

FrontLine SIX x-clear AG

Migration of Aquis Matching Pool, formerly UBS MTF, onto Aquis' technology

1.0 Overview

As announced by UBS MTF Ltd (UBS MTF) and Aquis Exchange PLC (Aquis) earlier this year, the UBS MTF ceased operating on 1 April 2022. Aquis Exchange PLC (Aquis) took over the operation of the platform, since then known as Aquis Matching Pool (AMP), using the existing UBS MTF technology. SIX x-clear AG (SIX x-clear) continued to receive the trade flow from the existing UBS MTF technology, with operating MIC: XUBS, while operations have been transferred to Aquis. SIX x-clear will continue to provide support to all the relevant trading platforms and will remain connected to the former UBS MTF technology until completion of the migration. The offering of clearing services to Aquis UK and Aquis EU MTFs will remain unchanged.

The integration of AMP into Aquis will be completed with the migration of the platform onto Aquis' technology, planned for September 2022.

2.0 Effective date

5 September 2022 for AMP EU (AQED) and 19 September 2022 for AMP UK (AQXD).

3.0 Impact on participants

The European business (i.e. EU/EEA markets) currently conducted on AMP with MIC: XUBS will be migrated onto Aquis technology and, as of 5 September 2022, received under operational MIC: AQEU. Within Aquis technology, the AMP EU segment is also referred to as AQED.

The UK and Swiss business (UK and CH markets) currently conducted on AMP with MIC: XUBS will be migrated onto Aquis technology and, as of 19 September 2022, received under MIC: AQXE. Within Aquis technology, the AMP UK segment is also referred to as AQXD.

The operating MIC of UBS MTF "XUBS" will no longer be received by SIX x-clear after 19 September 2022 and thus will not be reported to Members.

4.0 Details

The testing team of SIX x-clear will provide support where necessary, although SIX x-clear does not mandate Member testing as the existing setups of Aquis MTFs are going to be used going forward unchanged.

5.0 Contact

If you have any further questions, please contact your Relationship Manager. The contact details are mentioned in the list of SIX SIS contacts published at www.six-

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group.com > Login > Securities Services Private > Contacts > SIX SIS > List of SIX SIS contacts.

In this context, SIX x-clear AG draws the Members' attention to **clause 7.1 and chapter 16.0** (liability) of the Rulebook of SIX x-clear AG stipulating that the Member is responsible for compliance with the applicable laws (in particular domestic and foreign tax, foreign exchange and stock market regulations as well as with company law and articles of association) with respect to the Clearing services obtained from SIX x-clear AG.