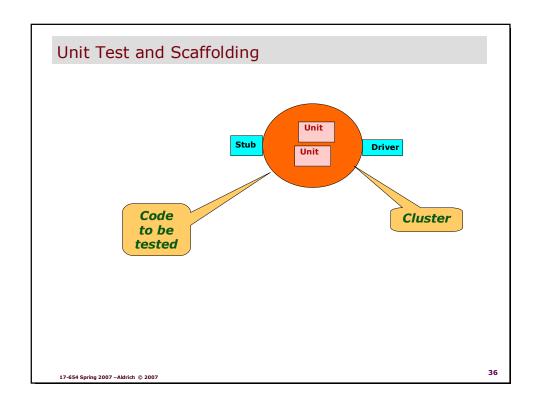












Unit Test for Components

- Unit testing
 - Separate testing of individual components of a system
- Purpose
 - Catch bugs early
 - Improve coverage
 - Validate internal interface/API designs
 - Catch bugs before code is written
 - Use test suites to guide implementation
 - Test components before client/service code is available
- Done by development teams
 - Original component developer
 - Tester / collaborator
- Integration testing
 - Test groups of interacting components
 - Exercise interactions among code components
 Test in context of portions of the real environment
 - Same techniques and tools as for unit testing

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Techniques for Unit Testing 1: Scaffolding

- Use "scaffold" to simulate external code
- External code scaffold points
 - 1. Client code
 - 2. Underlying service code
- 1. Client API
 - Model the software client for the service being tested
 - Create a test driver
 - Object-oriented approach:
 - · Test individual calls and sequences of calls



Testers write driver code

Unit Driver

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Techniques for Unit Testing 1: Scaffolding

- Use "scaffold" to simulate external code
- External code scaffold points
 - 1. Client code
- 2. Underlying service code

2. Service code

- Underlying services
 - Communication services
 - Model behavior through a communications
 - Database queries and transactions
 - Network/web transactions
 - Device interfaces
 - Simulate device behavior and failure modes
 - File system
 - Create file data sets
 - Simulate file system corruption
 - Etc
- Create a set of **stub** services or **mock** objects
 - Minimal representations of APIs for these services



Testers write stub code



Stub

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Driver

Scaffolding

- Purposes
 - Catch bugs early
 - Before client code or services are available
 - Limit the scope of debugging
 - Localize errors
 - Improve coverage
 - System-level tests may only cover 70% of code [Massol]
 Simulate unusual error conditions test internal robustness
 - · Validate internal interface/API designs
 - Simulate clients in advance of their development
 - · Simulate services in advance of their development
 - Capture developer intent (in the absence of specification documentation)
 - A test suite formally captures elements of design intent
 Developer documentation
 - Enable division of effort
 - Separate development / testing of service and client
 - Improve low-level design
 - Early attention to ability to test "testability"

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- 5. Practices for testability

 What are known best test practices?
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6. What are the limits of testing?

- What are complementary approaches?

 - InspectionsStatic and dynamic analysis

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What makes a test case valuable?

- Value-driven testing
 - Focus on tests that have biggest benefit per unit cost
- Value is driven by quality improvement
 - Some value of information as well
- Value Factors
 - Does it find a bug?
 - How severe is the bug?
 - How common is the bug?
 - How easy is it to fix the bug?
 - Is it distinct from other tests?
 - Unique bug? Unique code? Unique domain coverage?
 - How general is it?
 - · What did we learn about the program?
- Much of this is hard to predict in advance!

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3. How do we select a set of good tests

Test coverage

- Why "coverage"?
 - All inputs cannot be tested.
- Consider strategy for testing these systems:
 - · Visual Studio, Eclipse, etc.
 - Automotive navigation/communication system with many configurations
 - An operating system
 - An e-commerce container framework (J2EE, .net) and its components
- Only very rarely can we test exhaustively.
 - Deterministic embedded controllers

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Test coverage - Ideal and Real

- An **Ideal** Test Suite
 - Uncovers all errors in code
 - That are detectable through testing
 - Uncovers all errors in requirements capture
 - · All scenarios covered
 - Non-functional attributes: performance, code safety, security, etc.
 - Minimum size and complexity
 - Uncovers errors early in the process
 - Ideally when code is being written ("test cases first")
- A Real Test Suite
 - Uncovers some portion of errors in code
 - Has errors of its own
 - Assists in exploratory testing for validation
 - Does not help very much with respect to non-functional attributes
 - Includes many regression tests
 - Inserted after errors are repaired to ensure they won't reappear

