



Alternative Investment Fund Managers Directive (AIFMD)

The easy way to comply with the EU directive on alternative investment funds

Providers and managers of alternative investment funds have to obtain a license in order to operate within the EU. They are also required to disclose information about the liquidity, risk profile, and concentration of their portfolios on a regular basis. SIX provides a data service that supports, at the same time, Exposure Reporting obligations and compliant client advisory.

Regulatory situation: growing complexity

AIFMD has been designed to ensure greater transparency within the European fund market and to protect investors from investment risks. The directive centers on the introduction of a European passport, which must be acquired by the Alternative Investment Fund Manager (AIFM) managing Alternative Investment Funds (AIFs). Licenses are tied to strict conditions. The European Securities and Markets Authority (ESMA) and National Competent Authorities now supervise the compliance under AIFMD of the AIFMs and their respective AIFs.

AIFMD came into effect for EU fund managers and their funds in July 2013. Starting October 2015, fund managers from non-EU countries such as Switzerland also have to obtain a license in order to distribute investment funds in the EU.

Data service for AIFMs, AIFs, Wealth Managers and Client Advisors

The service supports the Exposure Reporting obligations of AIFMs under AIFMD by providing the corresponding sub-asset types (cf. ANNEX II of the "Final report Guidelines on reporting obligations under Articles 3(3)d and 24(1), (2) and (4) of the AIFMD").

Key benefits:

- Meet your AIFMD-related reporting requirements effortlessly by providing the asset type typology for all exposures reporting obligations.
- Quickly and clearly see which AIFMs and AIFs are licensed within the EU, and accordingly advise your clients in a professional and regulatory-compliant manner.
- Use the same high-quality, up-to-date data that is available to ESMA and the local supervisory authorities of the EU member countries.
- By request, benefit from an additional link with other identifiers such as LEI (Legal Entity Identifier) and FATCA GIIN (Global Intermediary Identification Number).

Besides, thanks to a specific marking, client advisors and wealth managers can quickly and clearly see which AIFMs are permitted to offer their AIFs within the EU by identifying which AIFMs and AIFs are registered/authorized under AIFMD.

This will ensure they provide investors with expert advice and fulfill their legal obligation to protect investors. SIX obtains the data directly from ESMA and the National Competent Authorities of EU member states. By using our AIFMD data service, you can rest assured that the data you receive is reliable.

The AIFMD data service is available as a fee-based service via the Valordata Feed (VDF).

Our expertise, your gain

SIX offers you comprehensive data services on tax-related and regulatory matters such as FATCA, Solvency II, Dodd-Frank, MiFID, Final Withholding Tax Agreements, EU savings tax, Financial Transaction Taxes and other directives.

Thanks to our intelligently linked reference data, award-winning corporate actions, and high-quality valuations, you can keep track of an increasingly complex regulatory environment.

A global financial data leader

SIX drives competitiveness of customers in Swiss and international financial markets through superior services and innovation. A long-standing leader in financial information, SIX continually invests in developing data and value-add services that help institutions transact and engage with confidence and navigate the intricacies of today's markets and regulations so business leaders can focus on their core business.

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