DYNAMIC ANALYSES FOR DATA RACE DETECTION

Jonathan Aldrich and Claire Le Goues

17-355/17-665/17-819: Program Analysis

Based in large part on slides by John Erickson, Stephen Freund, Madan Musuvathi, Mike Bond, and Man Cao, used by permission

Announcement: Course Evaluations

- We care about your opinion
 - This is a course actively being refined
 - We value your feedback on how to do it better
- Since this is a new(er) course, other students also rely on your judgment

Lecture Goals

- What is a data race, and what is data race free execution?
- Subtleties of data races and memory models
 - Why taking advantage of "harmless races" is almost certainly a bad idea
- Lockset analysis for data race detection
- Happens-before based data race detection
 - And high performance implementations, e.g. as in FastTrack

SEQUENTIAL CONSISTENCY

First things First Assigning Semantics to Concurrent Programs

```
int X = F = 0;

X = 1;

F = 1;

t = F;

u = X;
```

- What does this program mean?
- Sequential Consistency [Lamport '79]
 Program behavior = set of its thread interleavings

Sequential Consistency Explained

int X = F = 0; // F = 1 implies X is initialized

$$X = 1;$$

$$X = 1;$$

$$X = 1;$$

$$t = F;$$

$$t = F;$$

$$F = 1;$$

$$t = F;$$

$$t = F;$$

$$u = X;$$

$$X = 1;$$

$$X = 1;$$

$$F = 1;$$

$$u = X;$$

$$X = 1;$$

$$u = X;$$

$$u = X;$$

$$u = X;$$

$$u = X;$$

Naturalness of Sequential Consistency

- Sequential Consistency provides two crucial abstractions
- Program Order Abstraction
 - Instructions execute in the order specified in the program

A;B

means "Execute A and then B"

- Shared Memory Abstraction
 - Memory behaves as a global array, with reads and writes done immediately
- We implicitly assume these abstractions for sequential programs
 - As we will see, we can only rely on these abstractions under certain conditions in a concurrent context

WHAT IS A DATA RACE?

 The term "data race" is often overloaded to mean different things

Precise definition is important in designing a tool

Data Race

- Two accesses conflict if
 - they access the same memory location, and
 - at least one of them is a write

```
Write X - Write X
```

Write X – Read X

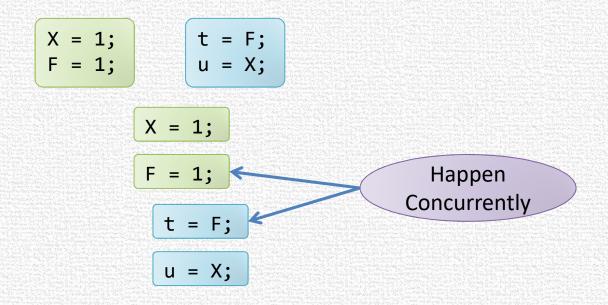
Read X – Write X

Read X - Read X

 A data race is a pair of conflicting accesses that happen concurrently

"Happen Concurrently"

- A and B happen concurrently if
- there exists a sequentially consistent execution in which they happen one after the other



Unintended Sharing

Threads accidentally sharing objects

```
Thread 1
void work() {
    static int local = 0;
    ...
    local += ...
}

Data Race
Thread 2
void work() {
    static int local = 0;
    ...
    local += ...
}
```

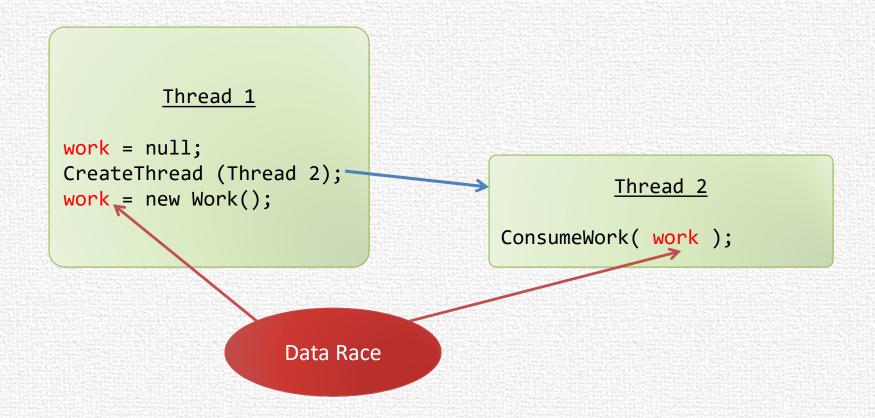
Atomicity Violation

- Code that is meant to execute "atomically"
 - That is, without interference from other threads
- Suffers interference from some other thread

```
Thread 1
void Bank::Update(int a)
{
  int t = bal;
  bal = t + a;
}
Data Race
Thread 2
void Bank::Withdraw(int a)
{
  int t = bal;
  bal = t - a;
}
```

Ordering Violation

Incorrect signaling between a producer and a consumer



But,....

```
AcquireLock(){
    while (lock == 1) {}
    CAS (lock, 0, 1);
}

Data Race ?
```

Acceptable Concurrent Conflicting Accesses

- Implementing synchronization (such as locks) usually requires concurrent conflicting accesses to shared memory
- Innovative uses of shared memory
 - Fast reads
 - Double-checked locking
 - Lazy initialization
 - Setting dirty flag
- Need mechanisms to distinguish these from erroneous conflicts

Solution: Programmer Annotation

- Programmer explicitly annotates variables as "synchronization"
 - Java volatile keyword
 - C++ std::atomic<> types

Data Race

- Two accesses conflict if
 - they access the same memory location, and
 - at least one of them is a write
- A data race is a pair of concurrent conflicting accesses to locations not annotated as synchronization
- Equivalent definition: a pair of conflicting accesses where one doesn't happen before the other
 - Program order
 - Synchronization order
 - Acquire/release, wait-notify, fork-join, volatile read/write

```
Initially:
    int data = 0;
    boolean flag = false;

T1:

data = 42;
flag = true;

if (flag)
    t = data;
```

```
Initially:
        int data = 0;
        boolean flag = false;

T1:

data = 42;
flag = true; = = = = = = = = = = = = = = t = (flag)
        t = data;
```

Possible behavior

```
Initially:
               int data = 0;
               boolean flag = false;
                                   T2:
T1:
flag = true;
                                   if (flag)
                                     t = data;
data = 42;
```

Possible behavior

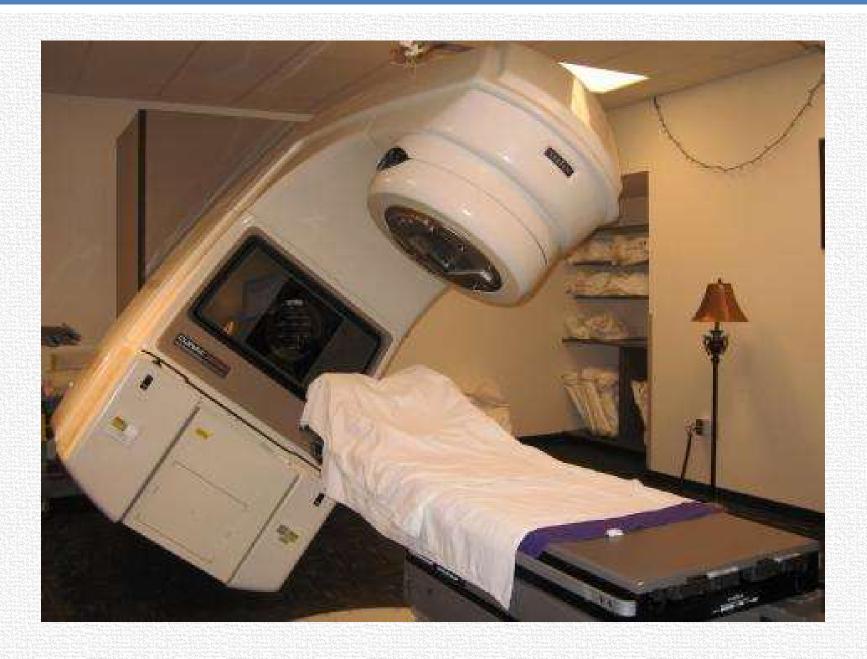
```
Initially:
               int data = 0;
               boolean flag = false;
T1:
data = ...;
synchronized (m) {
  flag = true;
                                  boolean f;
                                  synchronized (m) {
                                     f = flag;
                                  if (f)
                                     ... = data;
```

```
Initially:
                int data = 0;
                boolean flag = false;
                                    T2:
T1:
data = ...;
acquire(m);
  flag = true;
release(m);____
                                    boolean f;
                 Happens-before
                                    acquire(m);
                 relationship
                                      f = flag;
                                    release (m);
                                    if (f)
                                       ... = data;
```

Data Race vs Race Conditions

- Data Races != Race Conditions
 - Confusing terminology
- Race Condition
 - Any timing error in the program
 - Due to events, device interaction, thread interleaving, ...
 - Race conditions can be very bad!





Data Race vs Race Conditions

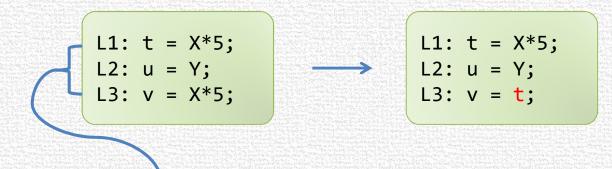
- Data Races != Race Conditions
 - Confusing terminology
- Race Condition
 - Any timing error in the program
 - Due to events, device interaction, thread interleaving, ...
 - Race conditions can be very bad!
- Data races are neither sufficient nor necessary for a race condition
 - Data race is a good symptom for a race condition

DATA-RACE-FREEDOM SIMPLIFIES LANGUAGE SEMANTICS

Advantage of Annotating All Data Races

- Defining semantics for concurrent programs becomes surprisingly easy
- In the presence of compiler and hardware optimizations

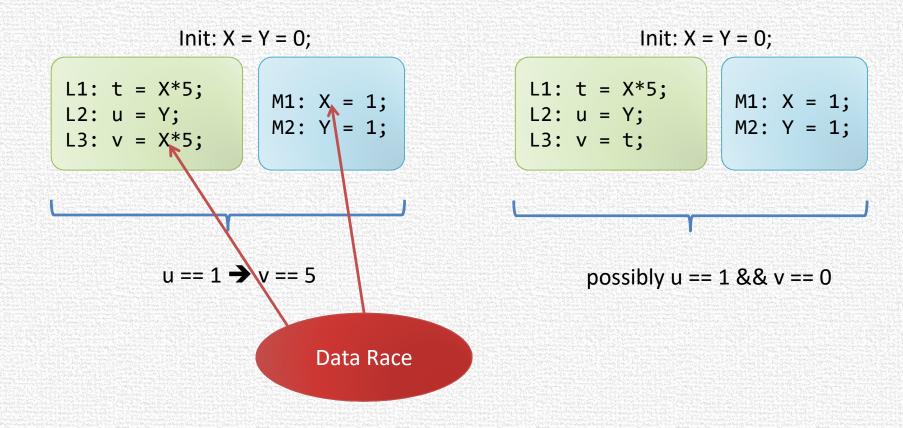
Can A Compiler Do This?



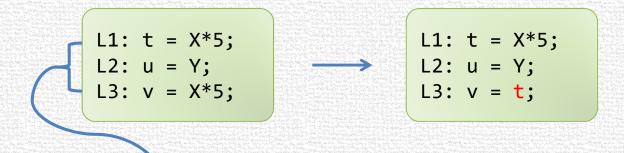
OK for sequential programs if X is not modified between L1 and L3

t,u,v are local variables X,Y are possibly shared

Can Break Sequential Consistent Semantics



Can A Compiler Do This?