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Ways of analyzing coverage

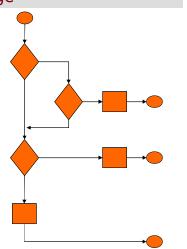
- Code visibility glass box or white box
 Visibility to internal code elements better for non-functional attributes
 - Can use design information to guide creation and analysis of test suites
 - Can test internal elements directly
 - Code coverage analysis
- Code visibility black box
 Cannot see internal code elements of the service being tested
 - Test through the public API better for functional attributes
 - Domain coverage analysis

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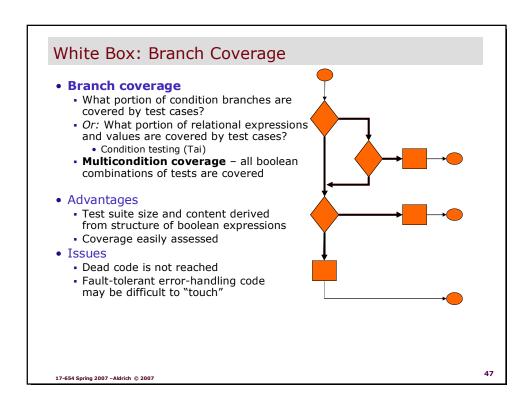
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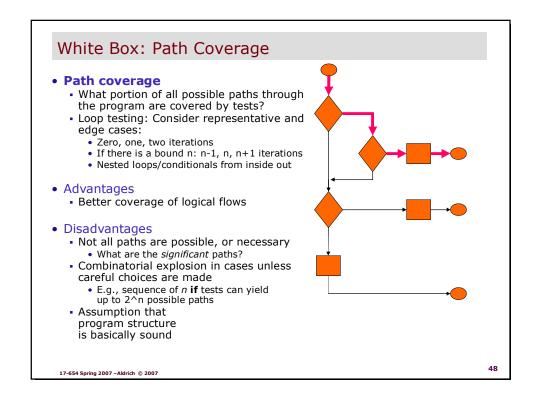
White Box: Statement Coverage

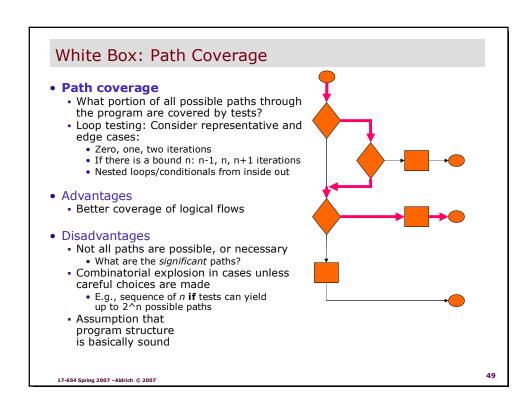
- Statement coverage
 - What portion of program statements (nodes) are touched by test cases
- Advantages
 - Test suite size linear in size of code
 - Coverage easily assessed
- Issues
 - Dead code is not reached
 - May require some sophistication to select input sets (McCabe basis paths)
 - Fault-tolerant error-handling code may be difficult to "touch"
 - Metric: Could create incentive to remove error handlers!

