





REGISTERED ADDRESS\*

Registered Address Same as above Yes No (If no, please fill in below)
Landmark (If any) City District State Country Pin Code
Contact Details Mobile Landline No. Fax (STD Code) (Number)
Email for receiving consolidated statements

ENTITY PROOF

\*Entity Proof Name of Document ID Number (If applicable) Expiry Date
\*Address Proof Name of Document ID Number (If applicable) Expiry Date

Additional Documents Shared
IT Registration u/s 12AA or 80G (for Savings Accounts)
Schedule 3/Proof of Appointment of the persons signing documents
Constitutional Document (Trust Deed/Bye-laws/MOA/Constitution/Rule Book)
List of present Trustees/Governing body members

\*TELL US THE DETAILS OF THE DEPOSIT TO BE BOOKED TO MAXIMIZE RETURNS ON YOUR SURPLUS FUNDS

Table with columns: Deposit Type, Amount, Tenure\* (Years, Months, Days), Interest Mandate (Simple Interest Monthly/Quarterly, Quarterly Compounding, Long Term >180 days), Interest Rate %

PAN/Form 60 is mandatory for booking time deposit exceeding Rs. 50,000/- at each instance and for time deposits aggregating to more than Rs. 5 lakhs during a financial year.

MODE OF OPERATION

Mode of Operation Singly Jointly Either or As per the Trust/Board Resolution/Account Opening Authority Letter
Number of Authorised Signatories

HOW WOULD THE ENTITY LIKE TO FUND THE FIXED DEPOSIT?

Cheque Cheque Number Cheque Drawn on Cheque Date
NEFT/RTGS\*

Fixed Deposit funding through third party account will not be accepted. Funding done through cheque will be banked the next working day and clear funds will be available with IDFC FIRST Bank on T + 2 working days (T being the day when cheque is banked)

WHAT WOULD THE ENTITY WANT THE MATURITY INSTRUCTIONS TO BE AS?

Table with columns: FOR SIMPLE INTEREST FDs, FOR COMPOUND INTEREST FDs
Options: Renew, Payout, do not renew, Renew Principal & Interest, Renew Principal & Payout Interest

If you do not have a PAN automatic renewal option will not be available

HOW WOULD THE ENTITY LIKE THE BANK TO PAY THE DEPOSIT INTEREST AND PRINCIPAL TO YOU?

PRINCIPAL Demand Draft (DD) to Mailing Address NEFT/RTGS IFSC Code Account Number
INTEREST Demand Draft (DD) to Mailing Address NEFT/RTGS IFSC Code Account Number

A/c must be in the name of the depositor, Fixed Deposit proceeds will not be credited to Third Party Accounts. F or deposits booked from FCRA accounts, please ensure that same FCRA account details are captured above.

WOULD THE ENTITY LIKE THE BANK TO DEDUCT TDS ON THE DEPOSIT?

Deduct TDS as applicable DO NOT deduct TDS as we are submitting Form 15G for this deposit (We are submitting documents evidencing the same)
Do not deduct TDS as we are exempt from Tax

**ANNEXURE 1 - FATCA/CRS DECLARATION**

A		Incorporation Information	Details
		Place of Incorporation	
		Country of Incorporation	
		Company Identification Number	
B		Declaration of Tax Residency	
	Sr. No.	Country(ies) of Tax Residency	Tax Identification Number
C		Exclusion Category, if applicable, for Tax Residents outside India (Refer glossary)	Details
	1	US Persons	
	2	Other than US Persons	

**Note:** Please attach a copy of the Tax Residency Certificate/Copy of Incorporation or Equivalent Document for each of the countries mentioned above.

