

RECENT
Colour
Photograph

Name of Signatory 1 _____

Signature and Stamp

RECENT
Colour
Photograph

Name of Signatory 2 _____

Signature and Stamp

BANK USE SECTION

Payment Details Amount Cheque/DD NEFT/RTGS
 Cheque No. Bank Name _____ Branch Name _____
 Date Trace ID UTR
D D M M Y Y Y Y
 Customer ID Account No.
 Branch Code _____ Branch Name _____ Product Code _____
 Sourcing Branch Code _____ Lead Generator _____ Lead Warmer _____
 Lead Converter _____ Biz/Segment Code _____ Profit Centre _____
 Lead ID Promo Code RM Code
 Program Code Group ID Campaign Code
 Work Item ID

Banker Certification

I have met the customer at his: Communication Address Business Address Other _____
AND
 I have seen the original KYC documents and returned these to the customer. Copy/Photo taken for record
AND
 The customer has signed in my presence

Name _____ Date
D D M M Y Y Y Y Signature/Stamp
 Employee ID _____

ANNEXURE 1 - DECLARATION FOR SOLE PROPRIETORSHIP FIRMS

I, _____, hereby declare that I am the sole proprietor of the firm under the name of _____ and am solely responsible for the liabilities thereof. I shall advise you in writing of any change that takes place in the constitution of the firm and I will be liable to you for any obligation which may be standing in the firm's name in your books on the date of receipt of such notice and until all such obligations shall have been liquidated.

Name _____ *Father's Name
 Maiden Name (if any) Mother's Name
 Marital Status Married Unmarried Other
 Residential Status Resident Individual Non Resident Indian Foreign National Person of Indian Origin
 Date of Birth Country of Birth
D D M M Y Y Y Y
 Country of Tax Residency
 Separate annexure to be executed in case of dual country of tax residence
 TIN Issuing Country
 If Country of Birth or Tax Residency is other than India, please provide Tax Identification Number

Signature/Stamp

ANNEXURE 2 - FATCA/CRS DECLARATION

A		Incorporation Information	Details
		Place of Incorporation	
		Country of Incorporation	
		Company Identification Number	
B		Declaration of Tax Residency	
Sr. No.		Country(ies) of Tax Residency	Tax Identification Number
C		Exclusion Category, if applicable, for tax residents outside India (Refer Glossary)	Details
1		US Persons	
2		Other than US Persons	

Note: Please attach a copy of the Tax Residency Certificate/Copy of Incorporation or Equivalent Document for each of the countries mentioned above.

ANNEXURE 3 - FATCA/CRS DECLARATION

A. Listed entity/its related entity	Yes	No
a) Whether the entity is a listed entity? If yes, Listed in <input type="checkbox"/> NSE, <input type="checkbox"/> BSE <input type="checkbox"/> Others _____ (Please specify)	<input type="checkbox"/>	<input type="checkbox"/>
b) Whether the entity is a related entity [^] of a listed entity? Specify the name of the listed company _____ Listed in <input type="checkbox"/> NSE, <input type="checkbox"/> BSE <input type="checkbox"/> Others _____ (Please specify) <small>[^]An entity is a related entity of another entity if either entity controls the other entity, or the two entities are under common control (i.e., Ownership of more than 50% of the votes/value in an entity)</small>	<input type="checkbox"/>	<input type="checkbox"/>
B. Non - Individuals other than Listed entity/its related entity (Tick applicable category)		
a) Government Entity	<input type="checkbox"/>	<input type="checkbox"/>
b) International Organization	<input type="checkbox"/>	<input type="checkbox"/>
c) Central Bank	<input type="checkbox"/>	<input type="checkbox"/>
d) Entity wholly owned by a, b or c above	<input type="checkbox"/>	<input type="checkbox"/>
e) Tax-exempt Entity engaged in a Charitable Purpose	<input type="checkbox"/>	<input type="checkbox"/>
C. Business		
a) Holding Company (with subsidiaries engaged in non-financial trade or business)	<input type="checkbox"/>	<input type="checkbox"/>
b) Company providing, financing and hedging services to related entities	<input type="checkbox"/>	<input type="checkbox"/>
D. Income/Assets Criteria		
a) 50% or more of the income in preceding financial year is from trading/business activities AND	<input type="checkbox"/>	<input type="checkbox"/>
b) 50% or more of the assets in preceding financial year are held for trading/business purposes		

Name _____

Name _____

Designation _____

Designation _____

Signature/Stamp

Signature/Stamp

 Date
 D D M M Y Y Y Y

 Date
 D D M M Y Y Y Y

ANNEXURE 4 - BENEFICIAL OWNERSHIP DECLARATION

Note: Beneficial Owners are not required to be identified in the case of Listed Companies or Wholly Owned Subsidiaries of a Listed Company listed on a stock exchange in India, or it is an entity resident in * jurisdictions notified by the Central Government and listed on stock exchanges in such jurisdictions notified by the Central Government. In cases of trust/nominee or fiduciary accounts determine whether the customer is acting on behalf of another person as trustee/nominee or any other intermediary and obtain satisfactory evidence of the identity of the intermediaries and of the persons on whose behalf they are acting as well as details of the nature of the trust or other arrangements in place.