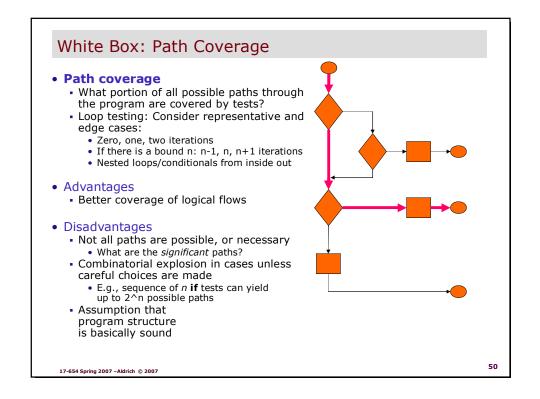


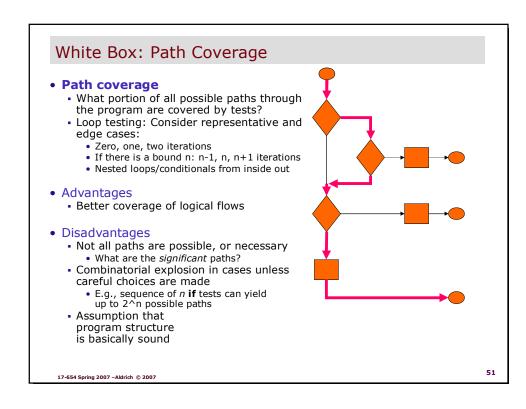
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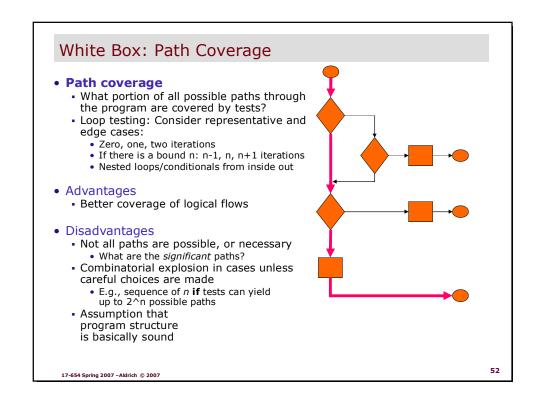


