



Discover Our Securities Services



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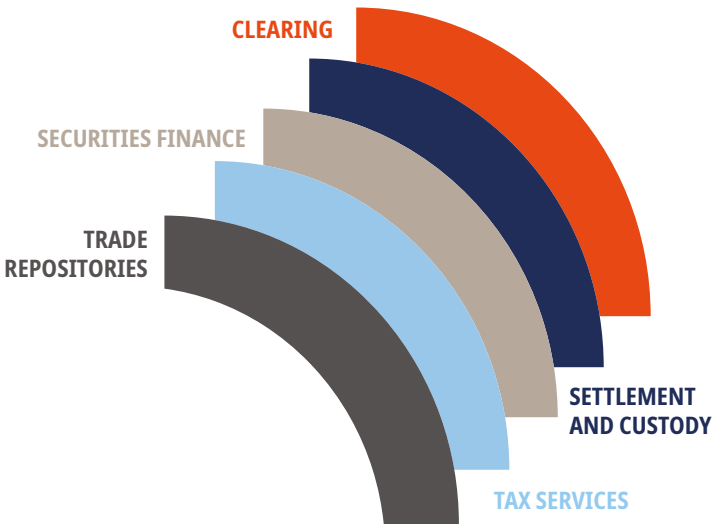
ABOUT SIX SECURITIES SERVICES

SIX covers the entirety of post-trading: from clearing and settlement to custody, securities finance, tax services, and funds services, and to payment transactions via the SIC interbank payments system. We manage risks and commitments via the most qualified, stable, and financially sound central counterparties in Europe and provide first-class custody services for more than 50 markets. Our CO:RE collateral and repo platform offers financial market participants a comprehensive palette of services associated with securities services and serves more than 160 financial institutions. Through our leading European trade repositories we offer reporting services covering all the major European regulatory reporting obligations.

Technology and innovation are key in the ever-changing industry of securities services. SIX understands this best, therefore is constantly investing in new technologies and innovative solutions.

OUR MAIN SERVICES

From clearing and settlement to custody, collateral management, and repurchase agreements, SIX offers a complete spectrum of post-trade services and more along the entire value chain, doing so in more than 50 countries worldwide.



- ✓ Pan-European multi-asset clearing
- ✓ Professional solutions for professional clients
- ✓ Solutions for more efficiency, less risk, and greater cost efficiency
- ✓ Top-class portfolio services
- ✓ Europe's leading trade repositories
- ✓ Optimizing investor returns via tax services for tax reclaims

CLEARING

YOUR LEADING CHOICE FOR PAN-EUROPEAN MULTI-ASSET CLEARING

SIX offers you highly diversified CCP services with access to multiple trading platforms across Europe. We deliver multi-asset clearing services in a highly regulated environment while managing risk in real-time.

Through its two most qualified, stable and capitalized CCPs (Central Counterparty clearing houses), SIX performs key functions that reduce credit risk and liquidity requirements while providing post-trade anonymity and greatly enhancing settlement

efficiency. All the while, SIX is proud to offer a highly competitive pricing that promotes market dynamism and ultimately enhances market resilience.

As a Third Country CCP active in the EU and UK, combined with our deep understanding of our home markets in Spain and Switzerland, we recognise the importance of a robust oversight framework in helping to deliver the best-in-class service for our clients.

HOW YOU WILL BENEFIT



REDUCED COUNTERPARTY RISK

Secured with a broad eligible collateral pool.



SETTLEMENT EFFICIENCY

Straight-through processing and expert settlement agents.



OPTIMAL NETTING

Extensive market coverage with the opportunity to net trades across venues.