OK for sequential programs if X is not modified between L1 and L3

t,u,v are local variables X,Y are possibly shared

OK for concurrent programs if there is no data race on X or if there is no data race on Y

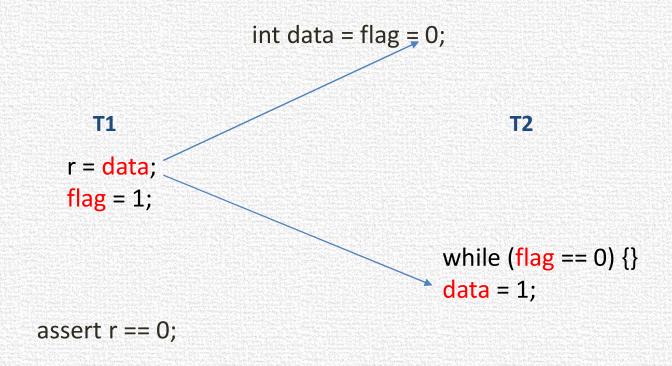
Key Observation [Adve& Hill '90]

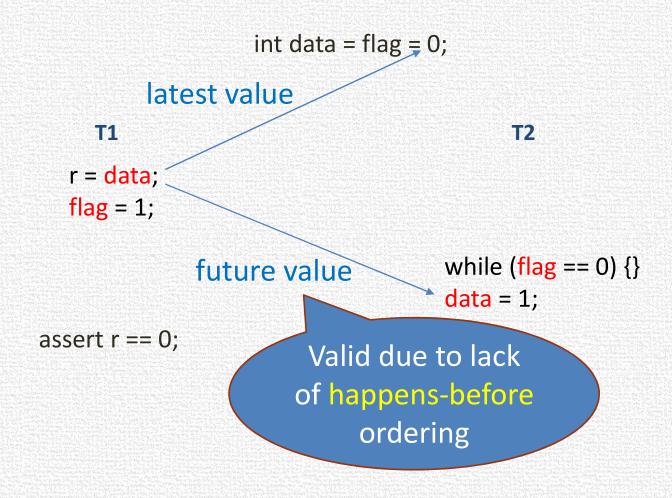
- Many sequentially valid (compiler & hardware) transformations also preserve sequential consistency
- Provided the program is data-race free
- Forms the basis for modern C++, Java semantics
 data-race-free → sequential consistency
 otherwise → weak/undefined semantics

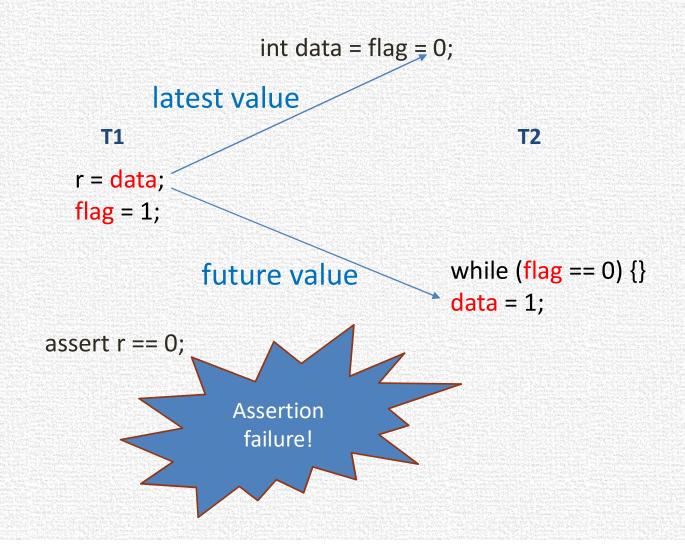
Behaviors Allowed in JMM

```
int data = flag = 0;
```

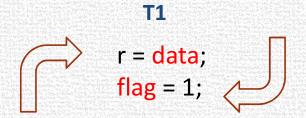
```
T1 T2  r = \text{data};   flag = 1;   while (flag == 0) \{ \}   data = 1;   assert r == 0;
```



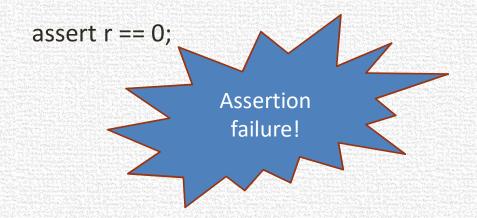




int data = flag = 0;



T2
while (flag == 0) {}
data = 1;



```
int data = flag = 0;
```

```
T1 T2  r = data; \qquad while (flag == 0) \{ \}   flag = 1; \qquad data = 1;   assert r == 0;
```

Requires returning future value or reordering to trigger the assertion failure

Can this assert trigger in JVMs? Do you think the JMM allows it?

int x = y = 0;

T1

r1 = x; y = r1;

T2

r2 = y; if (r2 == 1) { r3 = y; x = r3; } else x = 1;

assert r2 == 0;

int
$$x = y = 0$$
;

```
r1 = x;
y = r1;
r2 = y;
if (r2 == 1) {
r3 = y;
x = r3;
} else x = 1;
of causality
requirements assert r2 == 0;
```

- Ševčík and Aspinall, ECOOP, 2008

int x = y = 0;

However, in a JVM, after redundant read elimination

T1

```
r2 = y;

if (r2 == 1) {

r3 = r2;

x = r3;

} else x = 1;
```

assert
$$r2 == 0$$
;

int x = y = 0;

T2

r2 = y; if (r2 == 1) { r2

However, in a

JVM, after

redundant read

elimination

r3 = r2; x = r2;

x = r3;

else x = 1;

r2 = y; If (r2 == 1)x = r2:

else x = 1;

assert r2 == 0;

T1

r1 = x; y = r1;

int x = y = 0;

However, in a

JVM, after

redundant read

elimination

$$x = r2;$$

$$x = r3;$$

else
$$x = 1$$
;

$$else x = 1;$$

assert
$$r2 == 0$$
; $x = 1$;

T1

int x = y = 0;

However, in a JVM, after redundant read elimination

T1

r2 = y; if (r2 == 1) { r3 = r2;x = r3;

r2 = y;If (r2 == 1) x = r2;else x = 1;

 $}$ else x = 1;

assert r2 == 0;

r2 = y; x = 1;

Assertion failure possible!

Moral: Just say no to data races

Don't try hacks based on the memory model

Unless you are as good as Doug Lea



Author of java.util.concurrent

- Or you have formalized the memory model rules in a tool
 - And even then, are the rules right?

DATA RACE DETECTION

Overview of Data Race Detection Techniques

- Static data race detection
- Dynamic data race detection
 - Lock-set
 - Happen-before
 - DataCollider

Static Data Race Detection

- Advantages:
 - Reason about all inputs/interleavings
 - No run-time overhead
 - Adapt well-understood static-analysis techniques
 - Annotations to document concurrency invariants
- Example Tools:
 - RCC/Java type-based
 - ESC/Java "functional verification" (theorem proving-based)

Static Data Race Detection

- Advantages:
 - Reason about all inputs/interleavings
 - No run-time overhead
 - Adapt well-understood static-analysis techniques
 - Annotations to document concurrency invariants
- Disadvantages of static:
 - Undecidable...
 - Tools produce "false positives" or "false negatives"
 - May be slow, require programmer annotations
 - May be hard to interpret results

Dynamic Data Race Detection

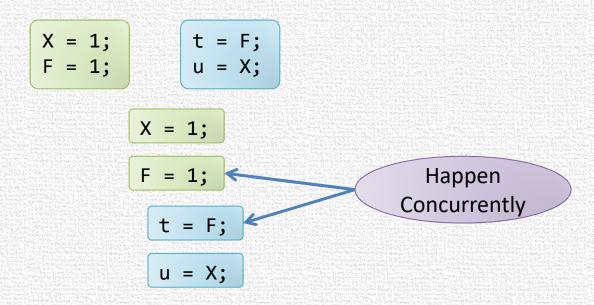
- Advantages
 - Can avoid "false positives"
 - No need for language extensions or sophisticated static analysis
- Disadvantages
 - Run-time overhead (5-20x for best tools)
 - Memory overhead for analysis state
 - Reasons only about observed executions
 - sensitive to test coverage
 - (some generalization possible...)

Tradeoffs: Static vs Dynamic

- Coverage
 - generalize to additional traces?
- Soundness
 - every actual data race is reported
- Completeness
 - all reported warnings are actually races
- Overhead
 - run-time slowdown
 - memory footprint
- Programmer overhead

Definition Refresh

 A data race is a pair of concurrent conflicting accesses to unannotated locations



- Problem for dynamic data race detection
 - Very difficult to catch the two accesses executing concurrently

Solution

- Lockset
 - Infer data races through violation of locking discipline
- Happens-before
 - Infer data races by generalizing a trace to a set of traces with the same happens-before relation

LOCKSET ALGORITHM

Eraser [Savage et.al. '97]

Lockset Algorithm Overview

- Checks a sufficient condition for data-race-freedom
- Consistent locking discipline
 - Every data structure is protected by a single lock
 - All accesses to the data structure made while holding the lock

Example:

```
// Remove a received packet
AcquireLock( RecvQueueLk );
pkt = RecvQueue.Removerop(),
ReleaseLock( RecvQueueLk );

... // process pkt

// Insert into processed
AcquireLock( ProcQueueLk );

ProcQueue.Insert(pkt),
ReleaseLock( ProcQueueLk );
ReleaseLock( ProcQueueLk );
```

Inferring the Locking Discipline

- How do we know which lock protects what?
 - Asking the programmer is cumbersome

 Solution: Infer from the program AcquireLock(A); X is protected by A, or B, or both AcquireLock(B); X ++; ReleaseLock(B); X is protected ReleaseLock(A); by B X is protected by AcquireLock(B); B, or C, or both AcquireLock(C): X ++; ReleaseLock(C); ReleaseLock(B);

LockSet Algorithm

- Two data structures:
 - LocksHeld(t) = set of locks held currently by thread t
 - Initially set to Empty
 - LockSet(x) = set of locks that could potentially be protecting x
 - Initially set to the universal set
- When thread t acquires lock I
 - $LocksHeld(t) = LocksHeld(t) \cup \{l\}$
- When thread t releases lock I
 - $LocksHeld(t) = LocksHeld(t) \{l\}$
- When thread t accesses location x
 - $LockSet(x) = LockSet(x) \cap LocksHeld(t)$
 - Report "data race" when LockSet(x) becomes empty

Algorithm Guarantees

- No warnings

 no data races on the current execution
 - The program followed consistent locking discipline in this execution
- Warnings does not imply a data race
 - Thread-local initialization

```
// Initialize a packet
pkt = new Packet();
pkt.Consumed = 0

AcquireLock( SendQueueLk );
pkt = SendQueue.Top();
ReleaseLock( SendQueueLk );
```

```
// Process a packet
AcquireLock( SendQueueLk );
pkt = SendQueue.Top();
pkt.Consumed = 1;
ReleaseLock( SendQueueLk );
```

LockSet Algorithm Guarantees

- No warnings

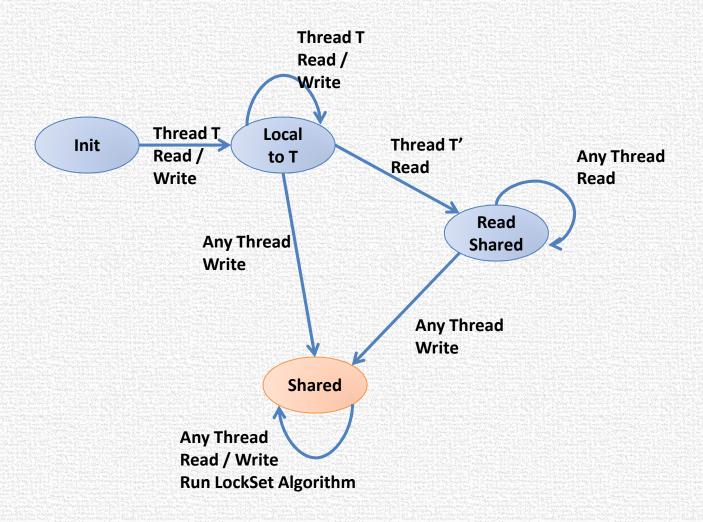
 no data races on the current execution
 - The program followed consistent locking discipline in this execution
- Warnings does not imply a data race
 - Object read-shared after thread-local initialization

```
A = new A();
A.f = 0;

// publish A
globalA = A;
```

```
f = globalA.f;
```