*Jurisdictions Name: (i) United States of America (ii) Japan (iii) South Korea (iv) United Kingdom excluding British Overseas Territories (v) France (vi) Germany (vii) Canada (viii) International Financial Services Centre in India.

Please tick the relevant option below:

- The following natural person(s) ultimately have a controlling ownership interest of shares/capital/profit/property more than 10% for a company/partnership/LLP and more than 15% for an unincorporated association/body of individuals (Association/Society/etc.) or exercise control through other means such as management rights, voting/shareholders agreement, etc.
- There are no natural person(s) who exercise control or ultimately have a controlling ownership interest as stated above; therefore, details of partner(s) (for partnership firms)/ or senior managing official of a company/unincorporated association/body of individuals (Association/Society/etc.) have been provided in the table below.
- Where the customer is a trust, the identification of beneficial owner(s) shall include identification of the author of the trust, the trustee, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Sr. No	Name	Current address	Does the OVD address match the current address (If "No" provide a deemed OVD)	Mobile No.	Date of Birth	Gender	Control Details Type	Controlling Ownership %
1.								
2.								
3.								
4.								
5.								

Sr. No	Nationality	Resident of India	PAN [#]	OVD & Deemed OVD	Father's Name	Occupation	Country of Birth	Country of Tax Residence	Tax Identification Number (TIN)
1.		<input type="checkbox"/> Resident <input type="checkbox"/> Non-Resident							
2.		<input type="checkbox"/> Resident <input type="checkbox"/> Non-Resident							
3.		<input type="checkbox"/> Resident <input type="checkbox"/> Non-Resident							
4.		<input type="checkbox"/> Resident <input type="checkbox"/> Non-Resident							
5.		<input type="checkbox"/> Resident <input type="checkbox"/> Non-Resident							

Note: #Please quote PAN/form 60 in the PAN field,

- 1) Photograph of BO is mandatory
- 2) Either Mother, Father name or Spouse's name is mandatory. In case PAN is not available Father's name is mandatory.
- 3) Senior Managing Official would include key managers, and c-suite individuals (like CEO, CFO, COO etc)
- 4) In case Original Seen & Verified (OSV) certification is not possible for BOs who are Foreign Nationals/NRIs/PIOs, the document needs to be certified by any one of the following authorities:
 - (a) Authorized officials of overseas branches of Scheduled Commercial Banks registered in India
 - (b) Branches of overseas banks with whom Indian banks have relationships
 - (c) Notary Public abroad
 - (d) Court Magistrate
 - (e) Judge
 - (f) Indian Embassy/Consulate General in the country where the non-resident customer resides
- 5) Types of control:
 - (a) Ownership
 - (b) Other means
 - (c) Senior managing official
 - (d) Trustee
 - (e) Settlor
 - (f) Protector
 - (g) Beneficiary
 - (h) Others (please specify)

I/We agree that I/We will notify IDFC FIRST Bank without delay of any changes to the Beneficial Owner/Controlling natural person, as declared in the table above.

1. Signature of Authorised Signatories:

Signature/Stamp	Name _____
	Designation _____
	Date <input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YYYY"/>

2. Signature of Authorised Signatories:

Signature/Stamp	Name _____
	Designation _____
	Date <input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YYYY"/>

ANNEXURE 4* - PHOTOGRAPHS OF BENEFICIAL OWNERS



Name _____



Name _____



Name _____



Name _____



Name _____



Name _____

ANNEXURE 5 - GST ANNEXURE
CUSTOMER DETAILS

 Customer Name

 GST Status Registered Unregistered

 Are you exempted from GST? Yes (Please submit documentary evidence for the exemption) No

 If exempt Customer level exemption Account level exemption

 Related person to IDFC FIRST Bank Yes No (If yes, please note that GST as may be applicable, needs to be paid by the related person.)
 (Refer Glossary of terms for definition of related person)

If Registered then Provide the State wise GST details

Please mention the primary GSTN for this account as the first GSTN.