**ANNEXURE 2 - FATCA/CRS DECLARATION**

A. Listed Entity/its Related Entity	Yes	No
a) Whether the entity is a listed entity? If yes, Listed in <input type="checkbox"/> NSE <input type="checkbox"/> BSE <input type="checkbox"/> Others _____ (Please specify)	<input type="checkbox"/>	<input type="checkbox"/>
b) Whether the entity is a related entity* of a listed entity? Specify the name of the listed company _____ Listed in <input type="checkbox"/> NSE <input type="checkbox"/> BSE <input type="checkbox"/> Others _____ (Please specify)	<input type="checkbox"/>	<input type="checkbox"/>
<small>* An entity is a related entity of another entity if either entity controls the other entity, or the two entities are under common control (i.e., Ownership of more than 50% of the votes/value in an entity)</small>		
B. Non In individuals other than Listed entity/its Related Entity (Tick applicable category)		
a) Government Entity	<input type="checkbox"/>	<input type="checkbox"/>
b) International Organization	<input type="checkbox"/>	<input type="checkbox"/>
c) Central Bank	<input type="checkbox"/>	<input type="checkbox"/>
d) Entity wholly owned by a, b, or c above	<input type="checkbox"/>	<input type="checkbox"/>
e) Tax-Exempt Entity engaged in a Charitable Purpose	<input type="checkbox"/>	<input type="checkbox"/>
C. Business		
a) Holding Company (With subsidiaries engaged in non-financial trade or business)	<input type="checkbox"/>	<input type="checkbox"/>
b) Company providing, financing and hedging services to related entities	<input type="checkbox"/>	<input type="checkbox"/>
D. Income/Assets Criteria		
a) 50% or more of the income in preceding financial year is from trading/business activities <b>AND</b>	<input type="checkbox"/>	<input type="checkbox"/>
b) 50% or more of the assets in preceding financial year are held for trading/business purposes		

Name \_\_\_\_\_

Name \_\_\_\_\_

Designation \_\_\_\_\_

Designation \_\_\_\_\_

Signature and Stamp of Authorised Signatories

Signature and Stamp of Authorised Signatories

 Date        
           D D    M M    Y Y Y Y

 Date        
           D D    M M    Y Y Y Y

Contd...3/11

### ANNEXURE 3 -BENEFICIAL OWNERSHIP DECLARATION

**Note:** Beneficial Owners are not required to be identified in the case of Listed Companies or Wholly Owned Subsidiaries of a Listed Company listed on a stock exchange in India, or it is an entity resident in \* jurisdictions notified by the Central Government and listed on stock exchanges in such jurisdictions notified by the Central Government. In cases of trust/nominee or fiduciary accounts determine whether the customer is acting on behalf of another person as trustee/nominee or any other intermediary and obtain satisfactory evidence of the identity of the intermediaries and of the persons on whose behalf they are acting as well as details of the nature of the trust or other arrangements in place.

\*Jurisdictions Name: (i) United States of America (ii) Japan (iii) South Korea (iv) United Kingdom excluding British Overseas Territories (v) France (vi) Germany (vii) Canada (viii) International Financial Services Centre in India,

Please tick the relevant option below:

- The following natural person(s) ultimately have a controlling ownership interest of shares/capital/profit/property more than 10% for a company/partnership/LLP and more than 15% for an unincorporated association/body of individuals (Association/Society/etc.) or exercise control through other means such as management rights, voting/shareholders agreement, etc.
- There are no natural person(s) who exercise control or ultimately have a controlling ownership interest as stated above; therefore, details of partner(s) (for partnership firms)/ or senior managing official of a company/unincorporated association/body of individuals (Association/Society/etc.) have been provided in the table below.
- Where the customer is a trust, the identification of beneficial owner(s) shall include identification of the author of the trust, the trustee, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Sr. No	Name	Current address	Does the OVD address match the current address (If "No" provide a deemed OVD)	Mobile No.	Date of Birth	Gender	Control Details Type	Controlling Ownership %
1.								
2.								
3.								
4.								
5.								

Sr. No	Nationality	Resident of India	PAN <sup>#</sup>	OVD & Deemed OVD	Father's Name	Occupation	Country of Birth	Country of Tax Residence	Tax Identification Number (TIN)
1.		<input type="checkbox"/> Resident <input type="checkbox"/> Non-Resident							
2.		<input type="checkbox"/> Resident <input type="checkbox"/> Non-Resident							
3.		<input type="checkbox"/> Resident <input type="checkbox"/> Non-Resident							
4.		<input type="checkbox"/> Resident <input type="checkbox"/> Non-Resident							
5.		<input type="checkbox"/> Resident <input type="checkbox"/> Non-Resident							

**Note:** #Please quote PAN/form 60 in the PAN field.

- 1) Photograph of BO is mandatory
- 2) Either Mother, Father name or Spouse's name is mandatory. In case PAN is not available Father's name is mandatory.
- 3) Senior Managing Official would include key managers, and c-suite individuals (like CEO, CFO, COO etc)
- 4) In case Original Seen & Verified (OSV) certification is not possible for BOs who are Foreign Nationals/NRIs/PIOs, the document needs to be certified by any one of the following authorities:
  - (a) Authorized officials of overseas branches of Scheduled Commercial Banks registered in India
  - (b) Branches of overseas banks with whom Indian banks have relationships
  - (c) Notary Public abroad
  - (d) Court Magistrate
  - (e) Judge
  - (f) Indian Embassy/Consulate General in the country where the non-resident customer resides
- 5) Types of control:
  - (a) Ownership
  - (b) Other means
  - (c) Senior managing official
  - (d) Trustee
  - (e) Settlor
  - (f) Protector
  - (g) Beneficiary
  - (h) Others (please specify)

I/We agree that I/We will notify IDFC FIRST Bank without delay of any changes to the Beneficial Owner/Controlling natural person, as declared in the table above.