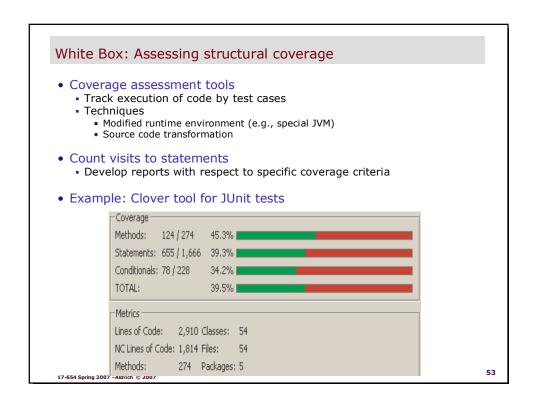


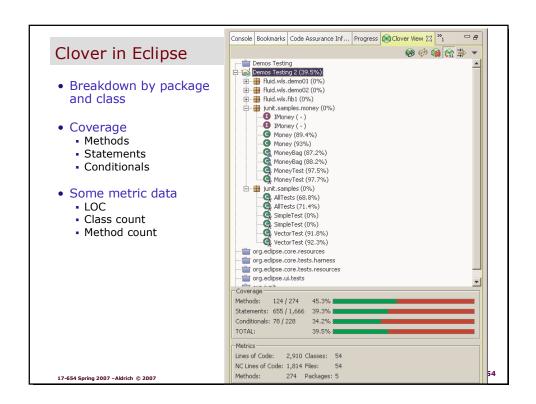












Clover in Eclipse

- Coverage report in editor window
 - Warning for statements not covered by test cases

```
39
        public boolean equals(Object anObject) {
<u> 4</u>0
             if (isZero())
41
                 if (anObject instanceof IMoney)
42
                     return ((IMoney)anObject).isZero();
 43
             if (anObject instanceof Money) {
 44
                 Money aMoney= (Money)anObject;
 45
                 return aMoney.currency().equals(currency())
 46
                                    && amount() == aMoney.amount();
 47
             return false;
 48
 49
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```

White Box Testing: Checkpoints

- Use "checkpoints" in code
 - Access to intermediate values
 - Enable checks during execution

Three approaches

- Logging

 - ogging

 Create a log record of internal events

 Tools to support

 java.util.Logging

 org.apache.log4j

 Log records can be analyzed for patterns of events

 Listener events

 Protocol events

 Etc.

Assertions

- Logical statements explicitly checked during test runs
- (No side effects on program variables)
- Check data integrity
 - Absence of null pointer
 Array bounds
 Etc.

Breakpoints

Provide interactive access to intermediate state when a condition is raised

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Benefits of White-Box

- Tool support can measure coverage Helps to evaluate test suite (careful!)

 - Can find untested code
- Can test program one part at a time
- Can consider code-related boundary conditions
 - If conditions
 - Boundaries of function input/output ranges
 - e.g. switch between algorithms at data size=100

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White Box: Limitations

- Is it possible to achieve 100% coverage?
- Can you think of a program that has a defect, even though it passes a test suite with 100% coverage?
- Exclusive focus on coverage focus misses important bugs
 - Missing code
 - Incorrect boundary values
 - Timing problems
 - Configuration issues
 - Data/memory corruption bugs
 - Usability problems
 - Customer requirements issues
- Coverage is not a good adequacy criterion
 - Instead, use to find places where testing is inadequate

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Black-Box (Functional) Testing

- Verify each piece of functionality of the system
 - Black-box: don't look at the code
 - More common in practice than white-box
- Benefit: finds bugs white-box doesn't
 - Think like a user, not a programmer
 - The programmer already checked the code!
 - Timing, unanticipated errors, UI, concurrency, configuration issues, performance, hardware failures
- Drawbacks
 - No insight into code structure
 - But good testers will guess anyway!

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Black Box: Representative Values

- Test cases have a statistical distribution similar to expected inputs
 - Keep generating random inputs until coverage criterion is met
 - Challenge: Do we have a model for the expected input set?

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Black Box: Equivalence Class Testing

- Equivalence classes
 - A partition of a set
 - Usually the input domain of the program
 - Based on some equivalence relation
 - Intuition: all inputs in an equivalence class will fail or succeed in the same way

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Equivalence Class Example

- Program Specification
 - Given 3 numbers, output whether a triangle formed from these number is equilateral, isosceles, or scalene
- Equivalence classes?

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Finding Equivalence Classes

- Intuition that test cases are similar
 - This is useful, but can be incomplete
- Use cases in the specification
 - Impractical if you don't have the spec
 - What if the spec is incomplete?
- One class per code path
 - Impractical if you don't have code
- Risk-based
 - Consider a possible error as a risk
 - Given that error, what test cases will produce the same result?

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Equivalence Class Hueristics

- Invalid inputs
- Ranges of numbers
- Membership in a group
- Equivalent outputs
 - Can you force the program to output an invalid or overflow value?
- Error messages
- Equivalent operating environments

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What value to choose from an Equivalence Class?

- Risk-based
 - Consider the cost of consequences
 - Vs. frequency of occurrence
 - · Focus test data around potential high-impact failures

Risk = (cost of consequence) * (probability of occurrence)

- Challenge: How to model this set of high-consequence failures?
- Selection heuristic consider boundary values
 - Extreme or unique cases at or around "boundaries" with respect to preconditions or program decision points
 - Examples: zero-length inputs, very long inputs, null references, etc.
 - Will usually find errors that are present in any other member of the equivalence class, but may find off-by-one errors as well
- Suited to black box and white box
- Input: Information regarding fault/failure relationships
- Input: Information regarding boundary cases
 - Requirements
 - Implementation

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Robustness Testing

- Test erroneous inputs and boundary cases
 - Assess consequences of misuse or other failure to achieve preconditions

```
public static int binsrch (int[] a, int key) {
    int low = 0;
    int high = a.length - 1;

    while (true) {
        if ( low > high ) return -(low+1);
        int mid = (low+high) / 2;

