

# Clearing Notice SIX x-clear AG

## **New contractual framework for SIX x-clear AG**

### **1.0 Overview**

In accordance with the contractual relationship between SIX x-clear AG ("SIX x-clear") and its Members, the newly signed agreements (new contractual framework) will replace the current contractual framework as of **28 September 2022** (enactment).

The new contractual framework comprises the following documents:

- revised "Basic Clearing Services Agreement".
- revised "Financial Collateral Agreement" (FCA)
- revised Rulebook (with its annexes)
- revised Operational Manual (with its annexes)

The Operational Manual will be published on the SIX x-clear website when the Agreement comes into force.

### **2.0 Effective date**

28 September 2022.

### **3.0 Impact on Members**

The introduction of the new contractual framework affects all members of SIX x-clear AG.

### **4.0 Contacts**

Please contact your respective Relationship Manager or the Risk Operations Team with any questions related to this topic. The contact details are published at [www.sixgroup.com](http://www.sixgroup.com) > Securities Services > Contact > Risk Management Operations Zurich.

In this context, SIX x-clear AG draws the Members' attention to **clause 7.7, chapter 14 and 15** of the Rulebook of SIX x-clear AG stipulating that the Member is responsible for compliance with the applicable laws (in particular domestic and foreign tax, foreign exchange and stock market regulations as well as with company law and articles of association) with respect to the Clearing services obtained from SIX x-clear AG.