Maintain A State Machine Per Location



LockSet Algorithm Guarantees

State machine misses some data races

```
// Initialize a packet
pkt = new Packet();
pkt.Consumed = 0;

AcquireLock( WrongLk );
pkt = SendQueue.Top();
pkt.Consumed = 1;
ReleaseLock( WrongLk );
```

```
// Process a packet
AcquireLock( SendQueueLk );
pkt = SendQueue.Top();
pkt.Consumed = 1;
ReleaseLock( SendQueueLk );
```

LockSet Algorithm Guarantees

 Does not handle locations consistently protected by different locks during a particular execution

```
// Remove a received packet
AcquireLock( RecvQueueLk );
pkt = RecvQueue.RemoveTop();
ReleaseLock( RecvQueueLk );

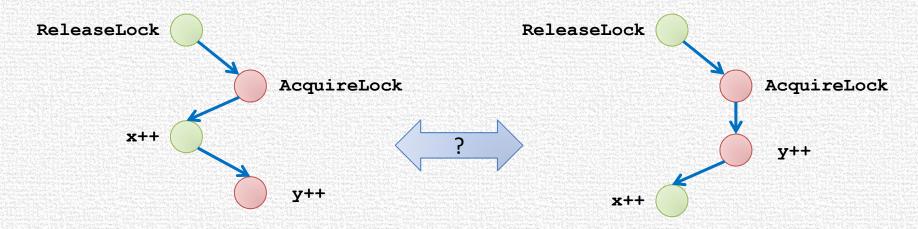
... // process pkt

// Insert into processed
AcquireLock( ProcQueueLk );
ProcQueue.Insert(pkt);
ReleaseLock( ProcQueueLk );
ProcQueueLk );
Pkt is protected by
ProcQueueLk
```

HAPPENS-BEFORE

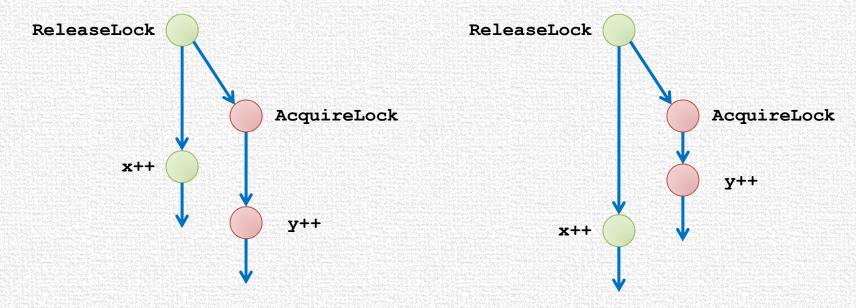
Happens-Before Relation [Lamport '78]

- A concurrent execution is a partial-order determined by communication events
- The program cannot "observe" the order of concurrent non-communicating events



Happens-Before Relation [Lamport '78]

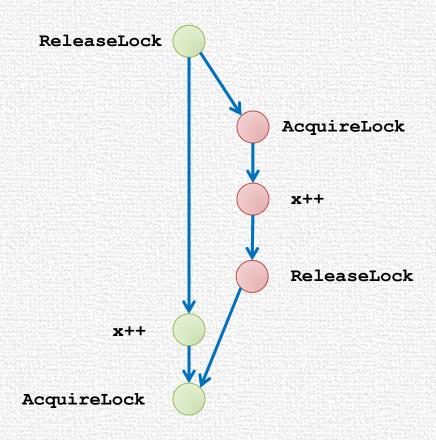
- A concurrent execution is a partial-order determined by communication events
- The program cannot "observe" the order of concurrent non-communicating events



Both executions form the same happens-before relation

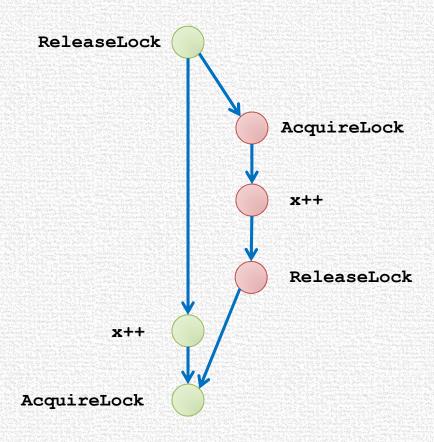
Constructing the Happens-Before Relation

- Program order
 - Total order of thread instructions
- Synchronization order
 - Total order of accesses to the same synchronization



Happens-Before Relation And Data Races

- If all conflicting accesses are ordered by happens-before
- → data-race-free execution
- All linearizations of partial-order are valid program executions
- If there exists conflicting accesses not ordered
- \rightarrow a data race



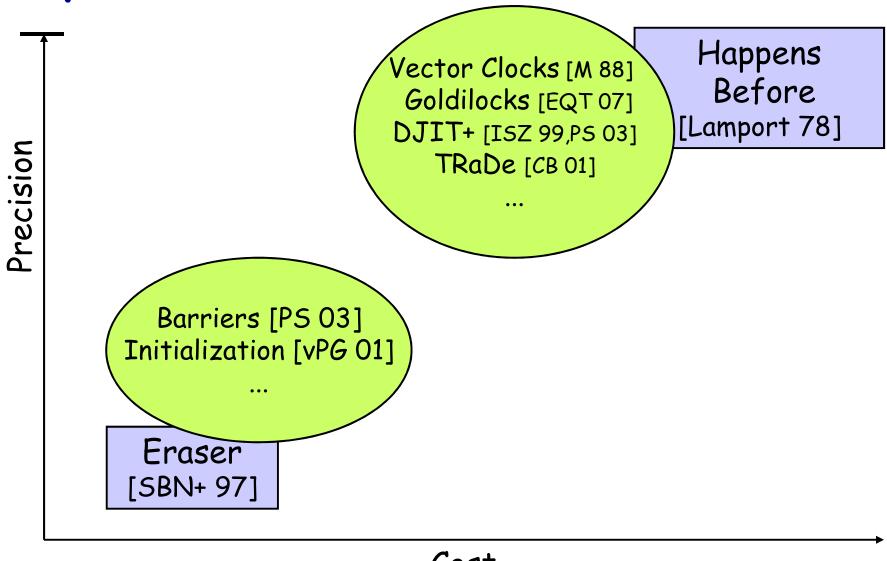
Happens-Before and Data-Races

Not all unordered conflicting accesses are data races

- There is no data race on X
- But, there is a data race on Y
- Remember:
 - Exists unordered conflicting access → Exists data race