All the Information You Need
on Clearing at Your Fingertips
www.six-group.com/clearing



Clearing Services in Spain
www.bmecclearing.es



Clearing Factsheet



SETTLEMENT & CUSTODY

WORLD-CLASS DIRECT AND INDIRECT ACCESS TO KEY MARKETS WORLDWIDE

As the leading and trusted Financial Market Infrastructure operating Central Securities Depositories (CSDs) in Switzerland and Spain as well as integrated international custody services worldwide, SIX has a long-standing track record in delivering comprehensive and high-quality securities services to Private Banks, Wealth Managers and other demanding clients. SIX offers comprehensive domestic and international custody services for over 50 markets across the globe.

Thanks to one of the world's most reliable real-time settlement systems we enable the settlement of transactions via a single technical interface. With our widespread international reach to major emerging and frontier markets (over 50 in total), UCITS and AIFMD friendly service model, competitive pricing and flexible account structures, we are the ideal partner for all your custody needs.

WHAT DO WE OFFER?

GLOBAL CONNECTIVITY TO KEY MARKETS



FULLY INTEGRATED AND FLEXIBLE CUSTODY SOLUTIONS



ENHANCED CROSS-BORDER SETTLEMENT EFFICIENCY



ON-SITE SERVICING IN SWITZERLAND, SPAIN, SINGAPORE & UNITED STATES



TRUSTED, STABLE, RELIABLE & HIGHLY RATED PARTNER



**FIND OUT MORE
ABOUT OUR
SETTLEMENT
& T2S SERVICES**



Settlement Services Factsheet



Custody Services Factsheet



**International Custody
and Settlement Factsheet**



Asset Servicing Factsheet



**All the Information You Need
on Settlement and Custody at
Your Fingertips**

www.six-group.com/custody-settlement



**Settlement & Custody
Services in Spain**

www.iberclear.es



Issuer Services Factsheet



SECURITIES FINANCE - FAST, SECURE AND FLEXIBLE IN PROVIDING LIQUIDITY

Our real-time securities finance offering brings together trading and collateral management capabilities in a fully integrated value chain starting from trading through settlement and finally to collateralization at the CSD or custodian level.

COLLATERAL AND REPO TRADING: CO:RE

CO:RE helps banks, broker-dealers, insurance firms, commercial banks and other market players to drive efficiency, reduce risk, and control their costs.

Effectively, CO:RE brings together trading and collateral management capabilities in a fully integrated value chain. CO:RE is a multi-faceted electronic trading facility providing single-point access to over 160 counterparties to trading repo contracts across 14 currencies. Central and commercial bank money are both available, alongside access to the Swiss National Bank's (SNB's) primary market for the issuance of money-market instruments.

COMPREHENSIVE TRIPARTY COLLATERAL MANAGEMENT SOLUTION

The Triparty Collateral Management (TCM) service of SIX is an attractive option for firms that prefer to stick to their core competencies. To enable transparency, standardization and automation is critical. And as the day-to-day management of collateralization activities can be quite demanding, many firms – banks, insurance companies, pension funds – choose to take advantage of the triparty collateral management service offered by SIX.

SECURITIES LENDING & BORROWING

Securities Lending and Borrowing is a complex business covering trading, settlement instructions, collateral management and loan management. Our services will enable you to access this business in an efficient manner while reducing risk and maximizing profit on lending fees.

THE COLLATERAL COCKPIT™ - A FULLY INTEGRATED COLLATERAL MANAGEMENT SOLUTION

The Collateral Cockpit™ offers you an all-in collateral management suite: from collateral trading and allocation to mobilization. Collateral management has never been so easy and simple.



All the Information You Need
on Securities Finance at Your
Fingertips

www.six-group.com/securities-finance



Repo Factsheet



Collateral Cockpit Factsheet



TAX SERVICES

OUR COMPREHENSIVE SOLUTION FOR YOUR TAX CHALLENGES

Avoiding double taxation today is a complex and opaque process for banks and investors alike. It requires highly specialized knowledge of the respective financial markets and their ever-changing requirements.