        if ( a[mid] < key ) low = mid + 1;
        else if ( a[mid] > high = mid - 1;
        else return mid;
    }
}
What if the array reference a is null?
```

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Robustness Testing

- Test erroneous inputs and boundary cases
 - Assess consequences of misuse or other failure to achieve preconditions
 - Bad use of API
 - Bad program input data
 - Bad files (e.g., corrupted) and bad communication connections
 - Buffer overflow (security exploit) is a robustness failure
 - Triggered by deliberate misuse of an interface.
- Test apparatus needs to be able to catch and recover from crashes and other hard errors
 - Sometimes multiple inputs need to be at/beyond boundaries
- The question of responsibility
 - Is there external assurance that preconditions will be respected?
 - This is a design commitment that must be considered explicitly



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Triangle Example

- Program Specification
 - Given 3 numbers, output whether a triangle formed from these number is equilateral, isosceles, or scalene
- Boundary tests?
- Robustness tests?

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Combination Testing

- Some errors might be triggered only if two or more variables are at boundary values
- Test combinations of boundary values
 - Combinations of valid input
 - One invalid input at a time
 - \bullet In many cases no added value for multiple invalid inputs
- Subtlety required
 - What are the boundary cases for an application that deals with months and days?

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Protocol Testing

- Object protocols
 - Develop test cases that involve representative sequence of operations on objects
 - Example: Dictionary structure
 - Create, AddEntry*, Lookup, ModifyEntry*, DeleteEntry, Lookup, Destroy
 - Example: IO Stream
 - Open, Read, Read, Close, Read, Open, Write, Read, Close, Close
 - Test concurrent access from multiple threads
 - Example: FIFO queue for events, logging, etc.

Create	Put	Put	Get	Get			
	Put	Get	Get	Put	Put	Get	

- Approach
 - Develop representative sequences based on use cases, scenarios, profiles
 - Randomly generate call sequences
 - Example: Account
 - Open, Deposit, Withdraw, Withdraw, Deposit, Query, Withdraw, Close

public static int binsrch (int[] a, int key) {

if (low > high) return -(low+1);

if (a[mid] < key) low = mid + 1; else if (a[mid] > key) high = mid - 1; else return mid;

int mid = (low+high) / 2;

int low = 0; int high = a.length - 1;

while (true) {

- Coverage: Conceptual states
- Also useful for protocol interactions within distributed designs

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Testing example

- Test preparation
 - Client scaffold
 - Failure recovery: exceptions
- Test case selection
 - Expected cases
 - key foundkey not found

 - Extreme cases
 - · empty array
 - singleton arraylarge array
 - "Sub-unit" testing
 - ordering relation over domain (...)
 - Non-functional testing · Performance measurement
 - - Expectation: algorithmic analysis
 - Broken code: can yield a linear-time implementation vs. log-time E.g., 1m elements: 20 steps vs. 1,000,000 steps
- Coverage analysis
 - · Statement, branch, path coverage
 - Data coverage
- Static analysis and inspection
 - Initialization; array bounds; arithmetic exceptions; coding style

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Testing – The Big Questions

- 1. What is testing?
 - And why do we test?
- What do we test?Levels of structure: unit, integration, system...
- 3. How do we select a set of good tests?
 Value-driven testing
 Functional (black-box) testing
 Structural (white-box) testing
- 4. How do we know when we're done?Coverage, Mutation, Capture/Recapture...
- 5. Practices for testability
 What are known best test practices?
 How does testing integrate into lifecycle and metrics?
- 6. What are the limits of testing?
 - What are complementary approaches?

 - InspectionsStatic and dynamic analysis

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When are you done testing?

When are you done testing?

- Coverage criterion
 - Must reach X% coverage
 - Legal requirement to have 100% coverage for avionics software
 - Drawback: focus on 100% coverage can distort the software so as to avoid any unreachable code
- Can look at historical data
 - How many bugs are remaining, based on matching current project to past experience?
 - Key question: is the historical data applicable to a new project?
- · Can use statistical models
 - Test on a realistic distribution of inputs, measure % of failed tests
 - Ship product when quality threshold is reached
 - Only as good as your characterization of the input

 - Usually, there's no good way to characterize this
 Exception: stable systems for which you have empirical data (telephones)
 - Exception: good mathematical model (avionics)
- Rule of thumb: when error detection rate drops
 - Implies diminishing returns for testing investment

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When are you done testing?