1. Signature of Authorised Signatories:

	Name _____
	Designation _____
	Date <input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YYYY"/>

Signature with Stamp

2. Signature of Authorised Signatories:

	Name _____
	Designation _____
	Date <input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YYYY"/>

Signature with Stamp



**ANNEXURE 3\* PHOTOGRAPHS OF BENEFICIAL OWNERS**

RECENT  
Colour  
Photograph

Name \_\_\_\_\_

RECENT  
Colour  
Photograph

Name \_\_\_\_\_

RECENT  
Colour  
Photograph

Name \_\_\_\_\_

RECENT  
Colour  
Photograph

Name \_\_\_\_\_

RECENT  
Colour  
Photograph

Name \_\_\_\_\_

RECENT  
Colour  
Photograph

Name \_\_\_\_\_

**ANNEXURE 4 GST ANNEXURE**
**CUSTOMER DETAILS**

Customer Name

GST Status  Registered  Unregistered

Are you exempted from GST?  Yes (Please submit documentary evidence for the exemption)  No

If exempt  Customer level exemption  Account level exemption

Related person to IDFC FIRST Bank  Yes  No (If yes, please note that GST as may be applicable, needs to be paid by the related person.)  
(Refer Glossary of terms for definition of related person)

If Registered then Provide the State wise GST details

# Please mention the primary GSTN for this account as the first GSTN.

Sr.No.	Name of the State	GST Registration Number#	Address as per GSTN records <sup>\$</sup>	Remarks
		<input style="width: 100%; height: 15px; border: 1px solid black;" type="text"/>		
		<input style="width: 100%; height: 15px; border: 1px solid black;" type="text"/>		
		<input style="width: 100%; height: 15px; border: 1px solid black;" type="text"/>		
		<input style="width: 100%; height: 15px; border: 1px solid black;" type="text"/>		
		<input style="width: 100%; height: 15px; border: 1px solid black;" type="text"/>		
		<input style="width: 100%; height: 15px; border: 1px solid black;" type="text"/>		
		<input style="width: 100%; height: 15px; border: 1px solid black;" type="text"/>		
		<input style="width: 100%; height: 15px; border: 1px solid black;" type="text"/>		
		<input style="width: 100%; height: 15px; border: 1px solid black;" type="text"/>		
		<input style="width: 100%; height: 15px; border: 1px solid black;" type="text"/>		
		<input style="width: 100%; height: 15px; border: 1px solid black;" type="text"/>		

\$ Address as per GSTN records is the address of receiving the service.  
If you have more than 10 GST Registration Numbers; please use another copy of this sheet.