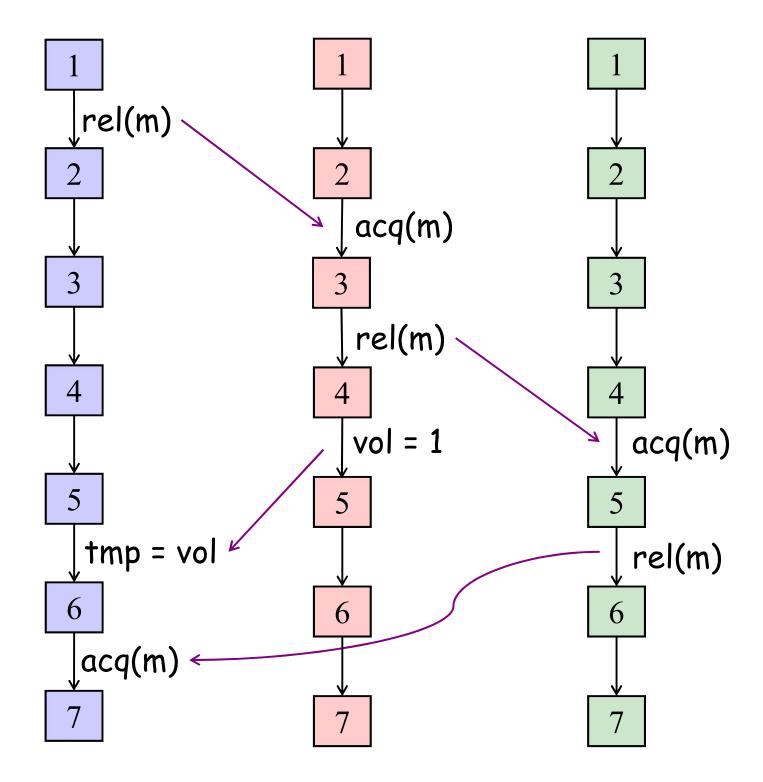
IMPLEMENTING HAPPENS-BEFORE ANALYSES

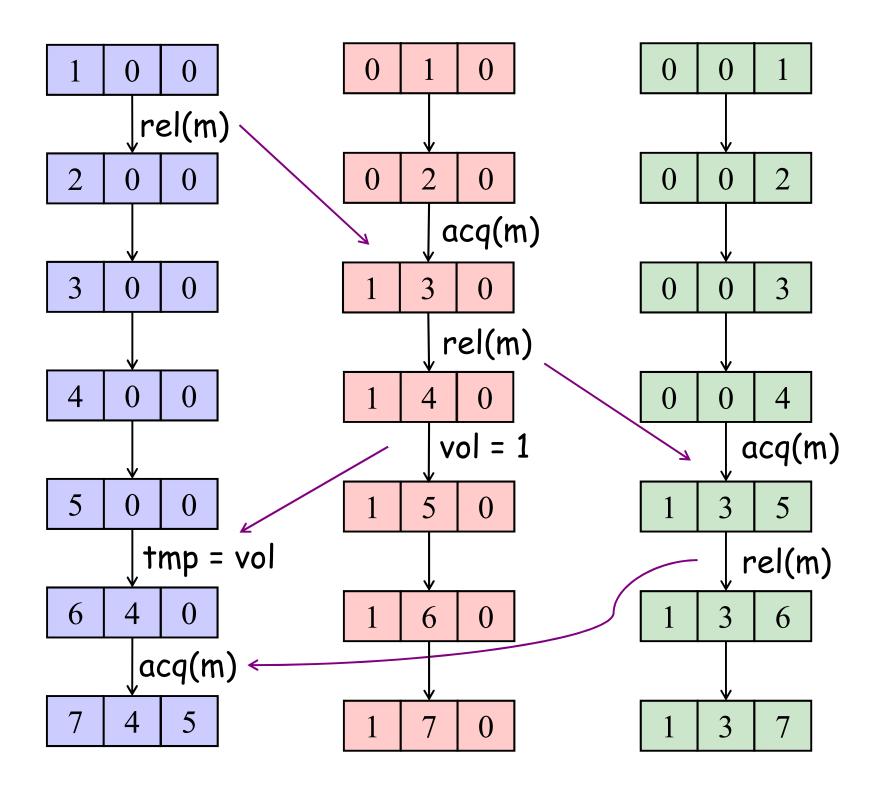
Dynamic Data-Race Detection

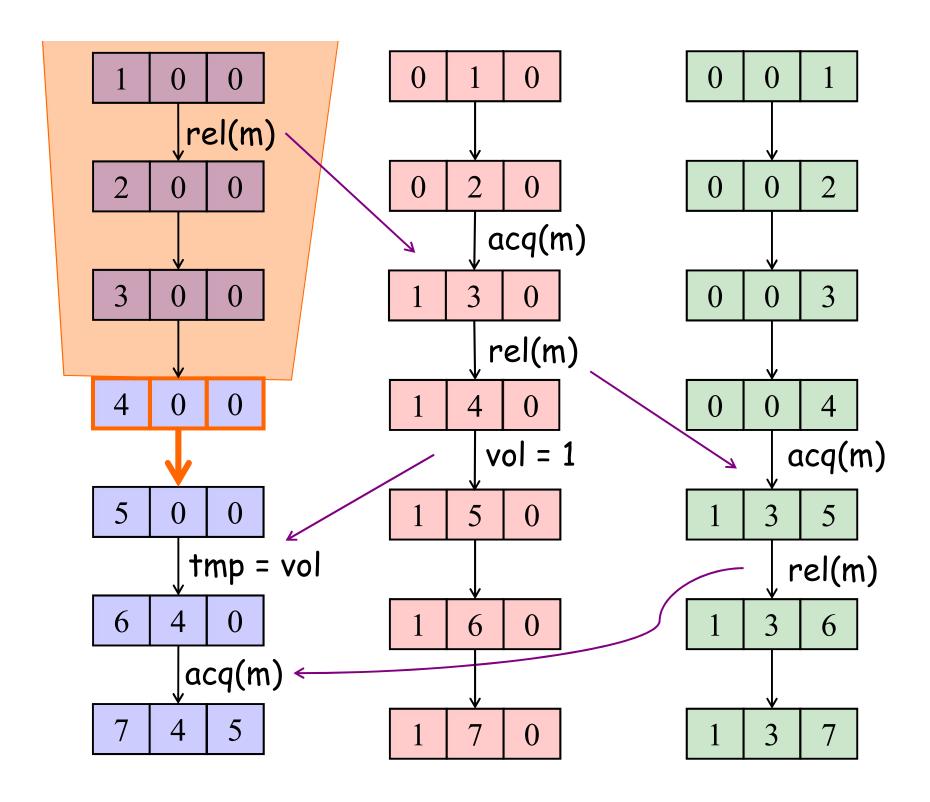


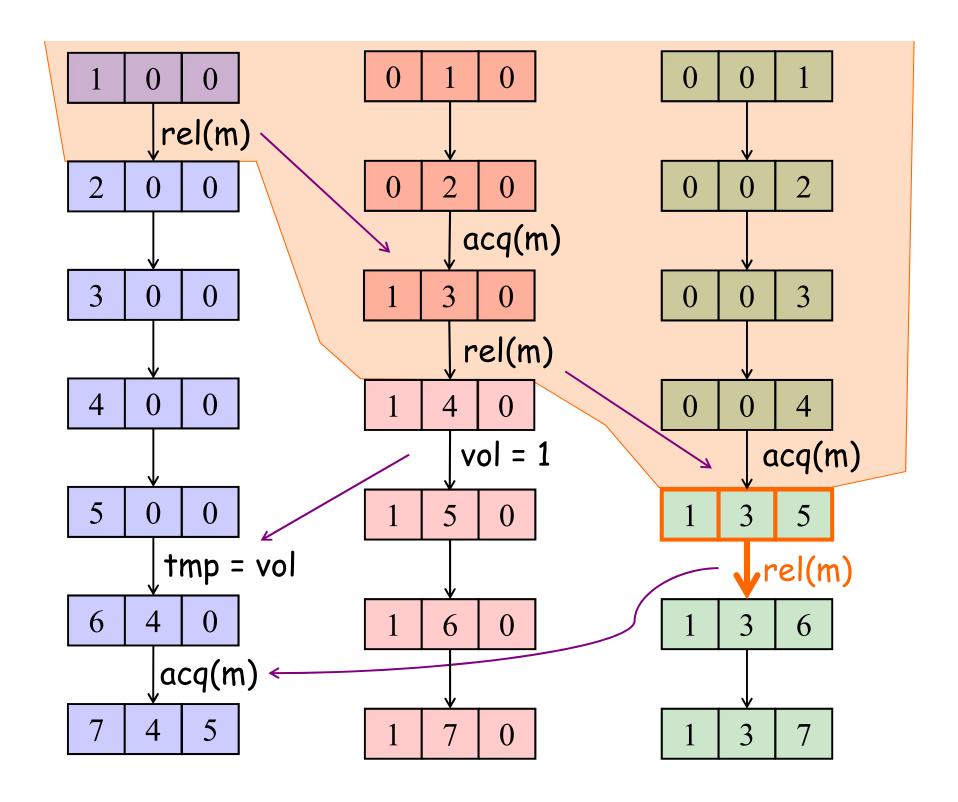
Cost

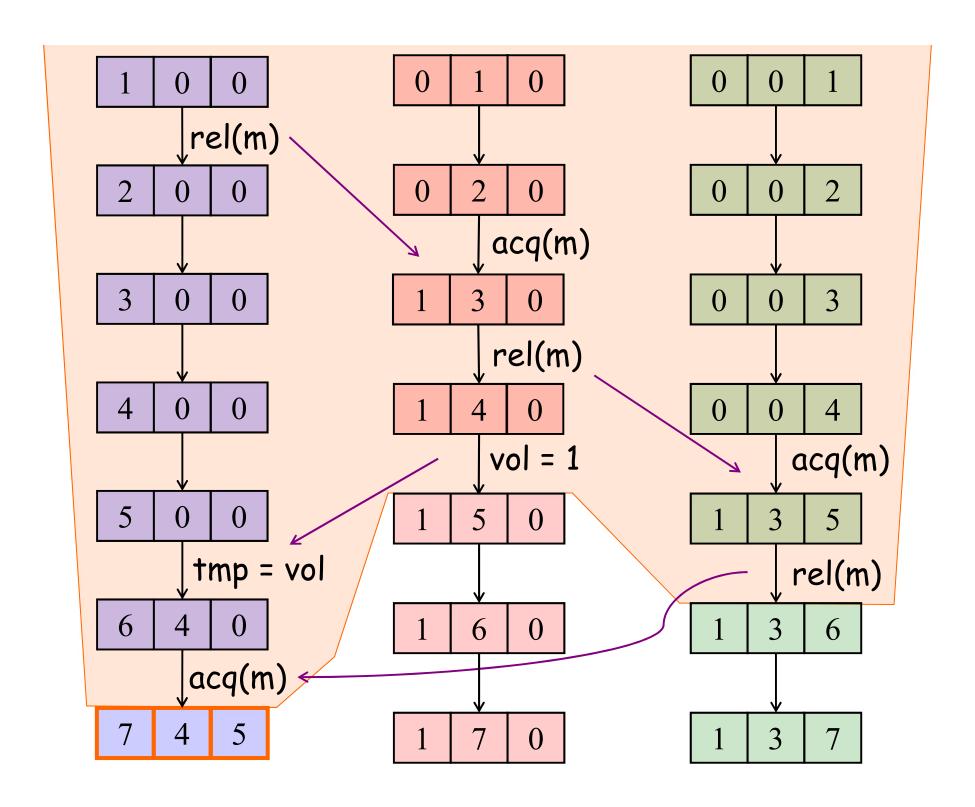
Precise Happens-Before

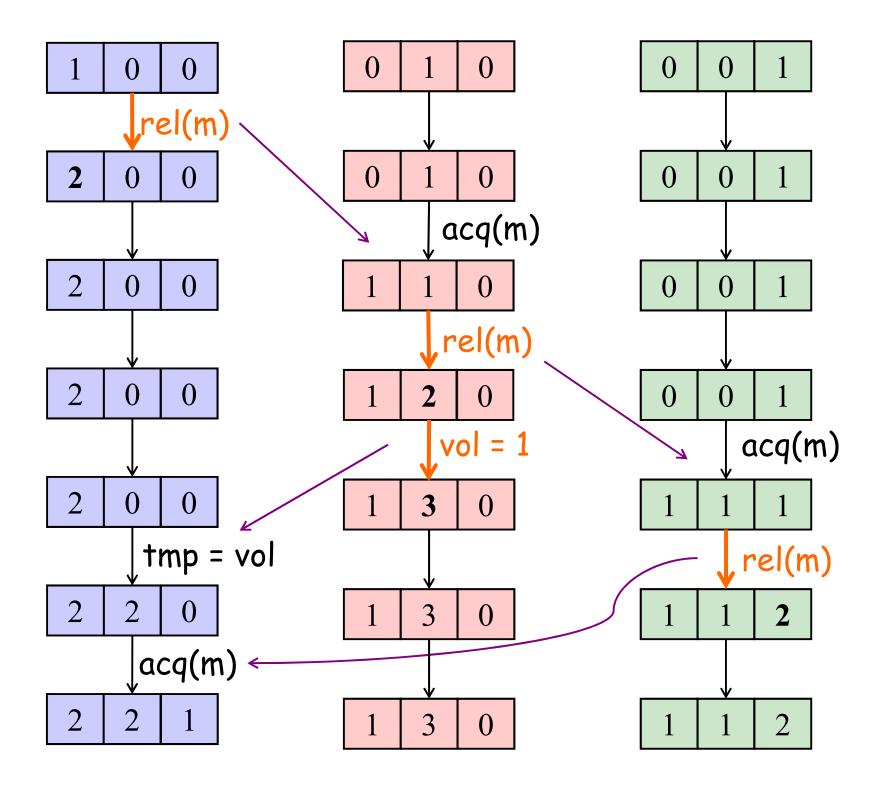


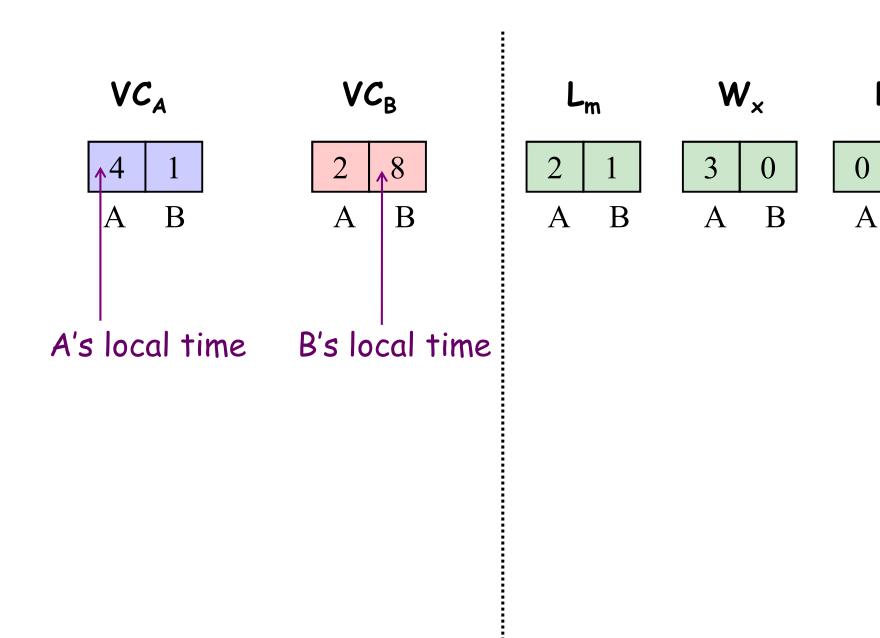




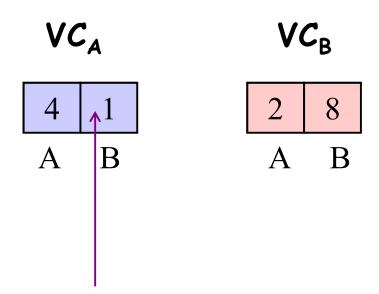




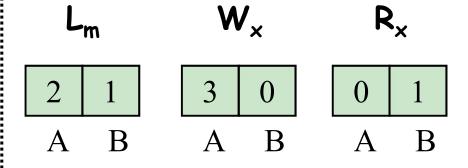


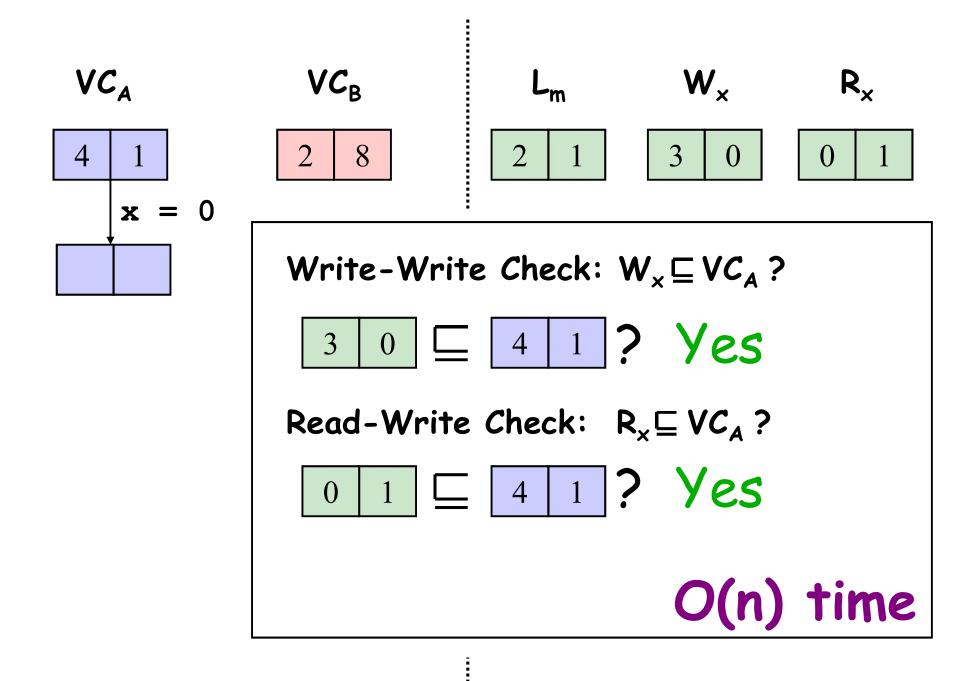