Sr.No.	Name of the State	GST Registration Number#	Address as per GSTN records\$	Remarks
		<input type="text"/>		
		<input type="text"/>		
		<input type="text"/>		
		<input type="text"/>		
		<input type="text"/>		
		<input type="text"/>		
		<input type="text"/>		
		<input type="text"/>		
		<input type="text"/>		
		<input type="text"/>		
		<input type="text"/>		

 \$ Address as per GSTN records is the address of receiving the service.
 If you have more than 10 GST Registration Numbers; please use another copy of this sheet.

Name _____

Name _____

Designation _____

Designation _____

Signature/Stamp

Signature/Stamp

NATURE OF INDUSTRY - TASC ENTITIES

- | | | |
|---|--|---|
| <ul style="list-style-type: none"> • Gram Panchayat • Govt. - Water resources • Hospital • School • Family Trust • Health and Social work • Govt. - Defence • Research Centre • College • PF Trust • Govt. - Skill Development • Municipal Corporation/Municipal Council/Committee • Govt.-Food & Public Distribution • Charitable/Social/Welfare • National Institutes (IIT/IIM/NIT) • Govt.-Trust/Society for Research Development/Consultancy/Welfare • Government - Social Justice and empowerment • Government - Human Resource development • Government - Housing Development • Government - Contractors/Contractor • Government - Road Transportation & Highways • Financial Intermediation - Co-operative Institutions - (FSS and LAMPS, PACS) • Financial Intermediation - Other Co-op Institutions • Insurance Co. • Banking (Financial Services) • Pension Fund trust • Gratuity/SA Trust • ESOP Trust • Endowment Board • Waqf Board • Diocese • SGP • Sports Club • Private Club • Gymkhana • Temple • Church • Gurudwara • Mosque/Dargah • Libraries, archives, museums and other cultural activities • Advertising/Media/Entertainment • Agricultural Commodities • Agriculture | <ul style="list-style-type: none"> • Airlines • Animal Husbandry Services • Aquaculture • Architecture and engineering activities; technical testing and analysis • Beverages-Liquor,wine,fanny and other alcoholic drink • Beverages-Soft drinks • Bullion/Forex Dealer • Business correspondent • Chemical and chemical products- Manufacture of Matches and firework, explosives • Civil engineering • Coal and Lignite • Coal products, refined petroleum products, • Construction/Real estate/ Builder • Consultancy • Dairy/Food Processing • Electrical machinery and apparatus (Engineering-Electricals) • Electronics/Computer Hardware • Employment activities • Engineering • Engineering goods • Event Catering • Farming of Animals, silkworm • Fertilizers/Seeds/Pesticides • Fishery/Poultry • Food and Cash Crops (excluding Plantation Crops) • Forestry, Logging and Related Service • Furniture/Timber • Gas - distribution of gaseous fuels • Gems and Jewellery • Handicraft • Hotel/Resort • Indirect Finance to Agriculture • Infrastructure- Communication • Infrastructure- energy • Infrastructure- Social and commercial • Infrastructure- transport • Infrastructure- water sanitation • Iron and Steel • Irrigation • IT/Software/BPO/ITES • Land Transport; Transport via pipelines • Leather and Leather products • Liquor Distributor | <ul style="list-style-type: none"> • Machinery and equipments • Medical instruments, watches and clocks • Metal Ores • Metals • Mining • Nuclear fuels • Other non metallic mineral product • Pension Funding • Petroleum Oil and Gas • Pharma and drugs soaps • Pharmaceuticals / Chemists • Plantation Crops • Plastic/Paper and Allied products • Postal and cable services • Power • Public Administration and Defence; Compulsory Social Security • Radio, Television and Communication equipment (Engineering-Electronics) • Realty and Infrastructure • Recreational, Cultural and Sporting activities • Recycling • Rental and leasing activities • Renting of Machinery and Equipments • Research and Development • Rubber and plastic products • Scrap Metal • Securitisation trust • Security and investigation activities • Shipping • Supporting and auxiliary transport activities • Telecom • Textiles/Garments/Handloom • Tour Travel and Tourism • Transportation/Logistics • Uranium and Thorium • Veterinary activities • Warehousing and support activities for transportation • Waste collection, treatment and disposal activities; materials recovery • Water Transport • Wood and wood products (except furniture) • Activities auxiliary to Financial Intermediation • Activities of extraterritorial organizations and bodies-United Nations, World Bank, IMF etc. |
|---|--|---|