- Mutation testing
 - Perturb code slightly in order to assess sensitivity
 - Focus on low-level design decisions
 - Examples:
 - Change "<" to ">" Change "0" to "1"

 - Change "≤" to "<"

 - Change "argv" to "argx"Change "a.append(b)" to "b.append(a)"
- Assess effectiveness of test suite
 - How many seeded defects are found?
 - coverage metric
 - Principle: % of mutants not found ~ % of errors not found
 - Is this really true?
 - Depends on how well mutants match real errors
 - Some evidence of similarity (e.g. off by one errors) but clearly imperfect

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When are you done inspecting?

- Capture/Recapture assessment
 - Most applicable for assessing inspections
 - Measure overlap in defects found by different inspectors
 - Use overlap to estimate number of defects not found
- Example
 - Inspector A finds n1=10 defects
 - Inspector B finds n2=8 defects
 - m = 5 defects found by both A and B
 - N is the (unknown) number of defects in the software
- Lincoln-Petersen analysis [source: Wikipedia]
 Consider just the 10 (total) defects found by A
 - Inspector B found 5 of these 10 defects
 - Therefore the probability that inspector B finds a given defect is 5/10 or 50%
 So, inspector B should have found 50% of the N defects in the software, so

$$N = n1 * n2 / m = 10 * 8 / 5 = 20 defects$$

- Assumptions
 - All defects are equally easy to find
 - All inspectors are equally effective at finding defects
 - Are these realistic?

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When are you done testing?

- Most common
 - Run out of time or money
- Ultimately a judgment call
 - Resources available
 - Schedule pressures
 - · Available estimates of quality

Testing - The Big Questions

1. What is testing?

And why do we test?

2. What do we test?

Levels of structure: unit, integration, system...

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- Value-driven testing Functional (black-box) testing Structural (white-box) testing

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Coverage, Mutation, Capture/Recapture...

- 5. Practices for testabilityWhat are known best test practices?
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5a. Practices for testability

1. Document interfaces

- Write down explicit "rules of the road" at interfaces, APIs, etc
- Design by contract

Specify a contract between service client and its

implementation

- System works if both parties fulfill their
- Use pre- and post-conditions, etc

Testing

- Verify pre- and post-conditions during execution
 - Important Limitation
 - Not all logical formulas can be evaluated directly (forall x in S...)
- Assign responsibility based on contract expectations
- Executions become a set of unit tests

The delete_binding API call causes one or more instances of bindingTemplate registry.

4.4.2.1 Syntax:

<delete_binding generic="2.0" xmlns="um:uddi-org:api_v2" >
????????? <authlnfo/>
????????? <bindingKey/> [<bindingKey/> ?]

</delete binding> 4.4.2.2 Arguments:

? authinfo: this required argument is an element that contains an author tokens are obtained using the get_authToken API call.

Upon successful completion, a dispositionReport is returned with a single sub bindingTemplates that are deleted as a result of this call, such as those refer hostingRedirector elements) are not affected.

4.4.2.4 Caveats:

- If any error occurs in processing this API call, a dispositionReport structure w Fault.? The following error number information will be relevant:

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5a. Integration/System Testing

2. Do incremental integration testing

- Test several modules together
- Still need scaffolding for modules not under test

• Avoid "big bang" integrations

- Going directly from unit tests to whole program tests
- · Likely to have many big issues
- Hard to identify which component causes each

• Test interactions between modules

Ultimately leads to end-to-end system test

Used focused tests

- Set up subsystem for test
- Test specific subsystem- or system-level features
 - no "random input" sequence
- Verify expected output



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5a. Frequent (Nightly) Builds

3. Build a release of a large project every night

- Catches integration problems where a change "breaks the build"
 - Breaking the build is a BIG deal—may result in midnight calls to the responsible engineer
- Use test automation
 - Upfront cost, amortized benefit
 - Not all tests are easily automated manually code the others

Run simplified "smoke test" on build

- Tests basic functionality and stability
- Often: run by programmers before check-in
- Provides rough guidance prior to full integration testing



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Practices -Regressions

- 4. Use regression tests
 - Regression tests: run every time the system changes
- Goal: catch new bugs introduced by code changes
 - Check to ensure fixed bugs stay fixed
 - New bug fixes often introduce new issues/bugs
 - Incrementally add tests for new functionality