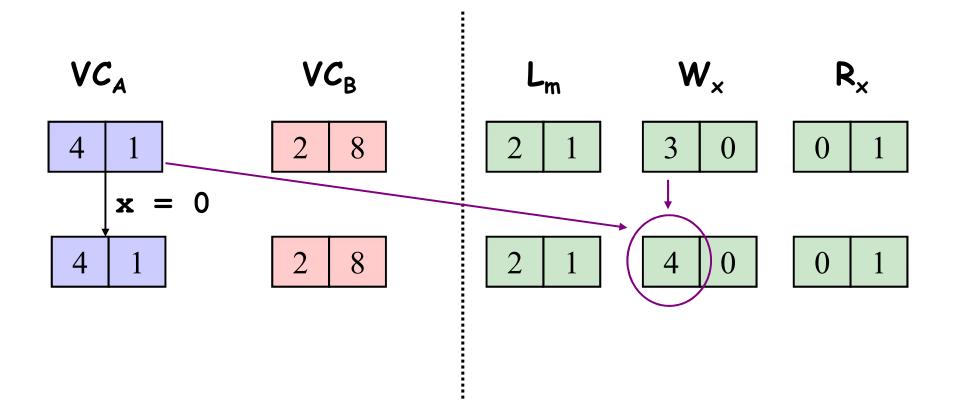
В

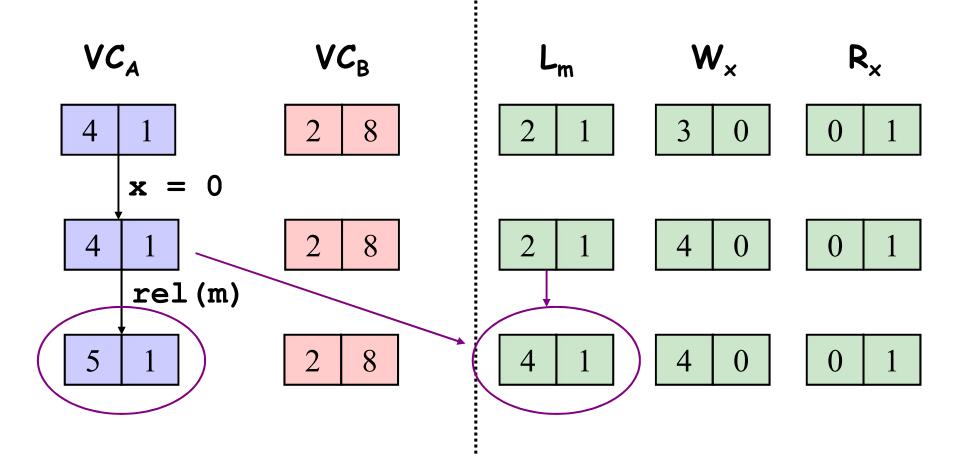


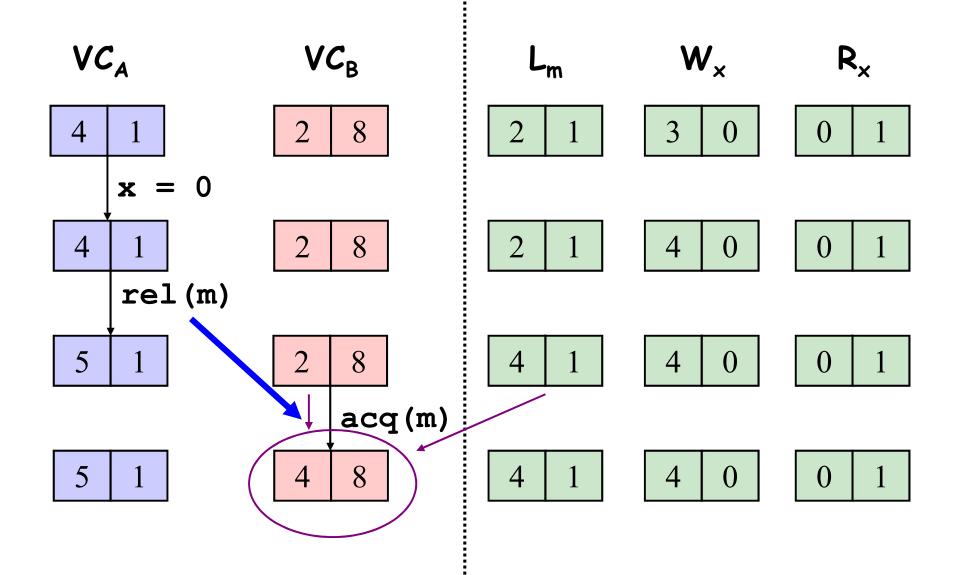
B-steps with B-time ≤ 1 happen before A's next step

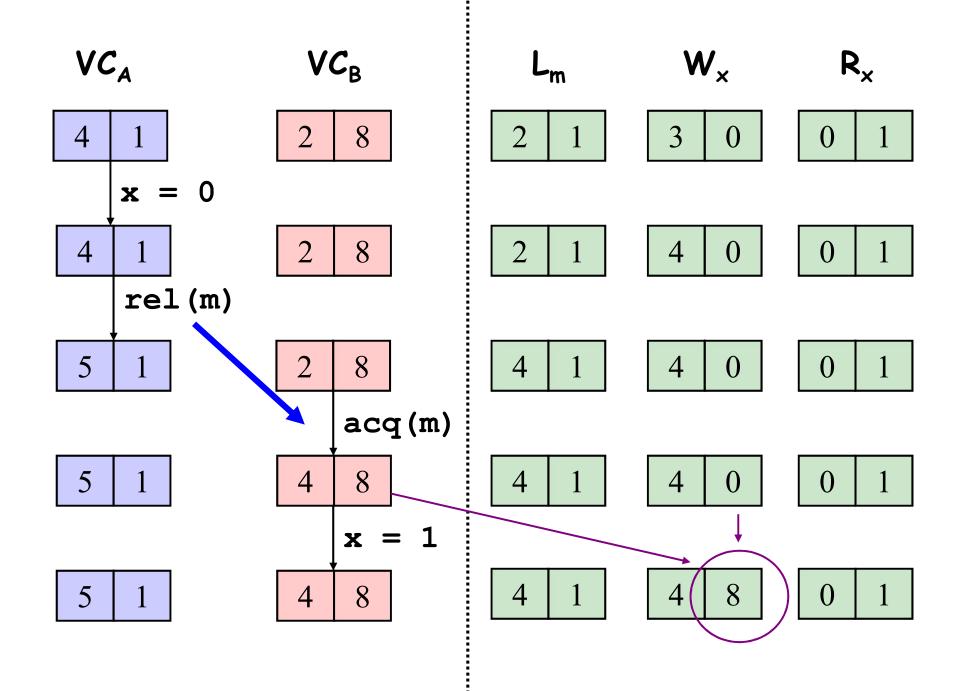


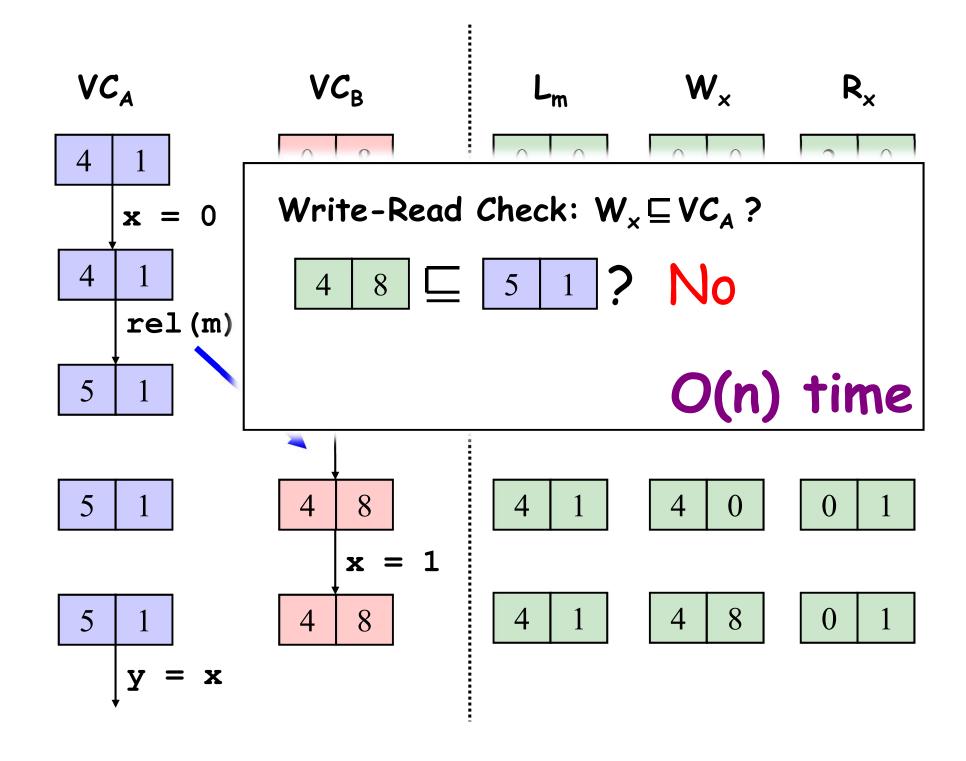








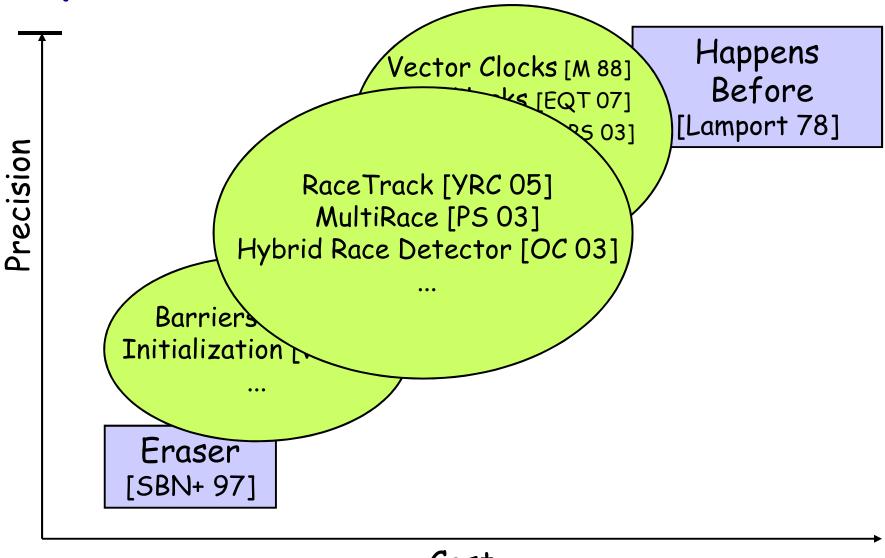




VectorClocks for Data-Race Detection

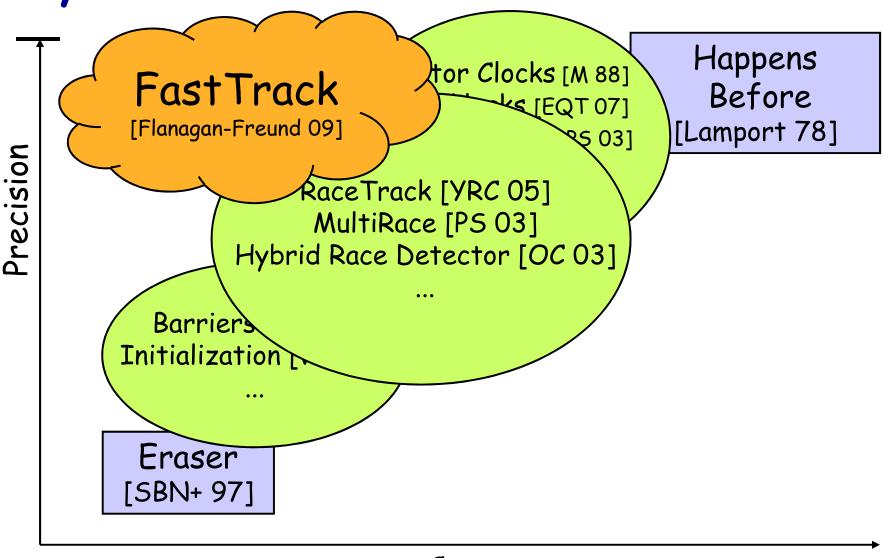
- Sound
 - No warnings → data-race-free execution
- Complete
 - Warning → data-race exists
- Performance
 - slowdowns > 50x
 - memory overhead

