



Advanced Tax Reclaim

Withholding Tax Reclaim Made Easy

With Advanced Tax Reclaim, SIX offers an end-to-end solution in the area of tax reclaim services. Advanced Tax Reclaim combines innovative technology with the in-depth know-how of our tax experts and our experience in setting up efficient and cost-effective processes.

What is Advanced Tax Reclaim?

Advanced Tax Reclaim is part of the Advanced Tax Services offering and is a straightforward end-to-end solution that allows financial institutions to offer a tax reclaim service at reasonable costs to its clients, while creating potential new revenue streams. Financial institutions and investors are no longer required to provide duly completed tax reclaim forms. Instead, SIX clients can submit all the data required for the tax reclaim process via the ATS Portal and SIX takes care of the reclaim formalities.

How Does the Service Help Financial Institutions?

The tax reclaim procedure proves to be a tedious process that requires detailed knowledge of country-specific, ever-changing requirements. SIX now takes over the heavy lifting.

By using Advanced Tax Reclaim, financial institutions can offer their clients a reclaim of excess withholding taxes paid on dividends and interest. Thanks to the innovative, web-based ATS Portal, financial institutions can access the service and submit the relevant data online. SIX then coordinates all the necessary steps with the relevant custodians and tax authorities to reclaim the excess withholding tax.

With Advanced Tax Reclaim, financial institutions are able to optimize returns for themselves and their clients and gain efficiency through reduced complexity. The service is

offered irrespective of whether assets are held in custody at SIX SIS Ltd.

How Does the Service Work?

Access to Advanced Tax Reclaim is given through the innovative, web-based ATS Portal – a safe and smart solution for the interaction between the financial institution and SIX.

All relevant information is uploaded to the ATS Portal via an XML file. The system validates every upload automatically for its conformity and completeness. With the information provided, SIX prepares all the necessary documents for a successful tax reclaim request and files them with the relevant tax authorities. Users can review the details of the processed data and receive online status updates on all activities in near-real-time.

Any additional data and documents that may be required are uploaded via the ATS Portal as well – no communication by e-mail or phone with our operational team is required. Nevertheless, our team can be reached at any time.

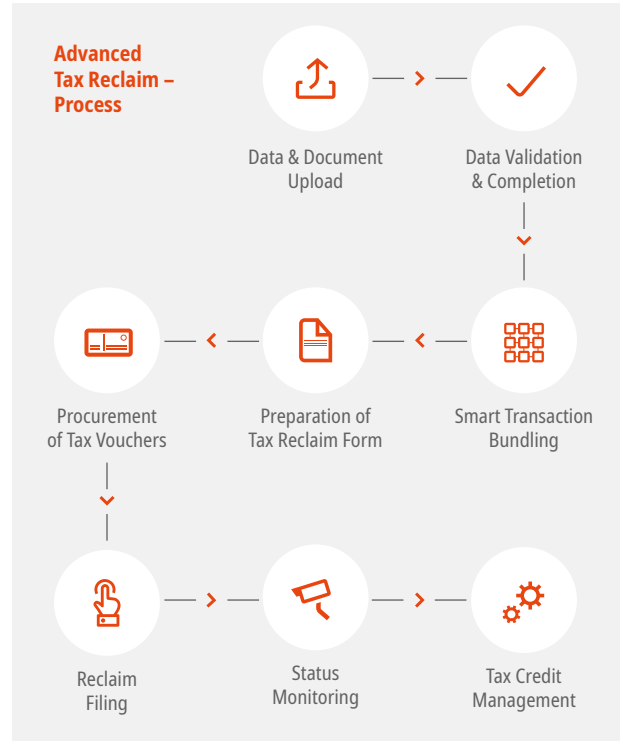
The user-friendly ATS Portal features an intuitive interface and clearly arranged dashboards. The ATS Portal allows users to keep track of their data and reclaims at all times. All information that can be reused for tax reclaims in the subsequent years will be stored in the system.

You Can Rely on Our High Security Standards

As Switzerland's regulated financial market infrastructure, SIX is subject to rigorous data protection obligations, which means that client data security is assured at all times in compliance with CH and EU data protection regulations. Data is stored on Swiss-based servers.

Your Benefits

- ✓ One-stop-shop solution for the easy and smooth handling of withholding tax reclaims
- ✓ Custody neutral: Service can be used irrespective of whether assets are held in custody at SIX SIS Ltd.
- ✓ No software solution required – access through the web-based ATS Portal
- ✓ Near-real-time online status monitoring
- ✓ Integrated online archiving function
- ✓ Continuous service scope enhancements
- ✓ Secure environment – according to highest security standards of SIX and in full compliance with CH and EU data protection regulations – Swiss-based servers in secure environment of SIX



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