



Regulatory Document Hub

Distribute your regulatory documents with SIX
for easy, convenient compliance

A centralized platform to house your regulatory documents,
streamlines distribution infrastructure and allows for quick and
easy retrieval by distributors.

Regulatory documentation for investor protection

Investor protection regulations require manufacturers to produce a range of standardized documents including Packaged Retail and Insurance-based Investment Products (PRIIPs) Key Information Documents (KIDs) that outline the suitability, risks and costs of the investment. These documents must follow strict guidelines and be made available in the pre-sale phase for any retail distribution network.

The document management challenge

Ensuring documents are compliant and available to the right people at the right time can present complex challenges. Manufacturers need to generate and continually update KIDs, resulting in huge volumes of documents that are quickly made available to retail advisory networks. Distributors need to be able to download all necessary documentation for products and access updated versions over the product lifecycle in order to remain compliant and actually do business.

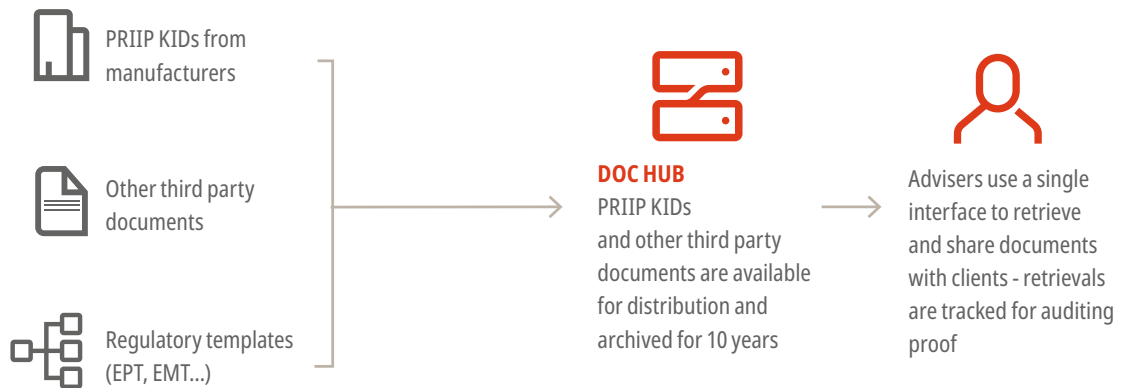
With so many moving parts, distributors are looking for manufacturers to provide a convenient and efficient method to access regulatory documents.

Your one-stop-shop for document management

SIX has developed a platform that allows you to upload pre-sale documents, making it easy for buy-side users to find and retrieve documents from a single source. The solution allows you to easily disseminate legally compliant KIDs and their updates throughout the entire lifecycle of a financial instrument.

The centralized download center has been built to ensure compliance with all regulatory documents required today and over the coming years, including MiFID II and FIDLEG.

Streamlined workflow to enable accurate and timely PRIIP KID distribution



Key benefits:

- Expand your retail network by uploading ready prepared documents to a single platform
- Maximize your market reach by onboarding KIDs that can be easily retrieved by your distribution network
- Gain efficiencies through a simple entitlement system that defines which distributors get documents for which instruments
- Future-proof your document management with a central hub that accommodates a wide variety of document types (e.g. PRIIP KID, UCITS-KIID, FIDLEG BIB) and future regulatory documents

Why SIX?

SIX has over 90 years of expertise in the provision of high quality market and reference data. Thanks to a collaboration between in-house compliance experts and global partners, SIX ensures you receive comprehensive, high-quality compliance content and services. We cover over 70 national and international regulations. More than 60 clients already trust SIX as a partner of choice for the distribution of their regulatory documents with the Regulatory Hub.

Easy access and delivery

The regulatory document service by SIX can be integrated into core banking systems thanks to standardized APIs or accessed via a web interface.

We offer support for a wide range of regulatory document types:

- PRIIP KID (Packaged Retail and Insurance-based Investment Products)
- FIDLEG-BIB (Base Information Bulletin)
- German PIB (Product Information Bulletin)
- UCITS -KIID (Undertakings for Collective Investment in Transferable Securities)

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