Dynamic Data-Race Detection

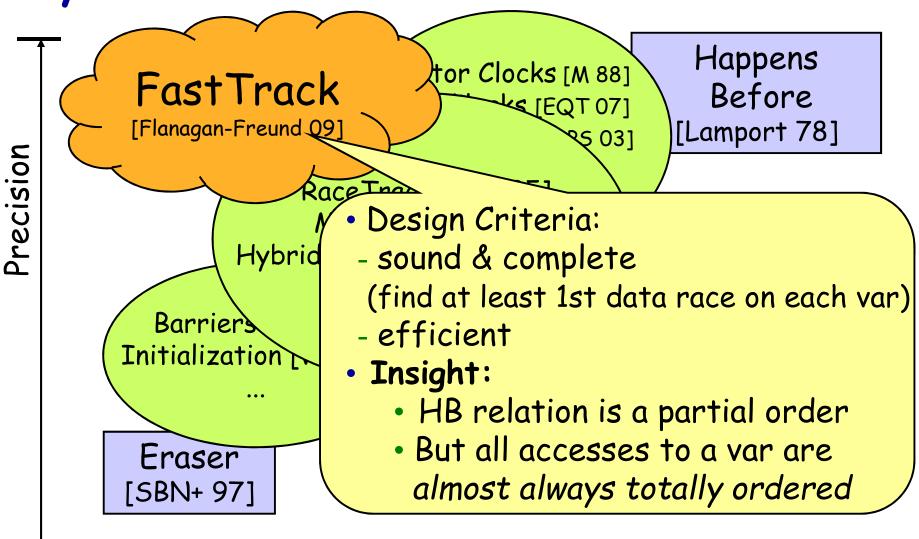


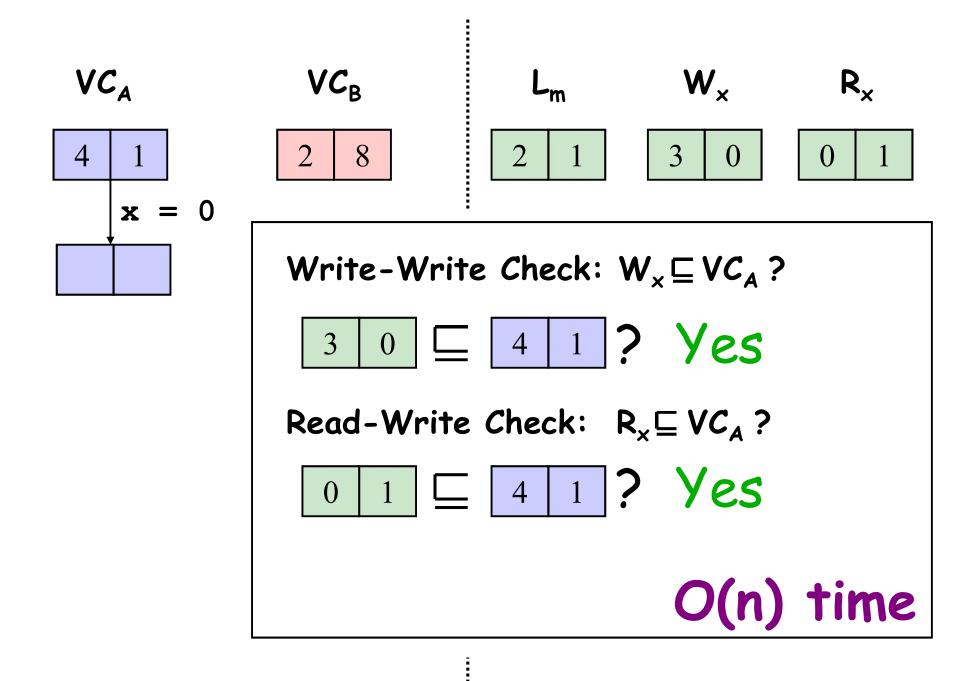
FASTTRACK

Dynamic Data-Race Detection

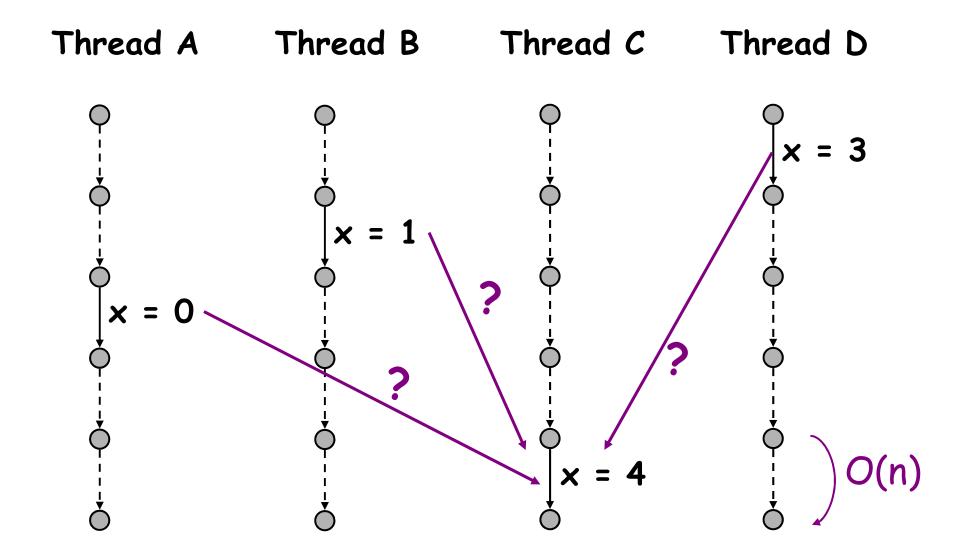


Dynamic Data-Race Detection

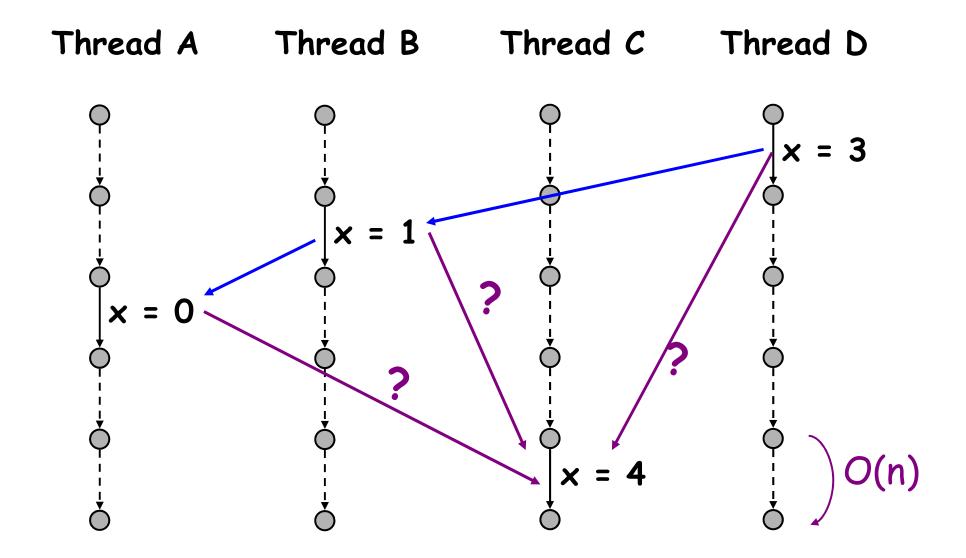




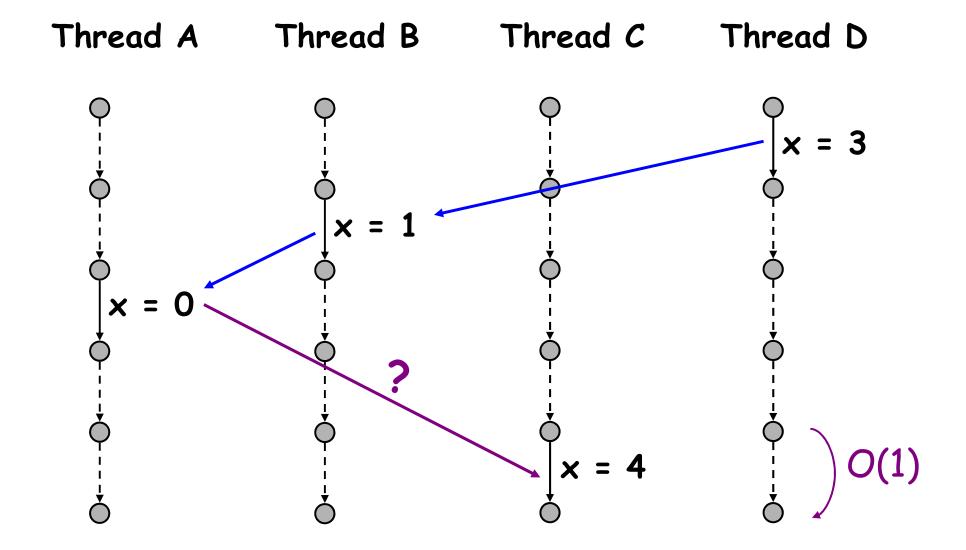
Write-Write and Write-Read Data Races

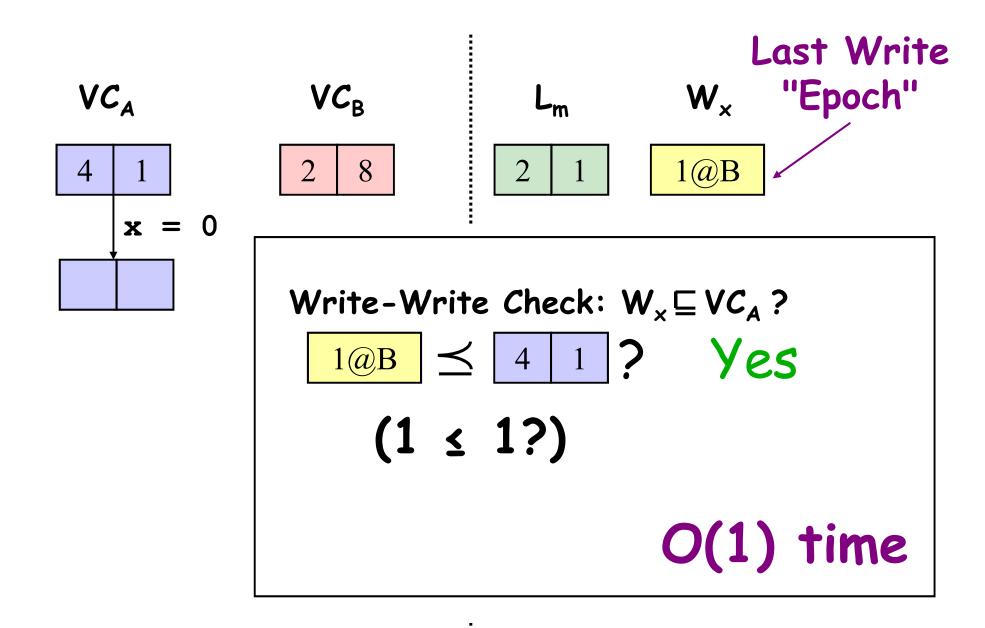


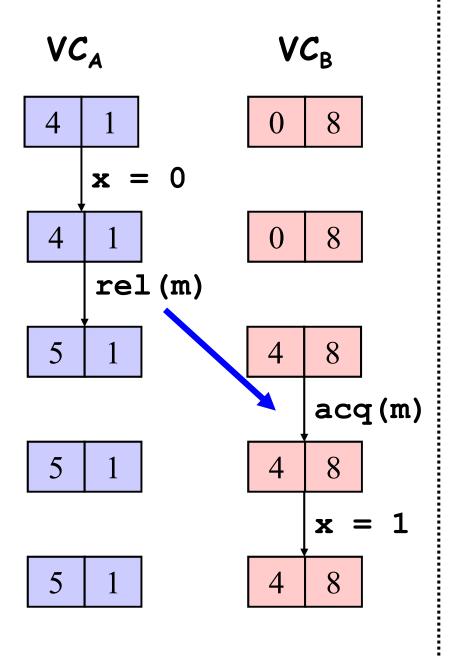
No Data Races Yet: Writes Totally Ordered