```
assertFrue("1.3." + path, [result.isUNC());
assertFquals("1.4." + path, [String) expectedNon.get(i), r
} comparison

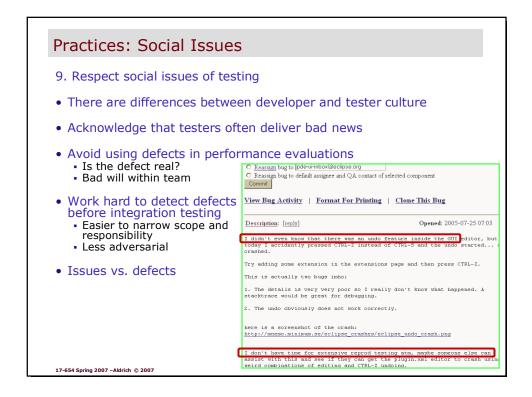
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```

Practices - Acceptance, Release, Integrity Tests

- 5. Acceptance tests (by customer)
 - Tests used by customer to evaluate quality of a system
 - Typically subject to up-front negotiation
- 6. Release Test (by provider, vendor)
 - Test release CD
 - Before manufacturing!
 - Includes configuration tests, virus scan, etc
 - Carry out entire install-and-run use case
- 7. Integrity Test (by vendor or third party)
 - Independent evaluation before release
 - Validate quality-related claims
 - Anticipate product reviews, consumer complaints
 - Not really focused on bug-finding

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Practices: Reporting Defects 8. Develop good defect reporting practices • Reproducible defects Easier to find and fix Easier to validate • Built-in regression test lugzilla Bug 141261 Increased confidence Bug List: (31 of 200) First Last Prey Next Show last search results Search • Simple and general More value doing the fix Helps root-cause analysis • Non-antagonistic State the problem Don't blame Votes: 0 Show votes for this bug Vote for this bug 85 17-654 Spring 2007 -Aldrich © 2007



Practices: Root cause analysis

10. How can defect analysis help prevent later defects?

- Identify the "root causes" of frequent defect types, locations
 Requirements and specifications?

 - Architecture? Design? Coding style? Inspection?
- Try to find all the paths to a problem
 - If one path is common, defect is higher priority
 - Each path provides more info on likely cause
- Try to find related bugs
 - Helps identify underlying root cause of the defect
 - Can use to get simpler path to problem
 - This can mean easier to fix
- Identify the most serious consequences of a defect

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5b. Testing and Lifecycle Issues

- 1. Testing issues should be addressed at every lifecycle phase
- Initial negotiation
 - Acceptance evaluation: evidence and evaluation
 - Extent and nature of specifications
- Requirements
 - Opportunities for early validation
 - Opportunities for specification-level testing and analysis
 - Which requirements are testable: functional and non-functional
- Design
 - Design inspection and analysis
 - Designing for testability
 - Interface definitions to facilitate unit testing
- Follow both top-down and bottom-up unit testing approaches
 - Top-down testing
 - Test full system with stubs (for undeveloped code).
 - Tests design (structural architecture), when it exists.
 - Bottom-up testing
 - Units → Integrated modules → system

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Lifecycle issues

- 2. Favor unit testing over integration and system testing
- Unit tests find defects earlier
 - Earlier means less cost and less risk
 - During design, make API specifications specific
 - Missing or inconsistent interface (API) specifications
 - Missing representation invariants for key data structures
 - What are the unstated assumptions?
 - · Null refs ok?
 - Pass out this exception ok?
 - · Integrity check responsibility?
 - · Thread creation ok?
- Over-reliance on system testing can be risky
 - Possibility for finger pointing within the team
 - Difficulty of mapping issues back to responsible developers
 - Root cause analysis becomes blame analysis

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Test Plan

- 3. Create a OA plan document
- Which quality techniques are used and for what purposes
- Overall system strategyGoals of testing
 - - Quality targets
 - Measurements and measurement goals
 - What will be tested/what will not
 - Don't forget quality attributes!Schedule and priorities for testing
 - Based on hazards, costs, risks, etc.
 - Organization and roles: division of labor and expertise
 - Criteria for completeness and deliverables
- Reference Documents 3 Software Test Environment Tost Identification
 4.1 General Information
 4.1 General Information
 4.1.2 Test Classes
 4.2.1 Test 1 - Linear Operators
 4.2.2 Test 2 - Convergence of Multifluid Projection
 4.2.3 Test 3 - Fixed-boundary diffusion solver
 4.2.4 Test 4 - Upwind advection
 4.2.5 Test 5 - Fixed-boundary projection test
 4.2.6 Test 6 - Surface Tension Test
 4.2.7 Test 7 - Multifluid system test
 4.2.8 Test 8 - Multifluid ANR test
 4.2.9 Test 9 - Multifluid system regression test 5 Test Schedules 6 Bug Tracking 7 Requirements Traceability
- Make decisions regarding when to unit test
 - There are differing views
 - CleanRoom: Defer testing. Use separate test team
 - Agile: As early as possible, even before code, integrate into team