NATURE OF INDUSTRY - NON-TASC

- | | | |
|--|---|---|
| <ul style="list-style-type: none"> • Advertising/Media/Entertainment • Agriculture • Airlines • Architecture and engineering activities • Arms/Antique/Art Dealer • Auto Finance Co • Automobile • Bar/Casino/Night club • Boat/Plane Dealership • Bullion/Forex Dealer • Cement • Chemicals/Dyes/Paints • Civil engineering • Construction/Real estate/Builder • Consultancy • Consumer Durables • Courier/Freight Forwarders • Dairy/Food Processing • Dot-com Company or internet business • Education • Education Institutes/school/coaching centres • Electric power generation, transmission and distribution • Electronics/Computer Hardware • Employment activities • Engineering goods • Event Catering • Exchange house • Fabricated metal products (except machinery and equipment) • Fertilizers/Seeds/Pesticides | <ul style="list-style-type: none"> • Financial Advisory - Portfolio management services • Financial Intermediation- NBFC • Fishery/Poultry • FMCG • Furniture/Timber • Gas - distribution of gaseous fuels • Gems and Jewellery • Government Contractors/Contractor • Health Club/Spa • Healthcare • Hotel/Resort • Housing Finance Co. • Insurance Co • Internet Café • Investment Management/Money Management Company/Personal Investment Company • Iron and Steel • IT/Software/BPO/ITES • Law firm • Leather and Leather products • Liquor Distributor • Machinery and equipments • Marble/Granite • Merchant banking • Mining • Money services business (remittance house, money transfer agents, bank note traders) • Movie theatre • Nursing home/Clinic/Life science/ Diagnostic Centre/Hospital | <ul style="list-style-type: none"> • Other pvt financial corp • Other Service activity-laundry, saloon, beauty parlor, potrait, studio • Pawn Shops • Petroleum Oil and Gas • Pharmaceuticals/Chemists • Plastic/Paper and Allied products • Postal and cable services • Printing/Publishing • Realty and Infrastructure • Rental and leasing activities • Restaurants,canteens • Rubber and plastic products • Scrap Metal • Security and investigation activities • SEP (Doc, CA, Architect/Lawyer/Consultant) • SEP (Entertainment/Alternate Medicine Practitioner/ Beautician) • Sports goods, games and toys • Store - Retail outlet (Bakery/Books/stationery/ Garments)/Departmental/Grocery • Telecom • Term Lending Co. • Textiles/Garments/Handloom • Tour Travel and Tourism • Transportation/Logistics • Used car dealer • Venture Capital Companies • Veterinary activities • Warehousing and support activities for transportation • Waste collection, treatment and disposal activities; materials recovery |
|--|---|---|

GLOSSARY OF TERMS

Definition of related person under GST is as under:

- (a) persons shall be deemed to be "related persons" if-
- (i) such persons are officers or directors of one another's businesses;
 - (ii) such persons are legally recognised partners in business;
 - (iii) such persons are employer and employee;
 - (iv) any person directly or indirectly owns, controls or holds twenty-five per cent or more of the outstanding voting stock or shares of both of them;
 - (v) one of them directly or indirectly controls the other;
 - (vi) both of them are directly or indirectly controlled by a third person;
 - (vii) together they directly or indirectly control a third person; or they are members of the same family;
- (b) the term "person" also includes legal persons;
- (c) persons who are associated in the business of one another in that one is the sole agent or sole distributor or sole concessionaire, howsoever described, of the other, shall be deemed to be related.

Explanation I. - The term "person" also includes legal persons.

Explanation II. - Persons who are associated in the business of one another in that one is the sole agent or sole distributor or sole concessionaire, howsoever described, of the other, shall be deemed to be related.

U.S. Persons

- a) A tax resident of U.S.
- b) A U.S. entity or organization incorporated in U.S.
- c) A partnership or a corporation organized in the U.S. or under the law of the U.S. or any states thereof
- d) A trust- (i) where a court within the United States would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of the trust, and (ii) one or more U.S. persons have the authority to control all substantial decisions of the trust,
- e) An estate of a decedent that is a citizen or resident of the United States

Exclusion Categories for U.S. Persons

- a) A corporation the stock of which is regularly traded on one or more established securities markets
- b) Any corporation that is a member of the same expanded affiliated group as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i)
- c) The United States or any wholly owned agency or instrumentality thereof
- d) Any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing
- e) Any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code
- f) Any bank as defined in section 581 of the U.S. Internal Revenue Code
- g) Any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code
- h) Any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- i) Any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code
- j) Any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code
- k) A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State
- l) A broker as defined in section 6045(c) of the U.S. Internal Revenue Code
- m) Any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code

Exclusion Category - For other than U.S. Persons

- a) A corporation, the stock of which is regularly traded on one or more established securities markets
- b) Any corporation that is a related entity of a corporation mentioned above:
- c) A Governmental Entity
- d) An International Organisation
- e) A Central Bank
- f) A Financial Institution