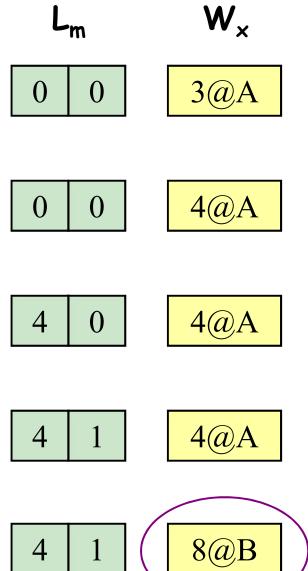


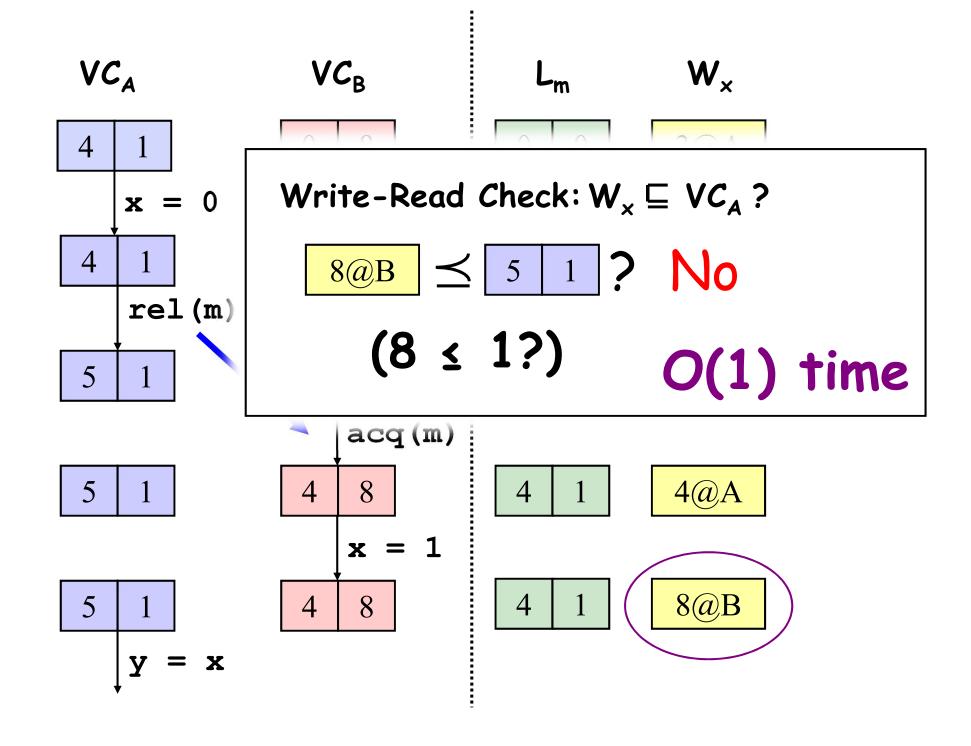
No Data Races Yet: Writes Totally Ordered



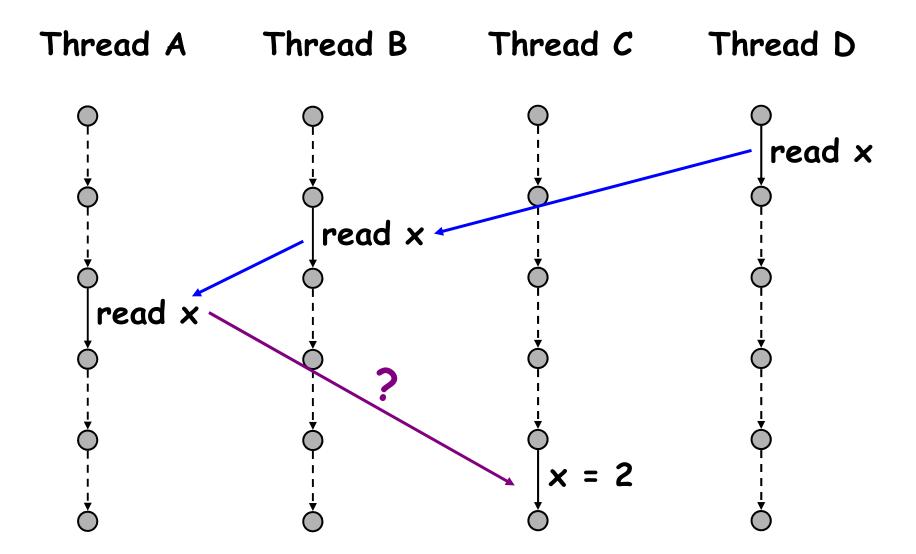






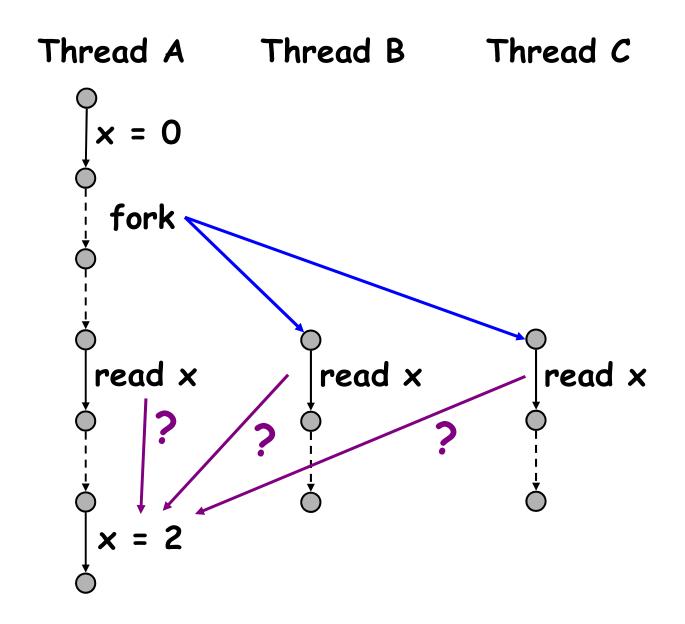


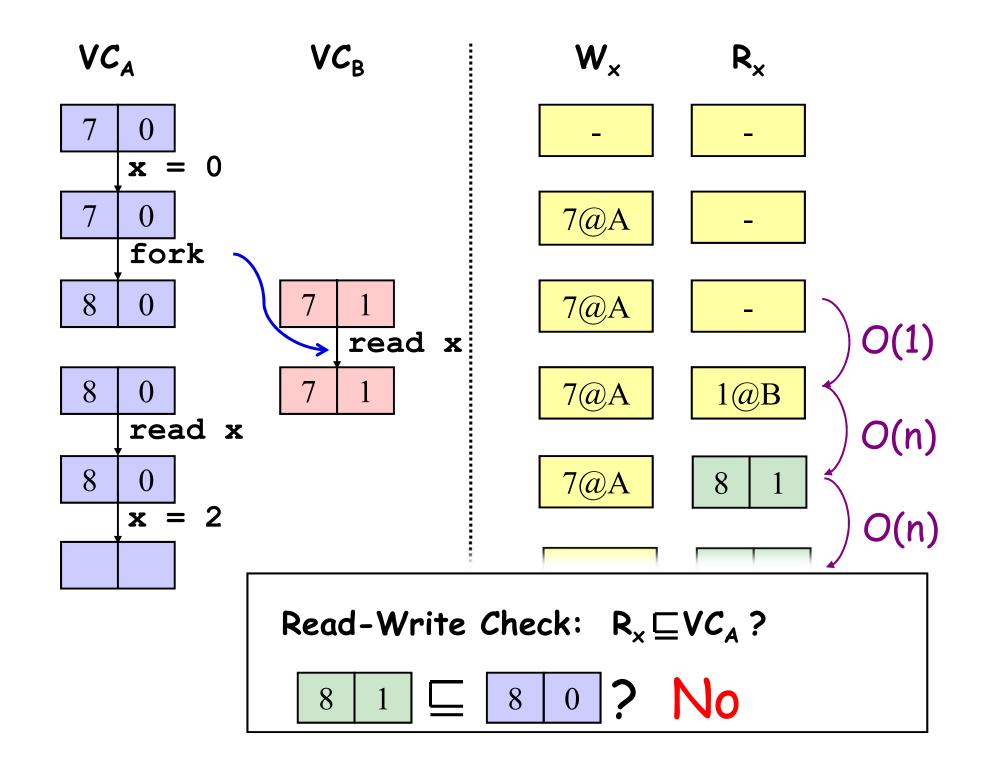
Read-Write Data Races -- Ordered Reads

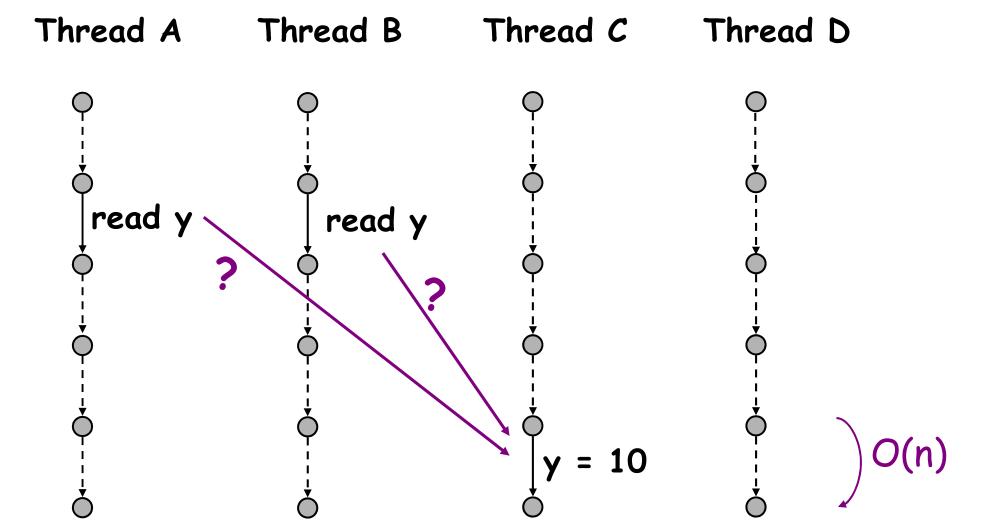


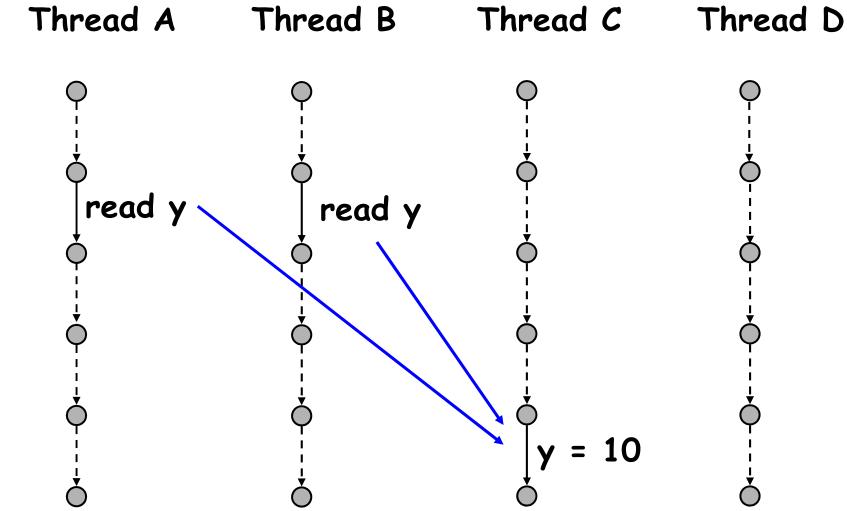
Most common case: thread-local, lock-protected, ...