SIX provides a wide range of services to avoid double taxation and provide regulatory tax reporting. We take on the entire process chain from market-specific tax form submission, through coordinating with relevant tax authorities right up until tax refunds. Our Tax Services generate economies of scale for all stakeholders resulting in significant cost savings for banks, enabling them in turn, to better serve their customers

by helping them minimize double taxation and comply with regulatory tax reporting conventions.

SIX offers a number of solutions to address such tax issues, including Relief at Source, Quick Refund, and Tax Reclaim services. The new Advanced Tax Reclaim service is an innovative and smart one-stop-shop solution for banks and wealth managers, creating new revenue opportunities for banks and their clients.



All the Information You Need on
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Advanced Tax Reclaim Factsheet



TRADE REPOSITORY SERVICES IN SWITZERLAND

The Financial Market Infrastructure Act (FMIA; in German 'Finanzinfrastrukturgesetz' FinfraG) requires all companies with a registered office in Switzerland to report derivative transactions to an authorized trade repository.

SIX has responded to this obligation and to client demand by launching our own derivative trade repository for OTC and exchange traded derivatives reportable under FMIA. Ours is the only FINMA-approved Swiss trade repository.

It guarantees full data storage in Switzerland and full compliance with stringent Swiss regulatory standards, thus ensuring data protection at all times.

The SIX trade repository builds on our strategic partnership with UnaVista, augmenting the application's user friendly interface with relevant Swiss-specific business information and complete workflow support.

HOW YOU WILL BENEFIT



FINMA AUTHORIZED

The SIX trade repository is the only FINMA-recognized solution in Switzerland.



GUARANTEED SWISS DATA STORAGE

All your data is stored on our Swiss servers and you benefit from extended business support out of Switzerland.



COMPLETE PRICE TRANSPARENCY

Open, transparent pricing and a commitment to ongoing updates.



All the Information You Need on
Trade Repository at Your Fingertips
www.six-group.com/trade-repository

TRADE REPOSITORY SERVICES IN EUROPE

As a leading trade repository across Europe, REGIS-TR is the natural regulatory reporting provider to meet your EU and UK reporting obligations for EMIR, SFTR, UK EMIR and FinfraG. REGIS-TR is the trusted partner for over 1,600

clients from all over Europe ranging across a wide variety of corporate institutions including banks, brokers, CCPs, trade platforms, ManCos, asset managers, investment and insurance firms.

6 KEY BENEFITS



Flexible Account Model

Our flexible account model will suit all clients and their underlying clients. We offer models adaptive to large users, family groups, intermediaries and brokers.



Cost Effective Solutions

Our Relationship Management team are happy to simulate your fees based on your volumes and the account structure most attuned to your needs.



Ongoing Support

REGIS-TR recognises the importance of supporting clients through the increasing burdens of regulatory change and divergence, hosting regular webinars, working groups and thought leadership events. We are always here for you.



Porting Expertise

In 2020 we ported in over 50 individual clients including some of the largest market intermediaries in regulatory reporting across Europe.



Onboarding

Our dedicated team is ready to welcome you to the onboarding process. We have the skills and experience to deliver a seamless experience from the get-go.



Client Service Excellence

Dedicated, best in class technical experts ensure that your day-to-day needs are met and help alleviate the burden of your regulatory reporting obligation.



REGIS-TR
www.regis-tr.com



Trade Repository Factsheet



ALL LINKS AT A GLANCE

LANDING PAGES

CLEARING



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Clearing Services in Spain
www.bmeclearing.es

FACTSHEETS



Clearing Factsheet

SETTLEMENT & CUSTODY



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SECURITIES FINANCE



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TAX SERVICES



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FACTSHEETS



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Collateral Cockpit Factsheet



Advanced Tax Reclaim Factsheet

TRADE REPOSITORY SERVICES IN SWITZERLAND



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SIX Securities Services Ltd
Hardturmstrasse 201
P. O. Box
CH-8021 Zurich