Name \_\_\_\_\_ Name \_\_\_\_\_ Name \_\_\_\_\_

Designation \_\_\_\_\_ Designation \_\_\_\_\_ Designation \_\_\_\_\_

Signature and Stamp

Signature and Stamp

Signature and Stamp







transactions promptly on the mobile number shared at the time of account opening/updated subsequently and any failure to update contact information with the bank may result in any financial loss in case of misuse of cards. **21.** I understand, acknowledge and authorize that as per the provisions of Income Tax Act, Rules made thereunder and the guidelines issued by the Government/RBI in the matter, depending upon the residential status and/or other criteria stipulated therein, the Bank may have to report the details in respect of my account(s) as per the prescribed format to the Central Board of Direct Taxes (CBDT) or other Government Agencies to comply with the obligations as per the Inter-Governmental Agreements (IGA) in respect of Foreign Accounts T. Compliance Act (FATCA) and Common Reporting Standards (CRS) and / or any other similar arrangements. **22.** I undertake the responsibility to declare, disclose and recertify within 30 days any changes that may take place in the information provided in the account opening form and signed by me as well as in the documentary evidence provided by me or if any certification become incorrect. **23.** I also agree that our failure to disclose any material fact known to me now or in future, may invalidate my application and IDFC FIRST Bank would be within its right to put restrictions in the operations of my account or take appropriate action permissible under the Indian regulations for the purpose or take any other action as may deemed appropriate if the deficiency is not updated/rectified by me within the stipulated period. **24.** I agree to furnish any particulars/information that is called upon me by IDFC FIRST Bank on account of any change in law either in India or abroad in the subject matter herein. **25.** In the event there is any tax demand {including interest(if any)} raised due to nondisclosure/inaccurate disclosure of information/documents on my/our part, I undertake to pay the demand forthwith and provide the bank with all information/documents that may be necessary for any proceeding before GOI/RBI/Income Tax Authorities. **26.** By opening an account; I/We are willing to enroll and avail Doorstep banking non-cash services (i.e. pick-up and/or delivery of cheque and/or documents) – details of which are available on www.idfcfirstbank.com. **27.** IDFC FIRST Bank protects the interest of its customers against any unauthorised electronic banking transaction. You may visit Bank's website www.idfcfirstbank.com for more details in respect of the same. **28.** I understand that incase I do not wish to receive any promotional communication through telephone calls/emails/SMS on products and services that have not been availed by me, I can register for "Do Not Disturb" services on: HYPERLINK www.IDFCFIRSTBank.com or other channels that the Bank may offer. I agree that this service will not apply to receipt of advice and information regarding products and services, currently availed by me, and I will be able to fully realize the benefits of the range of products and services designed to make this banking relationship more convenient. **29.** I/We declare that there is no change in the status of Tax residency of any of our Directors/Partners/Trustees/O-ce Bearers/Promoters (including proprietor)/Authorized Signatories/Beneficial Owners in my/our organization. There is no change in FATCA related information of the entity as per our last submission.

RECENT  
Colour  
Photograph

RECENT  
Colour  
Photograph

RECENT  
Colour  
Photograph

Name of Signatory 1 \_\_\_\_\_

Name of Signatory 2 \_\_\_\_\_

Name of Signatory 3 \_\_\_\_\_

Signature with Stamp

Signature with Stamp

Signature with Stamp

**BANK USE SECTION**

<b>Payment Details</b>	Amount	<input type="text"/>	<input type="checkbox"/> Cheque/DD	<input type="checkbox"/> NEFT/RTGS
Cheque No.	<input type="text"/>	Bank Name	<input type="text"/>	
Date	<input type="text"/>	Trace ID	<input type="text"/>	
	<small>D D M M Y Y Y Y</small>	UTR	<input type="text"/>	
Customer ID	<input type="text"/>	Account No.	<input type="text"/>	
Branch Code	<input type="text"/>	Branch Name	<input type="text"/>	
Sourcing Branch Code	<input type="text"/>	Lead Generator	<input type="text"/>	
Lead Converter	<input type="text"/>	Biz/Segment Code	<input type="text"/>	
Lead ID	<input type="text"/>	Promo Code	<input type="text"/>	RM Code <input type="text"/>
Program Code	<input type="text"/>	Group ID	<input type="text"/>	
Work Item ID	<input type="text"/>			

**Banker Certification**
 I have met the customer at his:  Communication Address  Business Address  Other \_\_\_\_\_

**AND**
 I have seen the original KYC documents and returned these to the customer. Copy/Photo taken for record

**AND**
 The customer has signed in my presence

Name \_\_\_\_\_

Date

<input type="text"/>	<input type="text"/>	<input type="text"/>
<small>D D</small>	<small>M M</small>	<small>Y Y Y Y</small>