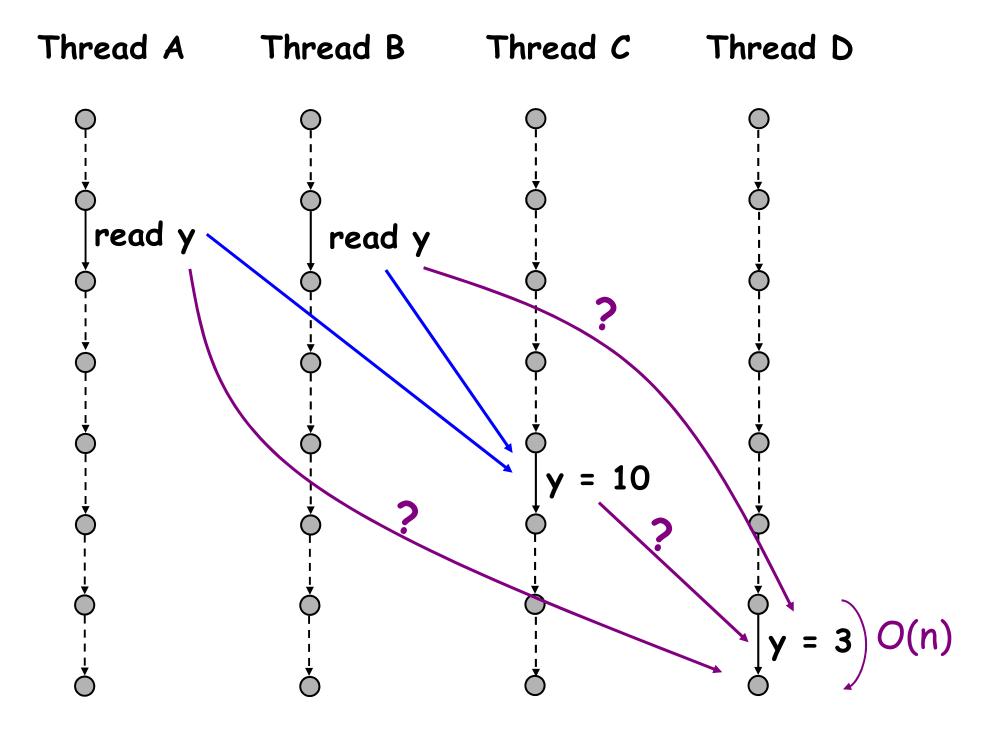
Read-Write Data Races -- Unordered Reads

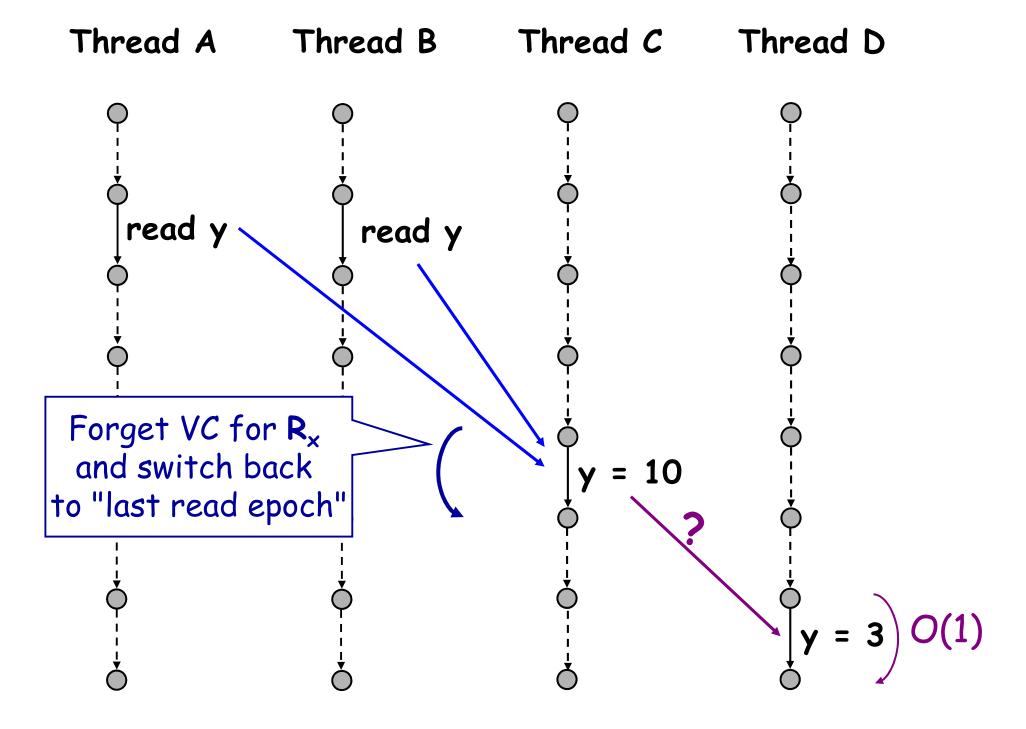




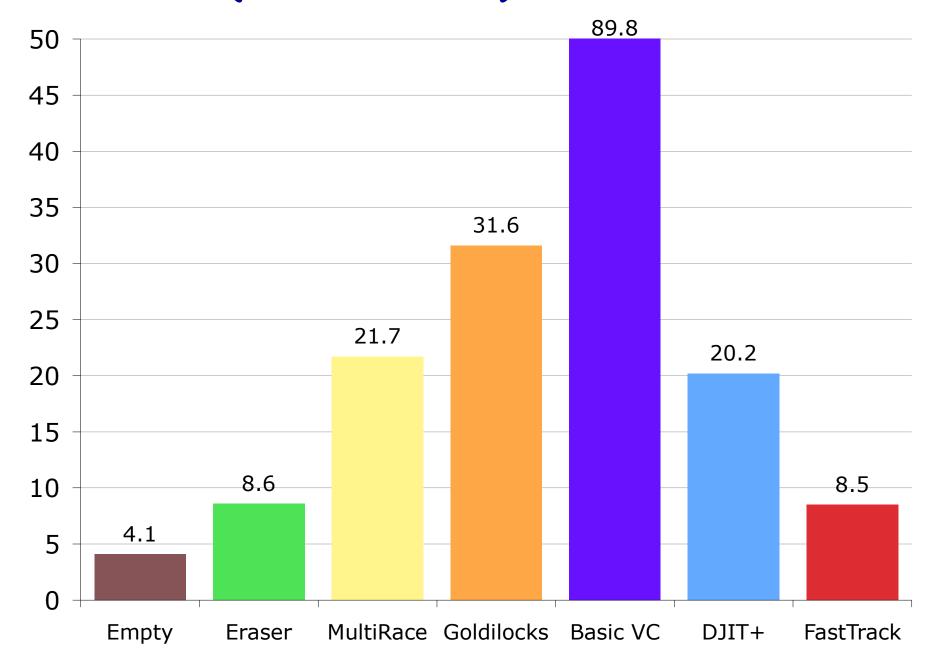








Slowdown (x Base Time)



Memory Usage

FastTrack allocated ~200x fewer VCs

Checker	Memory Overhead
Basic VC, DJIT+	7.9x
FastTrack	2.8x
Empty	2.0x

(Note: VCs for dead objects are garbage collected)

- Improvements
 - accordion clocks [CB 01]
 - analysis granularity [PS 03, YRC 05]

Eclipse 3.4

- Scale
 - > 6,000 classes
 - 24 threads
 - custom sync. idioms



- Precision (tested 5 common tasks)
 - Eraser: ~1000 warnings
 - FastTrack: ~30 warnings
- Performance on compute-bound tasks
 - > 2x speed of other precise checkers
 - same as Eraser

Lecture Takeaways

- Data race: two accesses, one of which is a write, with no happens-before relation
- Data races are subtle
 - Compiler optimizations, hardware reordering make racy program behavior hard to predict
 - Better to synchronize consistently
- · Lockset analysis: intuitive, fast
 - But many false warnings
- Happens-before data race detection
 - Sound; OK speed if carefully implemented

Key References

- Hans-J. Boehm and Sarita V. Adve, "You Don't Know Jack About Shared Variables or Memory Models", CACM 2012.
- Leslie Lamport, "Time, Clocks, and the Ordering of Events in a Distributed System", CACM 1978.
- Martin Abadi, Cormac Flanagan, and Stephen N. Freund, "Types for Safe Locking: Static Race Detection for Java", TOPLAS 2006.
- Madanlal Musuvathi, Shaz Qadeer, Thomas Ball, Gerard Basler, Piramanayagam Arumuga Nainar, and Iulian Neamtiu, "Finding and Reproducing Heisenbugs in Concurrent Programs", OSDI 2008.
- Cormac Flanagan, K. Rustan M. Leino, Mark Lillibridge, Greg Nelson, James B. Saxe, and Raymie Stata. "Extended static checking for Java", PLDI 2002.
- S. Savage, M. Burrows, G. Nelson, P. Sobalvarro, and T. E. Anderson, "Eraser: A dynamic data race detector for multithreaded programs", TOCS 1997.

Key References

- Friedemann Mattern, "Virtual Time and Global States of Distributed Systems", Workshop on Parallel and Distributed Algorithms 1989.
- Yuan Yu, Tom Rodeheffer, and Wei Chen, "RaceTrack: Efficient detection of data race conditions via adaptive tracking", SOSP 2005.
- Eli Pozniansky and Assaf Schuster, "MultiRace: Efficient on-the-fly data race detection in multithreaded C++ programs", Concurrency and Computation: Practice and Experience 2007.
- Robert O'Callahan and Jong-Deok Choi, "Hybrid Dynamic Data Race Detection", PPOPP 2003.
- Cormac Flanagan and Stephen N. Freund, "FastTrack: efficient and precise dynamic race detection", CACM 2010.
- Cormac Flanagan and Stephen N. Freund, "The RoadRunner dynamic analysis framework for concurrent programs", PASTE 2010.

Key References

- John Erickson, Madanlal Musuvathi, Sebastian Burckhardt, Kirk Olynyk, "Effective Data-Race Detection for the Kernel", OSDI 2010.
- Madanlal Musuvathi, Sebastian Burckhardt, Pravesh Kothari, and Santosh Nagarakatte, "A Randomized Scheduler with Probabilistic Guarantees of Finding Bugs", ASPLOS 2010.
- Michael D. Bond, Katherine E. Coons, Kathryn S. McKinley, "PACER: proportional detection of data races", PLDI 2010.
- Cormac Flanagan and Stephen N. Freund, "Adversarial memory for detecting destructive races", PLDI 2010.