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Test Strategy Statement

- Examples:
 - We will release the product to friendly users after a brief internal review to find any truly glaring problems. The friendly users will put the product into service and tell us about any changes they'd like us to make.
 - We will define use cases in the form of sequences of user interactions with the product that represent ... the ways we expect normal people to use the product. We will augment that with stress testing and abnormal use testing (invalid data and error conditions). Our top priority is finding fundamental deviations from specified behavior, but we will also use exploratory testing to identify ways in which this program might violate user expectations.
 - We will perform parallel exploratory testing and automated regression test development and execution. The exploratory testing will focus on validating basic functions (capability testing) to provide an early warning system for major functional failures. We will also pursue high-volume random testing where possible in the code.

[adapted from Kaner, Bach, Pettichord, Lessons Learned in Software Testing]

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Why Produce a Test Plan?

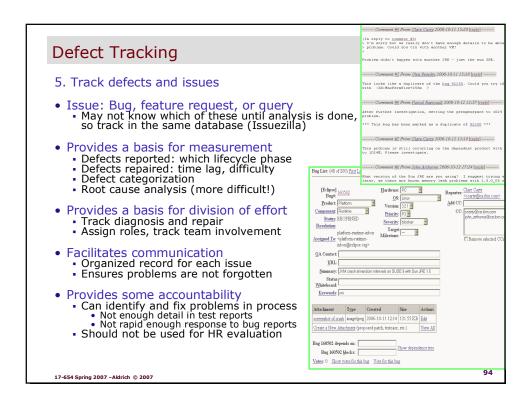
- 4. Ensure the test plan addresses the needs of stakeholders
- Customer: may be a required product
 Customer requirements for operations and support
 - Examples

 - Government systems integration
 Safety-critical certification: avionics, health devices, etc.
- A separate test organization may implement part of the plan
 "IV&V" Independent verification and validation
- May benefit development team

 - Set priorities
 Use planning process to identify areas of hazard, risk, cost
- Additional benefits the plan is a team product
 - Test quality
 - Improve coverage via list of features and quality attributes
 Analysis of program (e.g. boundary values)
 Avoid repetition and check completeness
 - Communication
 - Get feedback on strategy
 Agree on cost, quality with management

 - Organization
 Division of labor
 Measurement of progress

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6. What are the limits of testing?

What we can test

- Attributes that can be directly evaluated externally
 - Examples
 - Functional properties: result values, GUI manifestations, etc.
- Attributes relating to resource use
 Many well-distributed **performance** properties
 Storage use

· What is difficult to test?

Attributes that cannot easily be measured externally

 Is a design evolvable? Design Structure Matrices Is a design secure?

Secure Development Lifecycle Is a design technically sound?Does the code conform to a design? Alloy; see also Models ArchJava; Reflexion models; Framework usage

Where are the performance bottlenecks? Does the design meet the user's needs? Performance analysis Usability analysis

Attributes for which tests are nondeterministic

· Real time constraints Rate monotonic scheduling

 Race conditions Analysis of locking

Attributes relating to the absence of a property

Absence of security exploitsAbsence of memory leaks Microsoft's Standard Annotation Language Cyclone, Purify

Absence of functional errors Termination analysis Absence of non-termination

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Assurance beyond Testing and Inspection

- Design analysis: check correctness early
 - Design Structure Matrices evolvability analysis
 - Security Development Lifecycle architectural analysis for security
 - Alloy systematically exploring a model of a design
- Static analysis: provable correctness
 Reflexion models, ArchJava conformance to design
 - Fluid concurrency analysis for race conditions

 - Metal, Fugue API usage analysis
 Type systems eliminate mechanical errors
 - Standard Annotation Language eliminate buffer overflows
 - Cyclone memory usage
- Dynamic analysis: run time properties
 - Performance analysis

 - Purify memory usage
 Eraser concurrency analysis for race conditions
 - Test generation and selection lower cost, extend range of testing
- Manual analysis: human verification
 - Hoare Logic verification of functional correctness
 - Real-time scheduling

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