Employee ID \_\_\_\_\_

Signature/Stamp

## ANNEXURE 5 - NATURE OF INDUSTRY

- Government - Social Justice and empowerment
- Government - Human Resource development
- Government - Housing Development
- Government - Contractors/Contractor
- Government - Road Transportation & Highways
- Financial Intermediation - Co-operative Institutions - (FSS and LAMPS, PACS)
- Financial Intermediation - Other Co-op Institutions
- Insurance Co.
- Banking (Financial Services)
- Pension Fund trust
- Gratuity/SA Trust
- ESOP Trust
- Endowment Board
- Waqf Board
- Diocese
- SGPC
- Sports Club
- Private Club
- Gymkhana
- Temple
- Church
- Gurudwara
- Mosque/Dargah
- Libraries, archives, museums and other cultural activities
- Advertising/Media/Entertainment
- Agricultural Commodities
- Agriculture
- Airlines
- Animal Husbandry Services
- Aquaculture
- Architecture and engineering activities; technical testing and analysis
- Beverages-Liquor,wine,fanny and other alcoholic drink
- Beverages-Soft drinks
- Bullion/Forex Dealer
- Business correspondent
- Chemical and chemical products- Manufacture of Matches and firework, explosives
- Civil engineering
- Coal and Lignite
- Coal products, refined petroleum products,
- Construction/Real estate/ Builder
- Consultancy
- Dairy/Food Processing
- Electrical machinery and apparatus (Engineering-Electricals)
- Electronics/Computer Hardware
- Employment activities
- Engineering
- Engineering goods
- Event Catering
- Farming of Animals, silkworm
- Fertilizers/Seeds/Pesticides
- Fishery/Poultry
- Food and Cash Crops (excluding Plantation Crops)
- Forestry, Logging and Related Service
- Furniture/Timber
- Gas - distribution of gaseous fuels
- Gems and Jewellery
- Handicraft
- Hotel/Resort
- Indirect Finance to Agriculture
- Infrastructure- Communication
- Infrastructure- energy
- Infrastructure- Social and commercial
- Infrastructure- transport
- Infrastructure- water sanitation
- Iron and Steel
- Irrigation
- IT/Software/BPO/ITES
- Land Transport; Transport via pipelines
- Leather and Leather products
- Liquor Distributor
- Machinery and equipments
- Medical instruments, watches and clocks
- Metal Ores
- Metals
- Mining
- Nuclear fuels
- Other non metallic mineral product
- Pension Funding
- Petroleum Oil and Gas
- Pharma and drugs soaps
- Pharmaceuticals / Chemists
- Plantation Crops
- Plastic/Paper and Allied products
- Postal and cable services
- Power
- Public Administration and Defence; Compulsory Social Security
- Radio, Television and Communication equipment (Engineering-Electronics)
- Realty and Infrastructure
- Recreational, Cultural and Sporting activities
- Recycling
- Rental and leasing activities
- Renting of Machinery and Equipments
- Research and Development
- Rubber and plastic products
- Scrap Metal
- Securitisation trust
- Security and investigation activities
- Shipping
- Supporting and auxiliary transport activities
- Telecom
- Textiles/Garments/Handloom
- Tour Travel and Tourism
- Transportation/Logistics
- Uranium and Thorium
- Veterinary activities
- Warehousing and support activities for transportation
- Waste collection, treatment and disposal activities; materials recovery
- Water Transport
- Wood and wood products (except furniture)
- Activities auxiliary to Financial Intermediation
- Activities of extraterritorial organizations and bodies- United Nations, World Bank, IMF etc.

## GLOSSARY OF TERMS

### Definition of related person under GST is as under:

- (a) persons shall be deemed to be **related persons** if
- (i) such persons are officers or directors of one another's businesses;
  - (ii) such persons are legally recognised partners in business;
  - (iii) such persons are employer and employee;
  - (iv) any person directly or indirectly owns, controls or holds twenty-five per cent or more of the outstanding voting stock or shares of both of them;
  - (v) one of them directly or indirectly controls the other;
  - (vi) both of them are directly or indirectly controlled by a third person;
  - (vii) together they directly or indirectly control a third person; or they are members of the same family;
- (b) the term person also includes legal persons;
- (c) persons who are associated in the business of one another in that one is the sole agent or sole distributor or sole concessionaire, howsoever described, of the other, shall be deemed to be related.

Explanation I. - The term "person" also includes legal persons.

Explanation II. - Persons who are associated in the business of one another in that one is the sole agent or sole distributor or sole concessionaire, howsoever described, of the other, shall be deemed to be related.

### U.S. Persons

- a) A tax resident of U.S.
- b) A U.S. entity or organization incorporated in U.S.
- c) A partnership or a corporation organized in the U.S. or under the law of the U.S. or any states thereof
- d) A trust- (i) where a court within the United States would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of the trust, and (ii) one or more U.S. persons have the authority to control all substantial decisions of the trust.
- e) An estate of a decedent that is a citizen or resident of the United States

### Exclusion Categories for U.S. Persons

- a) A corporation the stock of which is regularly traded on one or more established securities markets
- b) Any corporation that is a member of the same expanded affiliated group as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i)
- c) The United States or any wholly owned agency or instrumentality thereof
- d) Any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing
- e) Any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code
- f) Any bank as defined in section 581 of the U.S. Internal Revenue Code
- g) Any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code
- h) Any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- i) Any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code
- j) Any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code
- k) A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State
- l) A broker as defined in section 6045(c) of the U.S. Internal Revenue Code
- m) Any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code

### Exclusion Category For other than U.S. Persons

- a) A corporation, the stock of which is regularly traded on one or more established securities markets
- b) Any corporation that is a related entity of a corporation mentioned above:
- c) A Governmental Entity
- d) An International Organisation
- e) A Central Bank
- f) A Financial Institution